

*Kavli IPMU focus week on gravity  
and Lorentz violations (2013)*

# Inflationary non-Gaussianities in the most general scalar-tensor theories

Shinji Tsujikawa

(Tokyo University of Science)

with Antonio De Felice (Naresuan Univeristy)

Physical Review D84, 083504 (2011), arXiv:1107.3917  
and arXiv:1301.5721

# Inflationary models

Many inflationary models have been proposed so far.

- **Curvature inflation** (first model of inflation)

The higher-order curvature term leads to inflation.

Lagrangian:  $f(R) = R + R^2/(6M^2)$  Starobinsky (1980)

- **“Old” inflation**

Inflation occurs due to the first-order phase transition of a vacuum.

Sato (1980), Kazanas (1980), Guth (1980)

- **Slow-roll inflation** Inflation is driven by the potential energy of a scalar field.

New, chaotic, power-law, hybrid, natural, extra-natural, eternal, D-term, F-term, brane, oscillating, tachyon, hill-top, KKLMNT, ... (too many)

- **K-inflation** Inflation is driven by the kinetic energy of a scalar field.

Ghost condensate, DBI, Galileon,...

- **Inflation in extended theories of gravity.**

Brans-Dicke, Higgs, Horndeski's theory,....

There are also multi-field models.

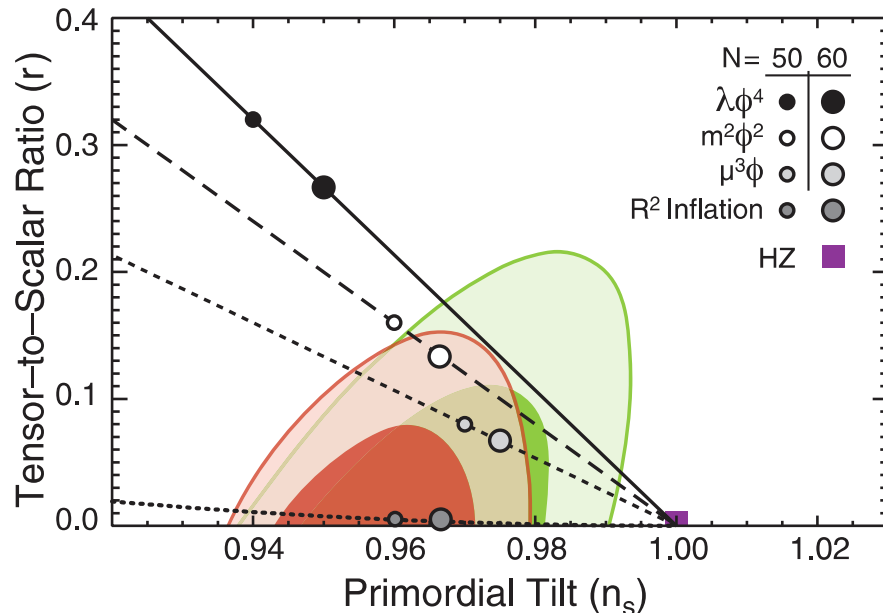
# Inflationary observables

1. Scalar power spectrum  $\mathcal{P}_{\mathcal{R}}(k) = \mathcal{P}_{\mathcal{R}}(k_0) \left( \frac{k}{k_0} \right)^{n_s-1}$   $k_0$  : pivot wavenumber

2. Tensor-to-scalar ratio  $r = \frac{\mathcal{P}_h(k_0)}{\mathcal{P}_{\mathcal{R}}(k_0)}$  where  $\mathcal{P}_h(k) = \mathcal{P}_h(k_0) \left( \frac{k}{k_0} \right)^{n_t}$

3. Non-linear parameter of scalar non-Gaussianities  $f_{\text{NL}}$

WMAP9 bound combined with BAO and H<sub>0</sub>  
(without the running spectral index)



$n_s = 0.9608 \pm 0.0080$  (68 % CL)

$r < 0.13$  (95 % CL)

at  $k_0 = 0.002 \text{ Mpc}^{-1}$

The potential  $V(\phi) = m^2\phi^2/2$   
is in tension with the data.

In order to discriminate between a wide variety of inflationary models, we evaluate inflationary observables in the most general scalar-tensor theories with second-order equation of motion.



- This action was first derived by Horndeski in 1973.
- In 2011 Deffayet et al. derived the same action in a different form.
- The equivalence of two actions was shown by Kobayashi et al. in 2011.

This is the theory with one scalar degree of freedom, which covers a wide variety of single-field inflation models.

# Horndeski's paper in 1973

*International Journal of Theoretical Physics*, Vol. 10, No. 6 (1974), pp. 363–384

**Second-Order Scalar-Tensor Field Equations  
in a Four-Dimensional Space**

**Gregory Walter Horndeski**

[MathSciNet](#)

---

Ph.D. **University of Waterloo** 1973



**Dissertation:** *Invariant Variational Principles and Field Theories*

Advisor: [David Lovelock](#)



# Horndeski's action

$$S = \int d^4x \sqrt{-g} \left[ \frac{M_{\text{pl}}^2}{2} R + P(\phi, X) - G_3(\phi, X) \square\phi + \mathcal{L}_4 + \mathcal{L}_5 \right].$$

$$\mathcal{L}_4 = G_4(\phi, X) R + G_{4,X} [(\square\phi)^2 - (\nabla_\mu \nabla_\nu \phi) (\nabla^\mu \nabla^\nu \phi)]$$

$$\mathcal{L}_5 = G_5(\phi, X) G_{\mu\nu} (\nabla^\mu \nabla^\nu \phi) - \frac{1}{6} G_{5,X} [(\square\phi)^3 - 3(\square\phi) (\nabla_\mu \nabla_\nu \phi) (\nabla^\mu \nabla^\nu \phi) + 2(\nabla^\mu \nabla_\alpha \phi) (\nabla^\alpha \nabla_\beta \phi) (\nabla^\beta \nabla_\mu \phi)]$$

This action covers most of the dark energy models proposed in literature.

- Potential driven inflation:  $P = X - V(\phi)$ ,  $G_3 = 0$ ,  $G_4 = 0$ ,  $G_5 = 0$
- K-inflation:  $P = P(\phi, X)$ ,  $G_3 = 0$ ,  $G_4 = 0$ ,  $G_5 = 0$
- f(R) gravity and scalar-tensor gravity:  $G_4 = F(\phi)$ ,  $G_3 = 0$ ,  $G_5 = 0$
- Galileon:  $P = -c_2 X$ ,  $G_3 = c_3 X/M^3$ ,  $G_4 = -c_4 X^2/M^6$ ,  $G_5 = 3c_5 X^2/M^9$
- Gauss-Bonnet coupling  $\xi(\phi)\mathcal{G}$  :

$$P = 8\xi^{(4)}(\phi)X^2(3 - \ln X), \quad G_3 = 4\xi^{(3)}(\phi)X(7 - 3\ln X)$$

$$G_4 = 4\xi^{(2)}(\phi)X(2 - \ln X), \quad G_5 = -4\xi^{(1)}(\phi)\ln X$$

## Second-order action for curvature perturbations

We consider scalar metric perturbations  $\alpha, \psi, \mathcal{R}$  with the ADM metric

$$ds^2 = -[(1 + \alpha)^2 - a(t)^{-2} e^{-2\mathcal{R}} (\partial\psi)^2] dt^2 + 2\partial_i\psi dt dx^i + a(t)^2 e^{2\mathcal{R}} d\mathbf{x}^2$$

