

Mini-workshop "Massive gravity and its cosmological implications" @IPMU

Vainshtein mechanism in Horndeski's general scalar-tensor theory (and in massive gravity)

Tsutomu Kobayashi
Rikkyo University

小林 努
立教大学



Based on work with

Tastuya Narikawa (Osaka), **Ryo Saito** (YITP), **Daisuke Yamauchi** (RESCEU)

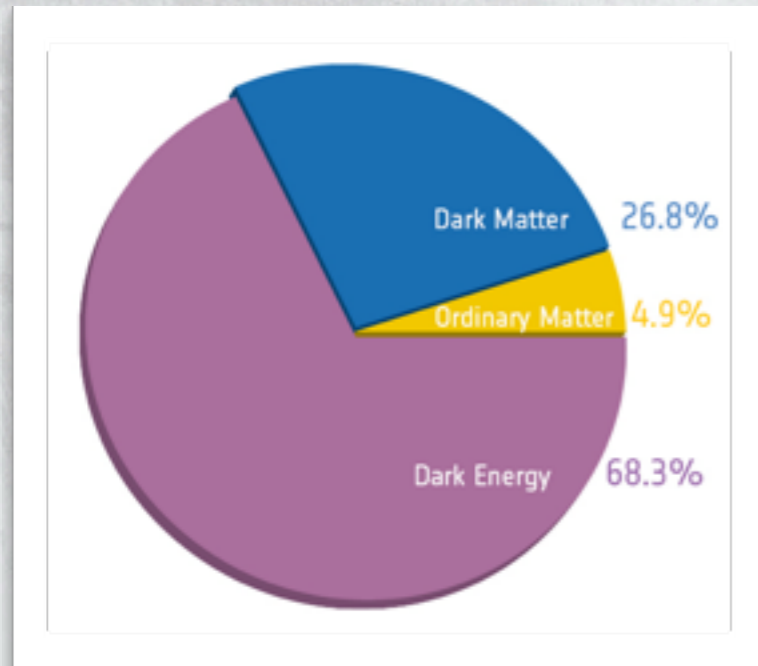
arXiv:1302.2311

Talk plan

- ✓ Introduction & Motivation
- ✓ Horndeski's most general scalar-tensor theory
- ✓ Static, spherically symmetric, weak gravitational field
- ✓ Application
- ✓ Summary

Introduction

Mystery of dark energy



Modified gravity as an alternative to dark energy?

Modification would persist down to small length scales...

Need **screening mechanism** in the vicinity of matter

Basic idea

Extra d.o.f is *effectively* weakly coupled to matter

— **Vainshtein mechanism** Vainshtein (1972)



Example

Cubic **Galileon** non-minimally coupled to matter:

$$\mathcal{L} = \frac{1}{8\pi G} \left[-\frac{1}{2} (\partial\varphi)^2 - \frac{r_c^2}{3} (\partial\varphi)^2 \square\varphi \right] + \varphi T_\mu^\mu$$

(φ : dimensionless)

Key non-linearity

$r_c^2 \square\varphi$ can be large even if $\varphi \ll 1$

$$\varphi \sim \frac{r_g}{r} \ll 1, \quad r_c^2 \square\varphi \sim \frac{r_c^2 r_g}{r^3} \gtrsim 1 \quad \text{for} \quad r \lesssim (r_c^2 r_g)^{1/3}$$

Equation of motion

Static, spherically symmetric, non-relativistic source: $T_{\mu}^{\mu} = -\rho$

$$\rightarrow \frac{1}{r^2} \left\{ (r^2 \varphi')' + \frac{4r_c^2}{3} [r(\varphi')^2]' \right\} = 8\pi G \rho$$

\rightarrow Quadratic algebraic equation for φ'

$$\rightarrow \varphi' = \frac{3r}{8r_c^2} \left(-1 + \sqrt{1 + \frac{16}{3} \frac{r_c^2 r_g}{r^3}} \right)$$

Vainshtein radius

$$r_V = (r_c^2 r_g)^{1/3}$$

✓ $\varphi' \sim \frac{r_g}{r^2} \sim \Phi'$ for $r \gg r_V$ unscreened

✓ $\varphi' \sim \frac{r_g}{r^2} \left(\frac{r}{r_V} \right)^{3/2} \ll \Phi'$ for $r \ll r_V$ screened

(Φ : gravitational potential)