We choose the uniform field gauge:  $\delta\phi = 0$

The second-order action for perturbations reduces to

$$S_2 = \int dt d^3x a^3 Q \left[ \dot{\mathcal{R}}^2 - \frac{c_s^2}{a^2} (\partial\mathcal{R})^2 \right] \longrightarrow Q > 0 \text{ and } c_s^2 > 0 \text{ are required to avoid ghosts and Laplacian instabilities.}$$

where

$$Q = \frac{w_1(4w_1w_3 + 9w_2^2)}{3w_2^2}, \quad c_s^2 = \frac{3(2w_1^2w_2H - w_2^2w_4 + 4w_1\dot{w}_1w_2 - 2w_1^2\dot{w}_2)}{w_1(4w_1w_3 + 9w_2^2)}$$

$$w_1 = M_{\text{pl}}^2 F - 4XG_{4,X} - 2HX\dot{\phi}G_{5,X} + 2XG_{5,\phi}$$

$$w_2 = 2M_{\text{pl}}^2 HF - 2X\dot{\phi}G_{3,X} - 16H(XG_{4,X} + X^2G_{4,XX}) + 2\dot{\phi}(G_{4,\phi} + 2XG_{4,\phi X}) - 2H^2\dot{\phi}(5XG_{5,X} + 2X^2G_{5,XX}) + 4HX(3G_{5,\phi} + 2XG_{5,\phi X})$$

$$w_3 = -9M_{\text{pl}}^2 H^2 F + 3(XP_{,X} + 2X^2P_{,XX}) + 18H\dot{\phi}(2XG_{3,X} + X^2G_{3,XX}) - 6X(G_{3,\phi} + XG_{3,\phi X}) + 18H^2(7XG_{4,X} + 16X^2G_{4,XX} + 4X^3G_{4,XXX}) - 18H\dot{\phi}(G_{4,\phi} + 5XG_{4,\phi X} + 2X^2G_{4,\phi XX}) + 6H^3\dot{\phi}(15XG_{5,X} + 13X^2G_{5,XX} + 2X^3G_{5,XXX}) - 18H^2X(6G_{5,\phi} + 9XG_{5,\phi X} + 2X^2G_{5,\phi XX})$$

$$w_4 = M_{\text{pl}}^2 F - 2XG_{5,\phi} - 2XG_{5,X}\ddot{\phi}$$

# Power spectrum of curvature perturbations

$$\mathcal{R}(\tau, \mathbf{x}) = \frac{1}{(2\pi)^3} \int d^3k \mathcal{R}(\tau, \mathbf{k}) e^{i\mathbf{k}\cdot\mathbf{x}}, \quad \mathcal{R}(\tau, \mathbf{k}) = u(\tau, \mathbf{k})a(\mathbf{k}) + u^*(\tau, -\mathbf{k})a^\dagger(-\mathbf{k})$$

Introducing a rescaled field  $v = zu$  with  $z = a\sqrt{2Q}$ , it follows that

$$v'' + \left( c_s^2 k^2 - \frac{z''}{z} \right) v = 0$$

Under the slow-variation approximation we have

$$\frac{z''}{z} = 2(aH)^2 [1 + \mathcal{O}(\epsilon)], \quad \epsilon = -\frac{\dot{H}}{H^2}$$

In the de Sitter limit the conformal time is  $\tau = -1/(aH)$ , in which case the solution is

$$u(\tau, k) = \frac{i H e^{-i c_s \tau}}{2(c_s k)^{3/2} \sqrt{Q}} (1 + i c_s k \tau)$$

Using this solution in the limit  $\tau \rightarrow 0$ , the power spectrum of  $\mathcal{R}$  is

$$\mathcal{P}_{\mathcal{R}} = \frac{H^2}{8\pi^2 M_{\text{pl}}^2 \epsilon_s F c_s} \quad \text{where} \quad \epsilon_s \equiv \frac{Q c_s^2}{M_{\text{pl}}^2 F}, \quad F = 1 + \frac{2G_4}{M_{\text{pl}}^2}$$

The spectral index is

$$n_s - 1 \equiv \left. \frac{d \ln \mathcal{P}_{\mathcal{R}}}{d \ln k} \right|_{c_s k = aH} = -2\epsilon - \eta_s F - s$$

Kobayashi et al. (2011)  
De Felice and S.T. (2011)

$$\text{where} \quad \eta_s F \equiv \frac{(\epsilon_s F)'}{H(\epsilon_s F)}, \quad s \equiv \frac{\dot{c}_s}{H c_s}$$



# Tensor power spectrum

Tensor perturbations:  $h_{ij} = h_+ e_{ij}^+ + h_\times e_{ij}^\times$

The second-order action is

$$S_t = \sum_{\lambda=+, \times} \int dt d^3x a^3 Q_t \left[ \dot{h}_\lambda^2 - \frac{c_t^2}{a^2} (\partial h_\lambda)^2 \right] \quad \text{where} \quad Q_t = \frac{w_1}{4}, \quad c_t^2 = \frac{w_4}{w_1}$$

The tensor power spectrum is  $\mathcal{P}_t = \frac{H^2}{2\pi^2 Q_t c_t^3} \simeq \frac{2H^2}{\pi^2 M_{\text{pl}}^2 F}$

The tensor-to-scalar ratio is  $r = \frac{\mathcal{P}_t}{\mathcal{P}_R} \simeq 16 c_s \epsilon_s$

These results can be readily used for concrete models of inflation.

e.g.,  $P = X - V(\phi)$

➔  $n_s = 1 - 6\epsilon_V + 2\eta_V, \quad r = 16\epsilon_V$

where  $\epsilon_V = \frac{M_{\text{pl}}^2}{2} \left( \frac{V_{,\phi}}{V} \right)^2, \quad \eta_V = \frac{M_{\text{pl}}^2 V_{,\phi\phi}}{V}$

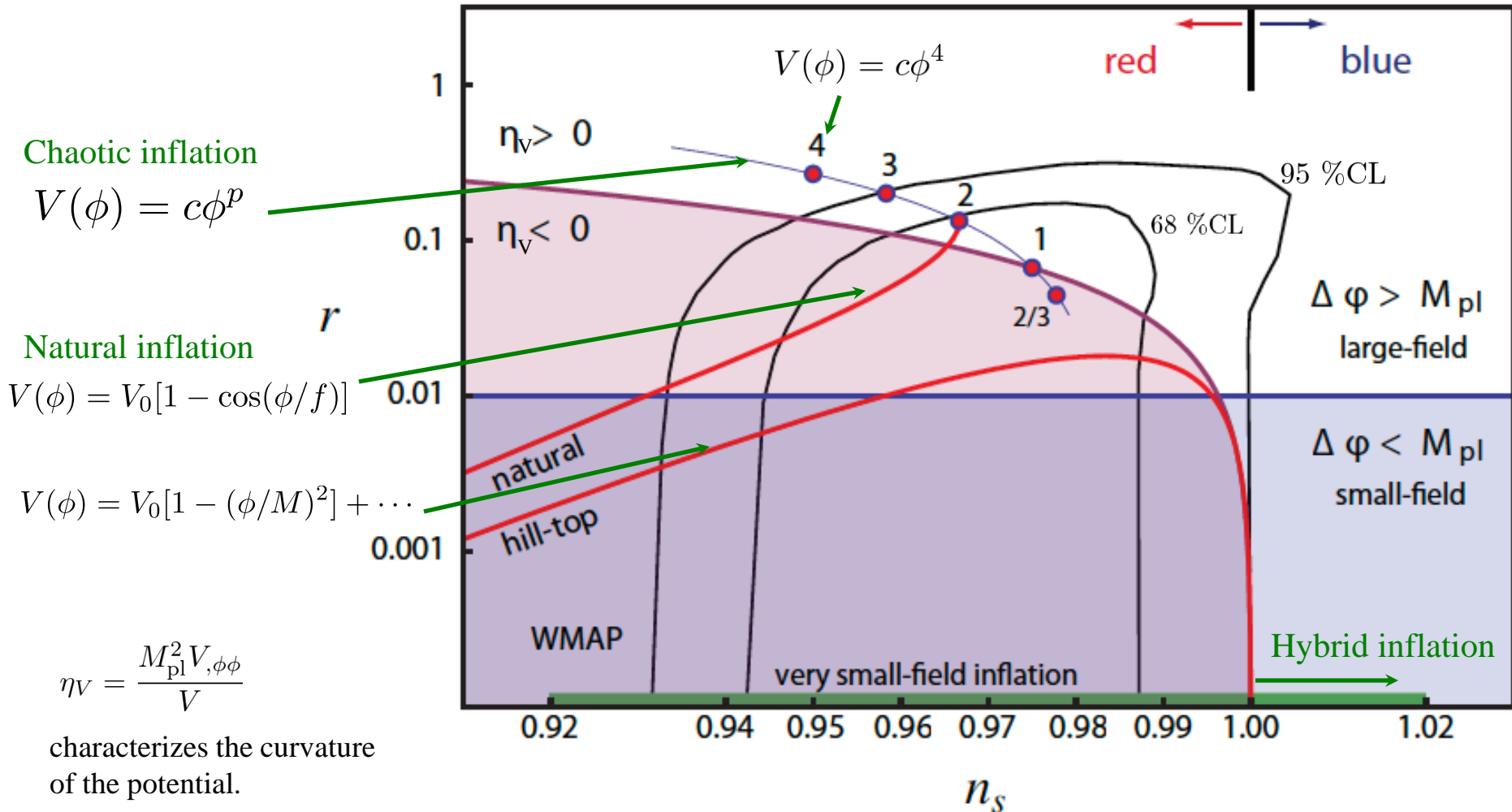
These observables depend on the slope of the potential.

# Observational bounds

If PLANCK places the bound like  $r < 0.01$ , many slow-roll inflation models can be ruled out.

Lyth bound

$$\frac{\Delta\phi}{M_{\text{pl}}} \gtrsim \left(\frac{r}{0.01}\right)^{1/2}$$



$$\eta_V = \frac{M_{\text{pl}}^2 V_{,\phi\phi}}{V}$$

characterizes the curvature of the potential.

# Scalar non-Gaussianities

The information of scalar non-Gaussianities is useful to place further constraints on inflationary models.

Three-point correlation function of curvature perturbations is

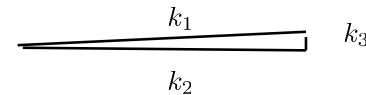
$$\langle \mathcal{R}(\mathbf{k}_1) \mathcal{R}(\mathbf{k}_2) \mathcal{R}(\mathbf{k}_3) \rangle = (2\pi)^7 \delta^{(3)}(\mathbf{k}_1 + \mathbf{k}_2 + \mathbf{k}_3) (\mathcal{P}_{\mathcal{R}})^2 \frac{\mathcal{A}_{\mathcal{R}}}{\prod_{i=1}^3 k_i^3}$$

The non-linear parameter is defined as  $f_{\text{NL}} = \frac{10}{3} \frac{\mathcal{A}_{\mathcal{R}}}{\sum_{i=1}^3 k_i^3}$

There are several different shapes of non-Gaussianities.

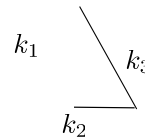
**(i) Squeezed limit (local type)**

$$k_3 \rightarrow 0, k_1 \sim k_2$$



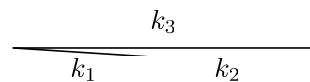
**(ii) Equilateral type**

$$k_1 = k_2 = k_3$$



**(iii) Enfolded type**

$$k_3 = k_1 + k_2$$



## WMAP9 constraints on the nonlinear parameter

$$\begin{aligned} f_{\text{NL}}^{\text{local}} &= 37.2 \pm 19.9 & (68 \% \text{ CL}), & & f_{\text{NL}}^{\text{local}} &= 37 \pm 40 & (95 \% \text{ CL}), \\ f_{\text{NL}}^{\text{equil}} &= 51 \pm 136 & (68 \% \text{ CL}), & & f_{\text{NL}}^{\text{equil}} &= 51 \pm 272 & (95 \% \text{ CL}), \\ f_{\text{NL}}^{\text{ortho}} &= -245 \pm 100 & (68 \% \text{ CL}), & & f_{\text{NL}}^{\text{ortho}} &= -245 \pm 200 & (95 \% \text{ CL}). \end{aligned}$$

The ‘orthogonal’ shape is orthogonal to the equilateral template (explained later).

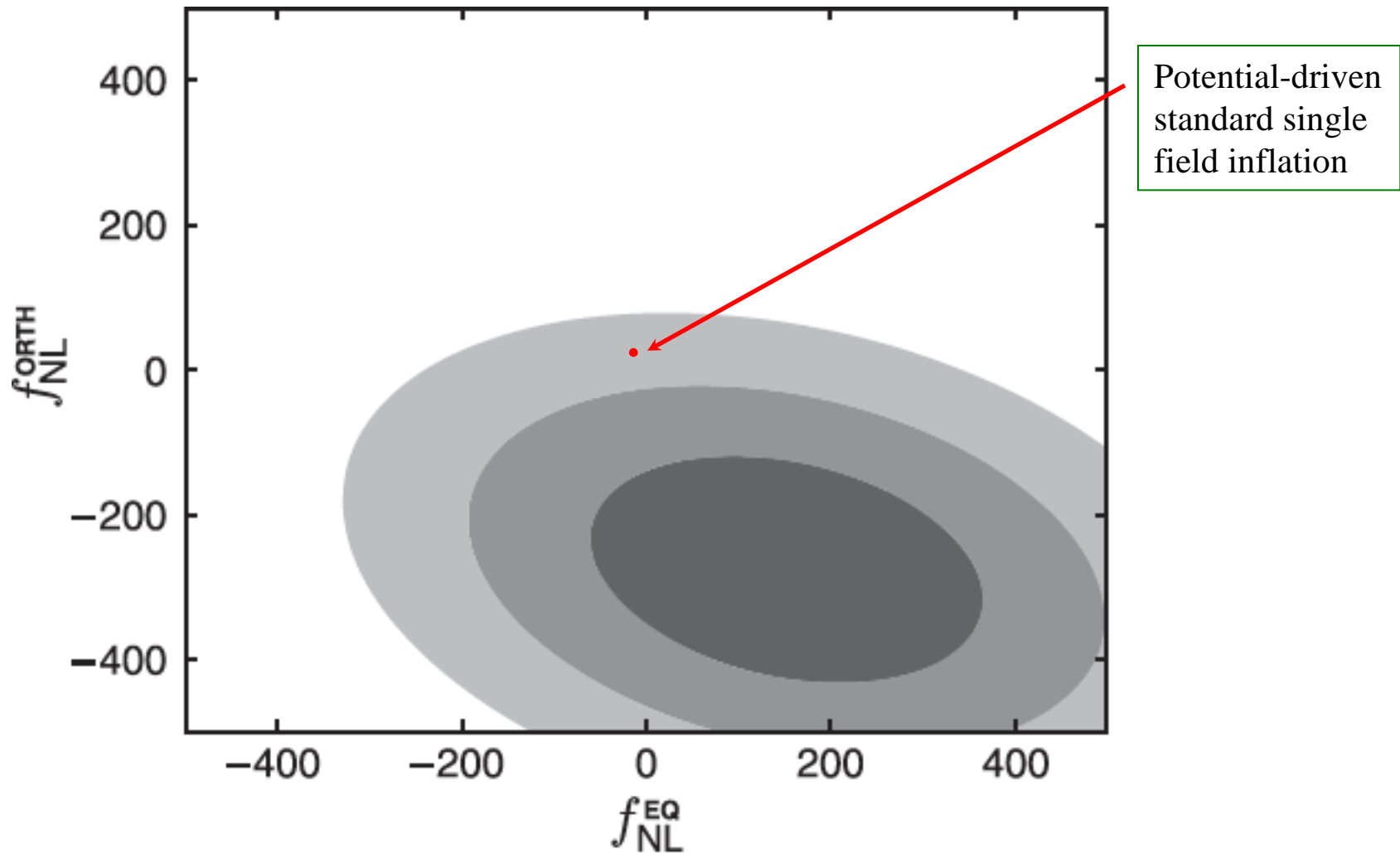
Using the relation  $f_{\text{NL}}^{\text{enfold}} = (f_{\text{NL}}^{\text{equil}} - f_{\text{NL}}^{\text{ortho}})/2$ , the enfolded nonlinear parameter is constrained to be

$$f_{\text{NL}}^{\text{enfold}} = 148 \pm 118 \quad (68 \% \text{ CL}), \quad f_{\text{NL}}^{\text{enfold}} = 148 \pm 236 \quad (95 \% \text{ CL})$$

For the local, orthogonal, and enfolded shapes the model with purely Gaussian perturbations ( $f_{\text{NL}} = 0$ ) is outside the 68 % observational contour, but, apart from the orthogonal case, it is still consistent with the WMAP constraints at 95 % CL.