Suppose $r_c = 3$ Gpc

$r_V \sim 100$ pc for the Sun ($M = M_\odot$)

$r_V \sim 1$ Mpc for a galaxy cluster ($M = 10^{14} M_\odot$)

Motivation

- ✓ Study the Vainshtein mechanism in the *most general* scalar-tensor theory, clarifying the conditions under which a screened solution is realized
- ✓ Offer a basic tool to test general scalar-tensor type gravity

**Horndeski's most general
scalar-tensor theory**

Galileon

$$\mathcal{L} = c_1\phi + c_2(\partial\phi)^2 + c_3(\partial\phi)^2\Box\phi + c_4(\partial\phi)^2 [(\Box\phi)^2 - (\partial_\mu\partial_\nu\phi)^2] + c_5(\partial\phi)^2 [(\Box\phi)^3 - 3\Box\phi(\partial_\mu\partial_\nu\phi)^2 + 2(\partial_\mu\partial_\nu\phi)^3]$$

Vainshtein mechanism operates

Burrage, Seery (2010);

Unique scalar-field theory in 4D flat spacetime having

- ✓ Galilean shift symmetry $\phi \rightarrow \phi + b_\mu x^\mu + c$
- ✓ 2nd-order equation of motion

Generalized Galileon

- ✓ Include gravity
- ✓ 2nd-order equation of motion both for $g_{\mu\nu}$ and ϕ
- ✓ Forget about any symmetry...

$$G_{4X} := \frac{\partial G_4}{\partial X}$$

$$\begin{aligned} \mathcal{L} = & K(\phi, X) - G_3(\phi, X)\square\phi \\ & + G_4(\phi, X)R + G_{4X} \left[(\square\phi)^2 - (\nabla_\mu\nabla_\nu\phi)^2 \right] \\ & + G_5(\phi, X)G_{\mu\nu}\nabla^\mu\nabla^\nu\phi - \frac{1}{6}G_{5X} \left[(\square\phi)^3 \right. \\ & \left. - 3(\square\phi)(\nabla_\mu\nabla_\nu\phi)^2 + 2(\nabla_\mu\nabla_\nu\phi)^3 \right] \end{aligned}$$

$$X = -\frac{1}{2}(\partial\phi)^2$$

Horndeski's theory

The most general scalar-tensor theory with second-order field equations

$$\begin{aligned}\mathcal{L}_H = & \delta_{\mu\nu\sigma}^{\alpha\beta\gamma} \left[\kappa_1 \nabla^\mu \nabla_\alpha \phi R_{\beta\gamma}{}^{\nu\sigma} + \frac{2}{3} \kappa_{1X} \nabla^\mu \nabla_\alpha \phi \nabla^\nu \nabla_\beta \phi \nabla^\sigma \nabla_\gamma \phi \right. \\ & \left. + \kappa_3 \nabla_\alpha \phi \nabla^\mu \phi R_{\beta\gamma}{}^{\nu\sigma} + 2\kappa_{3X} \nabla_\alpha \phi \nabla^\mu \phi \nabla^\nu \nabla_\beta \phi \nabla^\sigma \nabla_\gamma \phi \right] \\ & + \delta_{\mu\nu}^{\alpha\beta} \left[(F + 2W) R_{\alpha\beta}{}^{\mu\nu} + 2F_X \nabla^\mu \nabla_\alpha \phi \nabla^\nu \nabla_\beta \phi + 2\kappa_8 \nabla_\alpha \phi \nabla^\mu \phi \nabla^\nu \nabla_\beta \phi \right] \\ & - 6(F_\phi + 2W_\phi - X\kappa_8) \square\phi + \kappa_9\end{aligned}$$

The generalized Galileon is equivalent to Horndeski's theory

Static, spherically symmetric, weak gravitational field

Narikawa, TK, Yamauchi, Saito, 1302.2311

Background

Start with the most general scalar-tensor theory

$$\begin{aligned}\mathcal{L} = & K(\phi, X) - G_3(\phi, X)\square\phi + G_4(\phi, X)R + G_{4X} [(\square\phi)^2 - (\nabla_\mu\nabla_\nu\phi)^2] \\ & + G_5(\phi, X)G^{\mu\nu}\nabla_\mu\nabla_\nu\phi - \frac{1}{6}G_{5X} [(\square\phi)^3 - 3\square\phi(\nabla_\mu\nabla_\nu\phi)^2 + 2(\nabla_\mu\nabla_\nu\phi)^3]\end{aligned}$$