We evaluate the nonlinear parameter in the Horndeski’s theory which can be used for any shape of non-Gaussianities.

WMAP9 2-dimensional constraints on  $f_N^{\text{equil}}$  and  $f_N^{\text{ortho}}$



# The third-order action for scalar perturbations

$$\mathcal{S}_3 = \int dt d^3x \left\{ a^3 C_1 M_{\text{pl}}^2 \mathcal{R} \dot{\mathcal{R}}^2 + a C_2 M_{\text{pl}}^2 \mathcal{R} (\partial \mathcal{R})^2 + a^3 C_3 M_{\text{pl}} \dot{\mathcal{R}}^3 + a^3 C_4 \dot{\mathcal{R}} (\partial_i \mathcal{R}) (\partial_i \mathcal{X}) + a^3 (C_5 / M_{\text{pl}}^2) \partial^2 \mathcal{R} (\partial \mathcal{X})^2 \right. \\ \left. + a C_6 \dot{\mathcal{R}}^2 \partial^2 \mathcal{R} + C_7 [\partial^2 \mathcal{R} (\partial \mathcal{R})^2 - \mathcal{R} \partial_i \partial_j (\partial_i \mathcal{R}) (\partial_j \mathcal{R})] / a + a (C_8 / M_{\text{pl}}) [\partial^2 \mathcal{R} \partial_i \mathcal{R} \partial_i \mathcal{X} - \mathcal{R} \partial_i \partial_j (\partial_i \mathcal{R}) (\partial_j \mathcal{X})] \right. \\ \left. + \mathcal{F}_1 \frac{\delta \mathcal{L}_2}{\delta \mathcal{R}} \Big|_1 \right\}$$

where  $\partial^2 \mathcal{X} = Q \dot{\mathcal{R}}$  and  $C_i$  are coefficients which depend on the background.

$\mathcal{F}_1$  includes the time and spatial derivatives of  $\mathcal{R}$  and  $\mathcal{X}$  alone, and

$$\frac{\delta \mathcal{L}_2}{\delta \mathcal{R}} \Big|_1 \equiv -2 \left[ \frac{d}{dt} (a^3 Q \dot{\mathcal{R}}) - a Q c_s^2 \partial^2 \mathcal{R} \right]$$

The vacuum expectation value of  $\mathcal{R}$  for the three-point operator in the asymptotic future ( $\tau \rightarrow 0$ ) is

$$\langle \mathcal{R}(\mathbf{k}_1) \mathcal{R}(\mathbf{k}_2) \mathcal{R}(\mathbf{k}_3) \rangle = -i \int_{-\infty}^0 d\tau a \langle 0 | [\mathcal{R}(0, \mathbf{k}_1) \mathcal{R}(0, \mathbf{k}_2) \mathcal{R}(0, \mathbf{k}_3), \mathcal{H}_{\text{int}}(\tau)] | 0 \rangle$$

where  $\mathcal{H}_{\text{int}} = -\mathcal{L}_3$  and  $\mathcal{S}_3 = \int dt \mathcal{L}_3$ .

We write the three-point correlation function in the form

$$\langle \mathcal{R}(\mathbf{k}_1) \mathcal{R}(\mathbf{k}_2) \mathcal{R}(\mathbf{k}_3) \rangle = (2\pi)^3 \delta^{(3)}(\mathbf{k}_1 + \mathbf{k}_2 + \mathbf{k}_3) (\mathcal{P}_{\mathcal{R}})^2 \mathcal{F}_{\mathcal{R}}(k_1, k_2, k_3)$$

where

$$\mathcal{F}_{\mathcal{R}}(k_1, k_2, k_3) = \frac{(2\pi)^4}{\prod_{i=1}^3 k_i^3} \mathcal{A}_{\mathcal{R}}(k_1, k_2, k_3)$$

The bispectrum  $\mathcal{R}$  can be evaluated under the slow-variation approximation.

# The leading-order bispectrum

Using the mode function on the de Sitter background ( $a = -1/(H\tau)$ ) and assuming that  $\mathcal{C}_i$ 's are positive, the bispectrum is

$$\mathcal{A}_{\mathcal{R}} \supset \frac{c_s^2}{4\epsilon_s F} \mathcal{C}_1 S_1 + \frac{1}{4\epsilon_s F} \mathcal{C}_2 S_2 + \frac{3c_s^2}{2\epsilon_s F} \frac{H}{M_{\text{pl}}} \mathcal{C}_3 S_3 + \frac{1}{8} \mathcal{C}_4 S_4 + \frac{\epsilon_s F}{4c_s^2} \mathcal{C}_5 S_5$$

$$+ \frac{3}{\epsilon_s F} \left( \frac{H}{M_{\text{pl}}} \right)^2 \mathcal{C}_6 S_6 + \frac{1}{2\epsilon_s F c_s^2} \left( \frac{H}{M_{\text{pl}}} \right)^2 \mathcal{C}_7 S_7 + \frac{1}{8c_s^2} \frac{H}{M_{\text{pl}}} \mathcal{C}_8 S_8,$$

Gao and Steer (2011),  
De Felice and S.T. (2011)

where the shape functions are

$$S_1 = \frac{2}{K} \sum_{i>j} k_i^2 k_j^2 - \frac{1}{K^2} \sum_{i \neq j} k_i^2 k_j^3, \quad S_2 = \frac{1}{2} \sum_i k_i^3 + \frac{2}{K} \sum_{i>j} k_i^2 k_j^2 - \frac{1}{K^2} \sum_{i \neq j} k_i^2 k_j^3, \quad S_3 = \frac{(k_1 k_2 k_3)^2}{K^3},$$

$$S_4 = \sum_i k_i^3 - \frac{1}{2} \sum_{i \neq j} k_i k_j^2 - \frac{2}{K^2} \sum_{i \neq j} k_i^2 k_j^3, \quad S_5 = \frac{1}{K^2} \left[ \sum_i k_i^5 + \frac{1}{2} \sum_{i \neq j} k_i k_j^4 - \frac{3}{2} \sum_{i \neq j} k_i^2 k_j^3 - k_1 k_2 k_3 \sum_{i>j} k_i k_j \right],$$

$$S_6 = S_3, \quad S_7 = \frac{1}{K} \left( 1 + \frac{1}{K^2} \sum_{i>j} k_i k_j + \frac{3k_1 k_2 k_3}{K^3} \right) \left[ \frac{3}{4} \sum_i k_i^4 - \frac{3}{2} \sum_{i>j} k_i^2 k_j^2 \right],$$

$$S_8 = \frac{1}{K^2} \left[ \frac{3}{2} k_1 k_2 k_3 \sum_i k_i^2 - \frac{5}{2} k_1 k_2 k_3 K^2 - 6 \sum_{i \neq j} k_i^2 k_j^3 - \sum_i k_i^5 + \frac{7}{2} K \sum_i k_i^4 \right]$$

Present in  
k-inflation

These appear in the  
Horndeski's theory.

and  $K = k_1 + k_2 + k_3$ .

$S_7$  and  $S_8$  can be expressed as

$$S_7 = -\frac{3}{2}(3S_1 - S_2) + 18S_3, \quad S_8 = 3S_1 - S_2 + 3S_4.$$

## Simplification of the bispectrum

The coefficients are the order  $\mathcal{C}_i = \mathcal{O}(\epsilon)$ .

$$\mathcal{A}_{\mathcal{R}} \supset \frac{c_s^2}{4\epsilon_s F} \mathcal{C}_1 S_1 + \frac{1}{4\epsilon_s F} \mathcal{C}_2 S_2 + \frac{3c_s^2}{2\epsilon_s F} \frac{H}{M_{\text{pl}}} \mathcal{C}_3 S_3 + \frac{1}{8} \mathcal{C}_4 S_4 + \frac{\epsilon_s F}{4c_s^2} \mathcal{C}_5 S_5$$

$$+ \frac{3}{\epsilon_s F} \left( \frac{H}{M_{\text{pl}}} \right)^2 \mathcal{C}_6 S_6 + \frac{1}{2\epsilon_s F c_s^2} \left( \frac{H}{M_{\text{pl}}} \right)^2 \mathcal{C}_7 S_7 + \frac{1}{8c_s^2} \frac{H}{M_{\text{pl}}} \mathcal{C}_8 S_8, \quad \mathcal{O}(\epsilon^2). \text{ Neglected.}$$



$$\mathcal{A}_{\mathcal{R}} \supset \frac{c_s^2}{4\epsilon_s F} \tilde{\mathcal{C}}_1 S_1 + \frac{1}{4\epsilon_s F} \tilde{\mathcal{C}}_2 S_2 + \frac{3c_s^2}{2\epsilon_s F} \frac{H}{M_{\text{pl}}} \tilde{\mathcal{C}}_3 S_3 + \frac{1}{8} \tilde{\mathcal{C}}_4 S_4$$

$$\underbrace{\hspace{15em}}_{\mathcal{O}(\epsilon^0)} \qquad \qquad \qquad \underbrace{\hspace{15em}}_{\mathcal{O}(\epsilon)}$$

where  $\tilde{\mathcal{C}}_1 = \mathcal{C}_1 - \frac{3H^2}{2c_s^4 M_{\text{pl}}^2} (6 + 2\epsilon + 7\eta_{sF} - 5\eta_\gamma) \mathcal{C}_7 + \frac{3H\epsilon_s F}{2c_s^4 M_{\text{pl}}} \mathcal{C}_8$  etc

If the leading-order bispectrum vanishes (which happens in the local limit), we need to take into account the  $\mathcal{O}(\epsilon)$  corrections to  $\tilde{\mathcal{C}}_1, \tilde{\mathcal{C}}_2, \tilde{\mathcal{C}}_3$ .



## Slow-variation corrections

(i) The variation of the coefficients  $\mathcal{C}_i$

$$\tilde{\mathcal{C}}_i(\tau) = \tilde{\mathcal{C}}_i(\tau_K) - \frac{d\tilde{\mathcal{C}}_i}{dt} \frac{1}{H_K} \ln \frac{\tau}{\tau_K} + \mathcal{O}(\epsilon^2 \tilde{\mathcal{C}}_i) \quad \text{where} \quad \tau_K = -1/(Kc_sK)$$

(ii) The correction to the scale factor

$$a = -\frac{1}{H_K \tau} - \frac{\epsilon}{H_K \tau} + \frac{\epsilon}{H_K \tau} \ln(\tau/\tau_K) + \mathcal{O}(\epsilon^2)$$

(iii) The correction to the mode function

$$u(y) = -\frac{\sqrt{\pi}}{2\sqrt{2}} \frac{1}{\sqrt{\epsilon_s F c_s}} \frac{H}{M_{\text{pl}}} \frac{y^{3/2}}{k^{3/2}} \left(1 + \frac{1}{2}\epsilon + \frac{1}{2}s\right) e^{i\frac{\pi}{2}(\epsilon + \frac{1}{2}\eta_{sF})} H_\nu^{(1)}[(1 + \epsilon + s)y]$$

$$\text{where } y = c_s k / (aH), \quad \nu = 3/2 + \epsilon + \eta_{sF}/2 + s/2$$

The corrections to the bispectrum can be written as

$$\Delta \mathcal{A}_{\mathcal{R}}^{(1)} = \left( \frac{c_s^2}{4\epsilon_s F} \tilde{\mathcal{C}}_1^{\text{lead}} \right)_K \delta Q_1, \quad \Delta \mathcal{A}_{\mathcal{R}}^{(2)} = - \left( \frac{1}{4\epsilon_s F} \tilde{\mathcal{C}}_2^{\text{lead}} \right)_K \delta Q_2, \quad \Delta \mathcal{A}_{\mathcal{R}}^{(3)} = \left( \frac{3c_s^2 H}{4\epsilon_s F M_{\text{pl}}} \tilde{\mathcal{C}}_3^{\text{lead}} \right)_K \delta Q_3$$

$\delta Q_i$  ( $i = 1, 2, 3$ ) are the terms of the order of  $\mathcal{O}(\epsilon)$ .

# Full bispectrum

De Felice and S.T. (2013)

$$\mathcal{A}_{\mathcal{R}} = \mathcal{A}_{\mathcal{R}}^{\text{lead}} + \mathcal{A}_{\mathcal{R}}^{\text{corre}}$$

where

$$\begin{aligned} \mathcal{A}_{\mathcal{R}}^{\text{lead}} &= \left[ \frac{1}{4} \left( 1 - \frac{1}{c_s^2} \right) + \frac{1}{2c_s^2} \frac{\delta\mathcal{C}_7}{\epsilon_s} \right] (3S_1 - S_2) + \left[ \frac{3}{2} \left( \frac{1}{c_s^2} - 1 \right) - \frac{3\lambda}{\Sigma} + \frac{6\delta\mathcal{C}_6}{\epsilon_s} - \frac{6}{c_s^2} \frac{\delta\mathcal{C}_7}{\epsilon_s} \right] S_3, \\ \mathcal{A}_{\mathcal{R}}^{\text{corre}} &= \frac{1}{4c_s^2} \left[ \delta\mathcal{C}_1 + (2\epsilon + 7\eta_{sF} - 5\eta_7) \frac{\delta\mathcal{C}_7}{\epsilon_s} + 3\delta\mathcal{C}_8 \right] S_1 + \frac{1}{4c_s^2} \left[ \delta\mathcal{C}_2 + (2\epsilon - \eta_{sF} - \eta_7 + 4s) \frac{\delta\mathcal{C}_7}{\epsilon_s} - \delta\mathcal{C}_8 \right] S_2 \\ &\quad + \left[ \frac{3}{2} \delta\mathcal{C}_3 + (3\eta_{sF} - \eta_6 - 4s) \frac{\delta\mathcal{C}_6}{\epsilon_s} - \frac{1}{c_s^2} (3\eta_{sF} - 2\eta_7 - s) \frac{\delta\mathcal{C}_7}{\epsilon_s} \right] S_3 - \frac{1}{4c_s^2} (\epsilon_s - 3\delta\mathcal{C}_8) S_4 \\ &\quad - \frac{1}{4} \left( \frac{1}{c_s^2} - 1 - \frac{2}{c_s^2} \frac{\delta\mathcal{C}_7}{\epsilon_s} \right) (3\delta Q_1 + \delta Q_2) + \frac{3}{4} \left( \frac{1}{c_s^2} - 1 - \frac{2\lambda}{\Sigma} + 4 \frac{\delta\mathcal{C}_6}{\epsilon_s} - \frac{4}{c_s^2} \frac{\delta\mathcal{C}_7}{\epsilon_s} \right) \delta Q_3 \end{aligned}$$

where  $\delta\mathcal{C}_i$ 's are the order of  $\mathcal{O}(\epsilon)$  and

$$\Sigma = \frac{w_1(4w_1w_3 + 9w_2^2)}{12M_{\text{pl}}^4},$$

$$\begin{aligned} \lambda &= \frac{F^2}{3} [3X^2 P_{,XX} + 2X^3 P_{,XXX} + 3H\dot{\phi}(XG_{3,X} + 5X^2 G_{3,XX} + 2X^3 G_{3,XXX}) - 2(2X^2 G_{3,\phi X} + X^3 G_{3,\phi XX}) \\ &\quad + 6H^2(9X^2 G_{4,XX} + 16X^3 G_{4,XXX} + 4X^4 G_{4,XXXX}) - 3H\dot{\phi}(3XG_{4,\phi X} + 12X^2 G_{4,\phi XX} + 4X^3 G_{4,\phi XXX}) \\ &\quad + H^3\dot{\phi}(3XG_{5,X} + 27X^2 G_{5,XX} + 24X^3 G_{5,XXX} + 4X^4 G_{5,XXXX}) \\ &\quad - 6H^2(6X^2 G_{5,\phi X} + 9X^3 G_{5,\phi XX} + 2X^4 G_{5,\phi XXX}) \end{aligned}$$