Minkowski background

$$ds^2 = \eta_{\mu\nu}dx^\mu dx^\nu, \quad \phi = \phi_0 = \text{const}, \quad X = 0$$

(Require $K(\phi_0, 0) = 0$, $K_\phi(\phi_0, 0) = 0$ for the theory to admit Minkowski background)

Approximations

Static, spherically symmetric perturbations produced by non-relativistic matter

$$ds^2 = -[1 + 2\Phi(r)]dt^2 + [1 - 2\Psi(r)]d\mathbf{x}^2$$

$$\phi = \phi_0 + \varphi(r)$$

$$T_t^t = -\rho(r)$$

Perturbations are *small*, but non-linear terms can be as large as linear terms

Do not neglect $(\partial\partial\epsilon)^n$

$\partial\partial\epsilon$

$$\epsilon \sim \frac{r_g}{r} \quad (\ll 1) \quad \Rightarrow \quad r_c^2 (\partial\partial\epsilon)^2 \gtrsim \partial\partial\epsilon \quad \text{for} \quad r \lesssim (r_g r_c^2)^{1/3}$$

Gravitational field equations

Time-time component:

$$G_4 \frac{(r^2 \Psi')'}{r^2} - G_{4\phi} \frac{(r^2 \varphi')'}{2r^2} - (G_{4X} - G_{5\phi}) \frac{[r(\varphi')^2]'}{2r^2} + \dots \frac{[(\varphi')^3]'}{6r^2} = \frac{\rho}{4}$$

Background quantities $G_{5X} = G_{5X}(\phi_0, 0), \dots$

Space-space component:

$$2G_4 \frac{[r^2 (\Psi' - \Phi')]' }{r^2} - 2G_{4\phi} \frac{(r^2 \varphi')'}{r^2} - (G_{4X} - G_{5\phi}) \frac{[r(\varphi')^2]'}{r^2} = 0$$

$$(l.h.s.) = \frac{1}{r^2} \frac{d}{dr} (\dots)$$

Scalar field equation

$$\begin{aligned} & (K_X - 2G_{3\phi}) \frac{(r^2 \varphi')'}{r^2} - 2(G_{3X} - 3G_{4\phi X}) \frac{[r(\varphi')^2]'}{r^2} \\ & + 2G_{4\phi} \frac{[r^2(2\Psi - \Phi)']'}{r^2} + 4(G_{4X} - G_{5\phi}) \frac{[r\varphi'(\Psi' - \Phi')]'}{r^2} \\ & + 2 \left(G_{4XX} - \frac{2}{3} G_{5\phi X} \right) \frac{[(\varphi')^3]'}{r^2} + 2G_{5X} \frac{[(\varphi')^2 \Phi']'}{r^2} \\ & = -\cancel{K_{\phi\phi}} \varphi \end{aligned}$$

Neglect "mass term"

(Scalar field is screened if it is sufficiently massive)

$$\frac{1}{r^2} \frac{d}{dr} (\dots) = 0$$

Three equations are integrated once to give **algebraic equations** for Φ', Ψ', φ'

Introduce **two mass scales** (M_{Pl}, Λ) and **six dimensionless parameters**

$$\begin{aligned}
 G_4 &= \frac{M_{\text{Pl}}^2}{2}, \\
 G_{4\phi} &= M_{\text{Pl}} \xi, \\
 K_X - 2G_{3\phi} &= \eta, \\
 -G_{3X} + 3G_{4\phi X} &= \frac{\mu}{\Lambda^3}, \\
 G_{4X} - G_{5\phi} &= \frac{M_{\text{Pl}}}{\Lambda^3} \alpha, \\
 G_{4XX} - \frac{2}{3}G_{5\phi X} &= \frac{\nu}{\Lambda^6}, \\
 G_{5X} &= -\frac{3M_{\text{Pl}}}{\Lambda^6} \beta
 \end{aligned}$$

Previous example:

$$\Lambda \rightarrow \frac{M_{\text{Pl}}}{r_c^2}$$

(one is redundant)

Useful dimensionless quantities:

$$x(r) := \frac{1}{\Lambda^3} \frac{\varphi'}{r}, \quad A(r) := \frac{1}{M_{\text{Pl}} \Lambda^3} \frac{M(r)}{8\pi r^3}$$