## Local non-Gaussianities

- **Squeezed limit:**  $k_3 \rightarrow 0, k_2 \rightarrow k_1 \equiv k$

$$S_2 = 3k^3/2 = 3S_1, S_3 = S_4 = 0 \quad \longrightarrow \quad \boxed{\mathcal{A}_{\mathcal{R}}^{\text{lead}} = 0}$$

$$\mathcal{A}_{\mathcal{R}}^{\text{corre}} = \frac{k^3}{4}(2\epsilon + \eta_{sF} + s) \quad \longrightarrow \quad \boxed{f_{\text{NL}}^{\text{local}} = \frac{5}{12}(1 - n_s) \ll 1}$$

Same as that derived by Maldacena in standard slow-roll inflation.

The small local non-Gaussianity in the squeezed limit is a generic feature in the single-field slow-variation inflation.

- **Not-so squeezed case:**  $r_3 = k_3/k_1 \neq 0$ , but  $r_3 \ll 1$

The leading-order bispectrum has the dependence  $|f_{\text{NL}}^{\text{lead}}| \approx r_3^2/c_s^2$

This dominates over the correction for

$$r_3 > c_s \sqrt{1 - n_s} \quad \longrightarrow \quad r_3 > 0.2c_s \text{ for } n_s = 0.96$$

For the models with  $c_s^2 \ll 1$  there is the growth of  $|f_{\text{NL}}|$  with the increase of  $r_3$  from 0.

# Equilateral and enfolded non-Gaussianities

## ● Equilateral non-Gaussianity

$$k_1 = k_2 = k_3$$

$$\lambda_{3X} = XG_{3,XX}/G_{3,X}$$

etc

$$f_{\text{NL}}^{\text{equil,lead}} = \frac{85}{324} \left(1 - \frac{1}{c_s^2}\right) - \frac{10}{81} \frac{\lambda}{\Sigma} + \frac{20}{81\epsilon_s} [(1 + \lambda_{3X})\delta_{G3X} + 4(3 + 2\lambda_{4X})\delta_{G4XX} + \delta_{G5X} + (5 + 2\lambda_{5X})\delta_{G5XX}]$$

$$+ \frac{65}{162c_s^2\epsilon_s} (\delta_{G3X} + 6\delta_{G4XX} + \delta_{G5X} + \delta_{G5XX})$$

➔  $|f_{\text{NL}}^{\text{equil,lead}}| \gg 1$  for  $c_s^2 \ll 1$

In standard slow-roll inflation with  $G_3 = G_4 = G_5 = 0$  we have

$$f_{\text{NL}}^{\text{equil,lead}} = 0 \text{ and } f_{\text{NL}}^{\text{equil,corre}} = 55\epsilon_s/36 + 5\eta_s/12. \quad \text{➔ small}$$

## ● Enfolded non-Gaussianity

$$k_3 \rightarrow k, k_1 \rightarrow k_2 = k/2$$

$$f_{\text{NL}}^{\text{enfold,lead}} = \frac{1}{32} \left(1 - \frac{1}{c_s^2}\right) - \frac{1}{16} \frac{\lambda}{\Sigma} + \frac{1}{8\epsilon_s} [(1 + \lambda_{3X})\delta_{G3X} + 4(3 + 2\lambda_{4X})\delta_{G4XX} + \delta_{G5X} + (5 + 2\lambda_{5X})\delta_{G5XX}]$$

➔  $|f_{\text{NL}}^{\text{enfold,lead}}| \gg 1$  for  $c_s^2 \ll 1$

In standard slow-roll inflation with  $G_3 = G_4 = G_5 = 0$  we have

$$f_{\text{NL}}^{\text{enfold,lead}} = 0 \text{ and } f_{\text{NL}}^{\text{enfold,corre}} = 7\epsilon_s/8 + 5\eta_s/12. \quad \text{➔ small}$$

# Shapes of non-Gaussianities

The CMB data analysis of non-Gaussianities have been carried out by using the factorizable shape functions:

$$\mathcal{F}_{\mathcal{R}} = (2\pi)^4 \frac{\mathcal{A}_{\mathcal{R}}}{\prod_{i=1}^3 k_i^3}$$

## (i) Local template

$$\mathcal{F}_{\mathcal{R}}^{\text{local}}(k_1, k_2, k_3) = (2\pi)^4 \left( \frac{3}{10} f_{\text{NL}}^{\text{local}} \right) \left( \frac{1}{k_1^3 k_2^3} + \frac{1}{k_2^3 k_3^3} + \frac{1}{k_3^3 k_1^3} \right)$$

## (ii) Equilateral template

$$\mathcal{F}_{\mathcal{R}}^{\text{equil}}(k_1, k_2, k_3) = (2\pi)^4 \left( \frac{9}{10} f_{\text{NL}}^{\text{equil}} \right) \left[ -\frac{1}{k_1^3 k_2^3} - \frac{1}{k_2^3 k_3^3} - \frac{1}{k_3^3 k_1^3} - \frac{2}{k_1^2 k_2^2 k_3^2} + \left( \frac{1}{k_1 k_2^2 k_3^3} + 5 \text{ perm.} \right) \right]$$

## (iii) Orthogonal template

Orthogonal to the equilateral one (the correlation with the equilateral template is small).

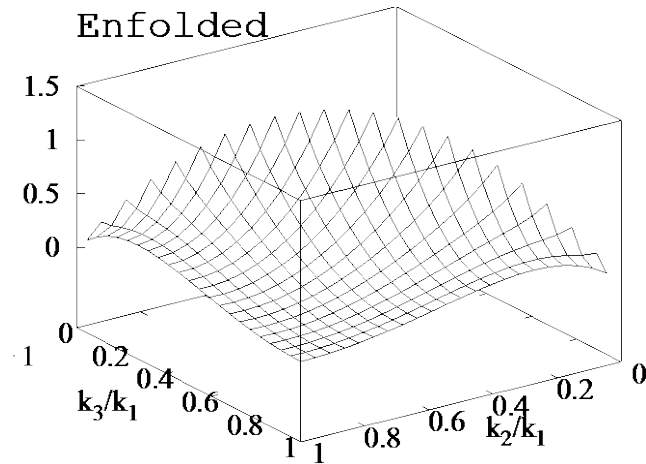
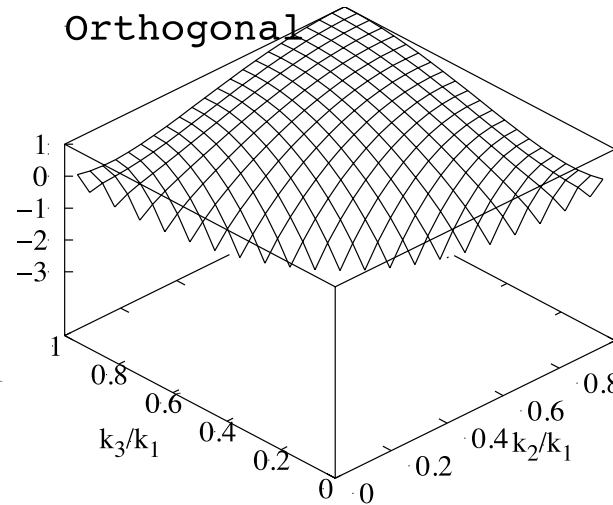
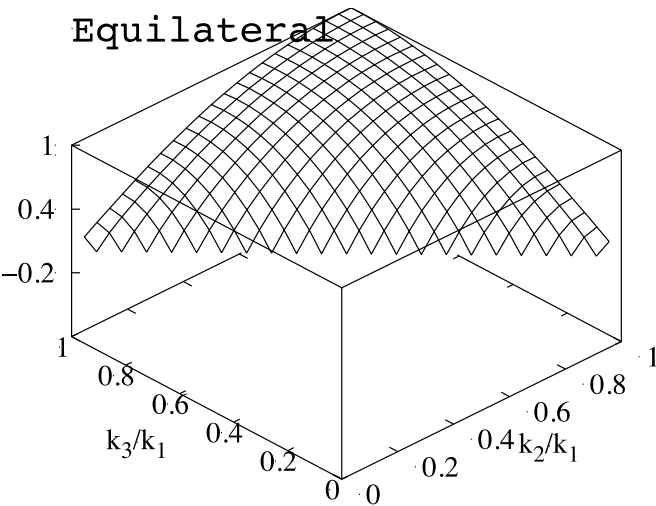
$$\mathcal{F}_{\mathcal{R}}^{\text{ortho}}(k_1, k_2, k_3) = (2\pi)^4 \left( \frac{9}{10} f_{\text{NL}}^{\text{ortho}} \right) \left[ -\frac{3}{k_1^3 k_2^3} - \frac{3}{k_2^3 k_3^3} - \frac{3}{k_3^3 k_1^3} - \frac{8}{k_1^2 k_2^2 k_3^2} + \left( \frac{3}{k_1 k_2^2 k_3^3} + 5 \text{ perm.} \right) \right]$$

## (iv) Enfolded template

$$\mathcal{F}_{\mathcal{R}}^{\text{enfold}} = (\mathcal{F}_{\mathcal{R}}^{\text{equil}} - \mathcal{F}_{\mathcal{R}}^{\text{ortho}})/2$$

$$\mathcal{F}_{\mathcal{R}}^{\text{enfold}}(k_1, k_2, k_3) = (2\pi)^4 \left( \frac{9}{10} f_{\text{NL}}^{\text{enf}} \right) \left[ \frac{1}{k_1^3 k_2^3} + \frac{1}{k_2^3 k_3^3} + \frac{1}{k_3^3 k_1^3} + \frac{3}{k_1^2 k_2^2 k_3^2} - \left( \frac{1}{k_1 k_2^2 k_3^3} + 5 \text{ perm.} \right) \right]$$

# Templates



The correlation between two different templates

$$C(\mathcal{F}_{\mathcal{R}}^{(i)}, \mathcal{F}_{\mathcal{R}}^{(j)}) = \frac{\mathcal{I}(\mathcal{F}_{\mathcal{R}}^{(i)}, \mathcal{F}_{\mathcal{R}}^{(j)})}{\sqrt{\mathcal{I}(\mathcal{F}_{\mathcal{R}}^{(i)}, \mathcal{F}_{\mathcal{R}}^{(i)}) \mathcal{I}(\mathcal{F}_{\mathcal{R}}^{(j)}, \mathcal{F}_{\mathcal{R}}^{(j)})}}$$

where

$$\mathcal{I}(\mathcal{F}_{\mathcal{R}}^{(i)}, \mathcal{F}_{\mathcal{R}}^{(j)}) = \int d\mathcal{V}_k \mathcal{F}_{\mathcal{R}}^{(i)}(k_1, k_2, k_3) \mathcal{F}_{\mathcal{R}}^{(j)}(k_1, k_2, k_3) \frac{(k_1 k_2 k_3)^4}{(k_1 + k_2 + k_3)^3}$$

e.g.,

$$C(\mathcal{F}_{\mathcal{R}}^{\text{equil}}, \mathcal{F}_{\mathcal{R}}^{\text{ortho}}) = 0.025 \quad C(\mathcal{F}_{\mathcal{R}}^{\text{equil}}, \mathcal{F}_{\mathcal{R}}^{\text{enfold}}) = 0.512$$

# Horndeski's theory

The leading-order bispectrum is

$$\mathcal{A}_{\mathcal{R}}^{\text{lead}} = \left[ \frac{1}{4} \left( 1 - \frac{1}{c_s^2} \right) + \frac{1}{2c_s^2} \frac{\delta\mathcal{C}_7}{\epsilon_s} \right] (3S_1 - S_2) + \left[ \frac{3}{2} \left( \frac{1}{c_s^2} - 1 \right) - \frac{3\lambda}{\Sigma} + \frac{6\delta\mathcal{C}_6}{\epsilon_s} - \frac{6}{c_s^2} \frac{\delta\mathcal{C}_7}{\epsilon_s} \right] S_3$$

The correlation with the equilateral template is large, but there is also the contribution from the orthogonal one.

The shape functions  $3S_1 - S_2$  and  $S_3$  are related with  $S_7$ , as

$$S_7 = -\frac{3}{2}(3S_1 - S_2) + 18S_3$$



The correlation with the equilateral template is  
 $C = 0.999892$  (very close to 1)

We can use the following equilateral and orthogonal bases to rewrite the bispectrum.

$$S_7^{\text{equil}} = -\frac{12}{13} S_7$$



The shape function  $\mathcal{F}_7^{\text{equil}}$  is normalized to be 1 at  $k_1 = k_2 = k_3$ .

$$S_7^{\text{ortho}} = \frac{12}{14 - 13\beta} (\beta S_7 + 3S_1 - S_2)$$



$$C(S_7^{\text{equil}}, S_7^{\text{ortho}}) = 0$$

where  $\beta = \frac{16}{3} \frac{248041 - 25200\pi^2}{1986713 - 201600\pi^2}$

## Leading-order bispectrum

$$\mathcal{A}_{\mathcal{R}}^{\text{lead}} = c_1 S_7^{\text{equil}} + c_2 S_7^{\text{ortho}}$$

where

$$c_1 = \frac{13}{12} \left[ \frac{1}{24} \left( 1 - \frac{1}{c_s^2} \right) (2 + 3\beta) + \frac{\lambda}{12\Sigma} (2 - 3\beta) - \frac{\delta\mathcal{C}_6}{6\epsilon_s} (2 - 3\beta) + \frac{\delta\mathcal{C}_7}{3\epsilon_s c_s^2} \right],$$
$$c_2 = \frac{14 - 13\beta}{12} \left[ \frac{1}{8} \left( 1 - \frac{1}{c_s^2} \right) - \frac{\lambda}{4\Sigma} + \frac{\delta\mathcal{C}_6}{2\epsilon_s} \right] \quad (\beta \simeq 1.2)$$

The coefficients  $c_1$  and  $c_2$  characterise the contributions of equilateral and orthogonal shapes.



This depends on the models of inflation. For the models characterized by

$$\delta\mathcal{C}_7 = \delta_{G3X} + 6\delta_{G4XX} + \delta_{G5X} + \delta_{G5XX} \neq 0,$$

the orthogonal contribution can be important (where  $\delta_{G3X} = \frac{G_{3,X}\dot{\phi}X}{M_{\text{pl}}^2 H F}$  etc).