Enclosed mass

Master equations:

$$\frac{M_{\text{Pl}}}{\Lambda^3} \frac{\Phi'}{r} = -\xi x + \beta x^3 + A(r),$$
$$\frac{M_{\text{Pl}}}{\Lambda^3} \frac{\Psi'}{r} = \xi x + \alpha x^2 + \beta x^3 + A(r),$$

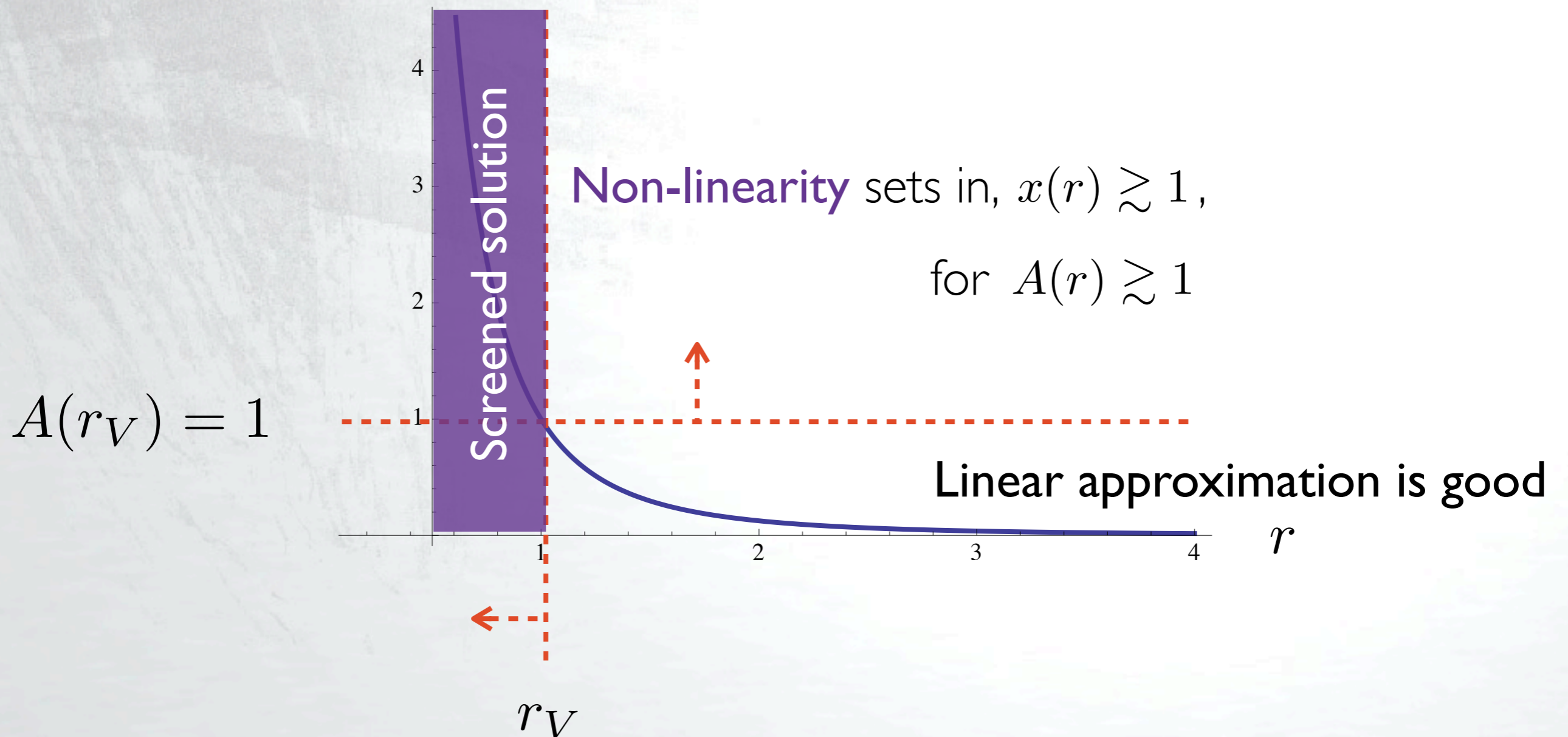
and

$$P(x, A) := \xi A(r) + \left(\frac{\eta}{2} + 3\xi^2 \right) x + [\mu + 6\alpha\xi - 3\beta A(r)] x^2$$
$$+ (\nu + 2\alpha^2 + 4\beta\xi) x^3 - 3\beta^2 x^5$$
$$= 0 \quad \Rightarrow \quad x(r) = x[A(r)]$$