## Power-law k-inflation

Power-law k-inflation ( $a \propto t^{1/\gamma}$  with  $\gamma \ll 1$ ) can be realized for

$$P(\phi, X) = K(\phi)(-X + X^2), \quad G_3 = 0, \quad G_4 = 0, \quad G_5 = 0 \quad K(\phi) \propto \phi^{-2}$$

In this case one has

$$X = \frac{3 - \gamma}{3(2 - \gamma)}, \quad c_s^2 = \frac{\gamma}{3(4 - \gamma)} \ll 1$$

In the limit that  $c_s^2 \ll 1$  we have

$$\mathcal{A}_{\mathcal{R}}^{\text{lead}} \simeq (-0.252/c_s^2)S_7^{\text{equil}} + (0.016/c_s^2)S_7^{\text{ortho}}$$



In this case the equilateral shape dominates.

$$f_{\text{NL}}^{\text{equil,lead}} \simeq -85/(324c_s^2) \quad \text{and} \quad f_{\text{NL}}^{\text{enfold,lead}} \simeq -1/(32c_s^2)$$



The WMAP9 bound gives  $c_s^2 > 1.2 \times 10^{-3}$  (95 % CL)

# Galileon inflation

Model:  $P(X) = -X + X^2/(2M^4)$  with the Galileon terms

$$G_3(X) = \mu X/M^4, \quad G_4(X) = \mu X^2/M^7, \quad G_5(X) = \mu X^2/M^{10}$$

In the limit that  $c_s^2 \ll 1$  the bispectra are

$$(i) \ G_3(X) = \mu X/M^4$$

$$\mathcal{A}_{\mathcal{R}}^{\text{lead}} \simeq (0.109/c_s^2)S_7^{\text{equil}} + (0.016/c_s^2)S_7^{\text{ortho}}$$

$$C^{\text{equil}} = 0.972, \quad C^{\text{ortho}} = 0.240 \quad \text{for } c_s^2 = 0.01$$

$$(ii) \ G_4(X) = \mu X^2/M^7$$

$$\mathcal{A}_{\mathcal{R}}^{\text{lead}} \simeq (0.018/c_s^2)S_7^{\text{equil}} + (0.016/c_s^2)S_7^{\text{ortho}} \longrightarrow$$

The orthogonal contribution is comparable to the equilateral one.

$$C^{\text{equil}} = 0.684, \quad C^{\text{ortho}} = 0.680 \quad \text{for } c_s^2 = 0.01$$

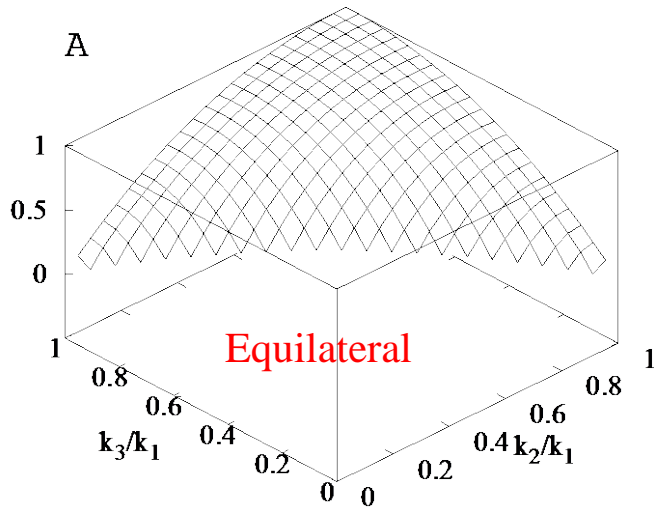
$$(iii) \ G_5(X) = \mu X^2/M^{10}$$

$$\mathcal{A}_{\mathcal{R}}^{\text{lead}} \simeq (-0.012/c_s^2)S_7^{\text{equil}} + (0.016/c_s^2)S_7^{\text{ortho}} \longrightarrow$$

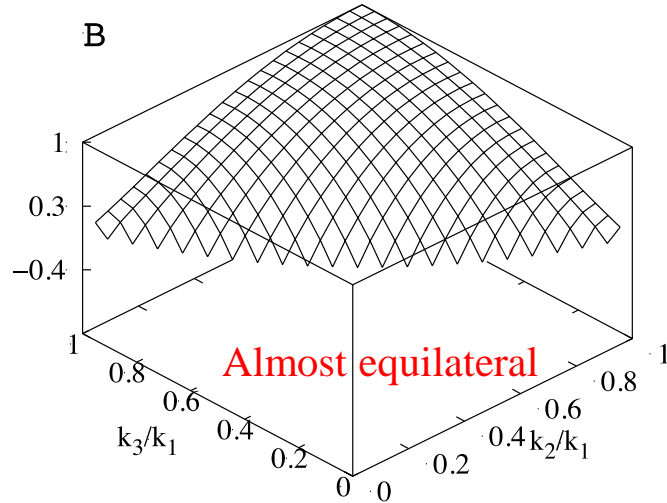
The orthogonal contribution dominates.

$$C^{\text{equil}} = -0.165, \quad C^{\text{ortho}} = 0.888 \quad \text{for } c_s^2 = 0.01$$

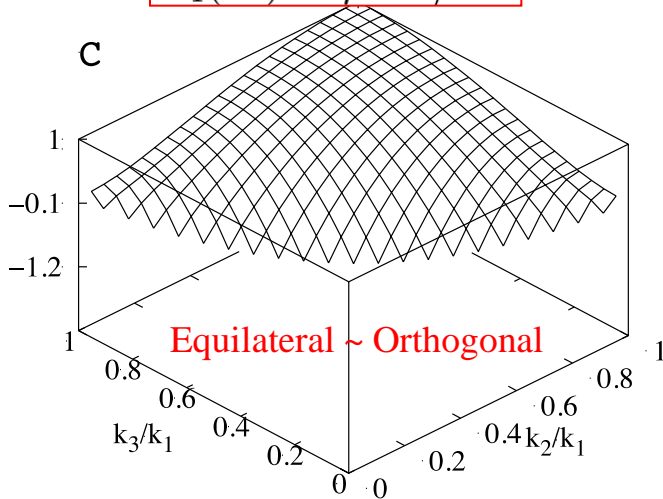
Power-law k-inflation



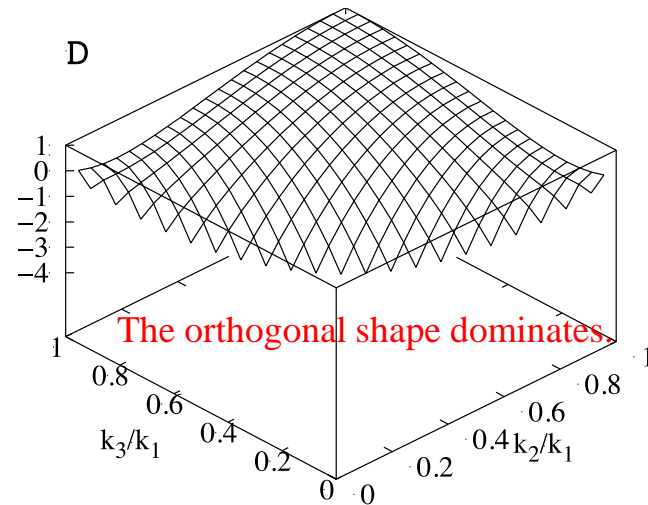
$$G_3(X) = \mu X / M^4$$



$$G_4(X) = \mu X^2 / M^7$$



$$G_5(X) = \mu X^2 / M^{10}$$



## Conclusions

1. We derived the bispectrum of scalar non-Gaussianities in the Horndeski's most general scalar-tensor theories (one scalar degree of freedom).
2. Our formula with slow-variation corrections can be used for any shape of non-Gaussianities (including the squeezed case).
3. We expressed the leading-order bispectrum in terms of equilateral and orthogonal bases.
4. There are some models in which the orthogonal contribution dominates over the equilateral one.

## Future outlook

1. The Planck data will be able to constrain many inflationary models.
2. Especially, the detection of local non-Gaussianities is a challenge for single-field inflationary models.