Problem reduces to solving **quintic equation**

Vainshtein radius

$A(r)$ (Concrete form depends on density profile)



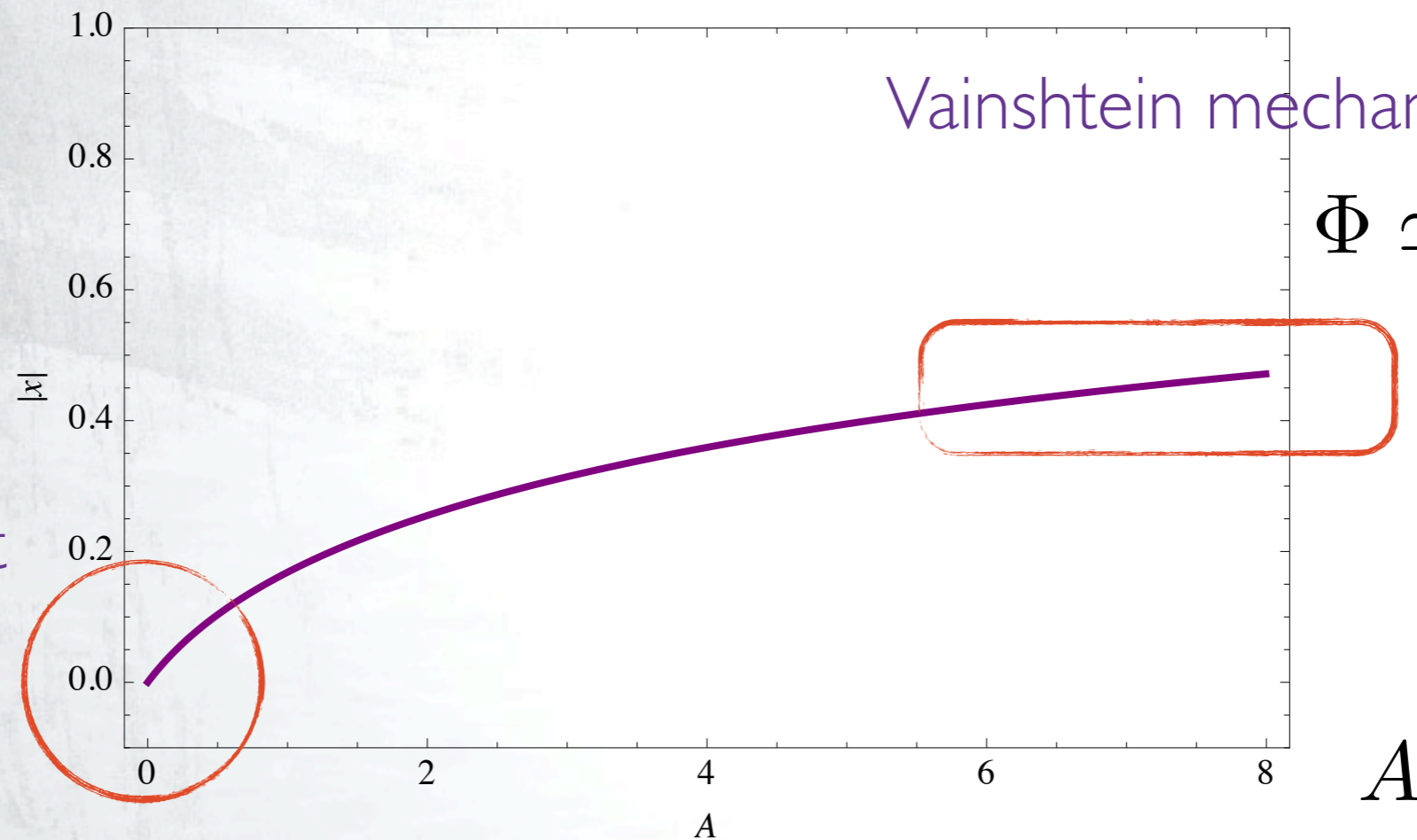
Solution we are looking for

$|x(A)|$

Inner region

Vainshtein mechanism operates

$$\Phi \simeq \Psi \simeq \Phi_{\text{GR}}$$



Outer region

Asymptotically flat

$$x \rightarrow 0$$

$$A \ll 1 \Leftrightarrow r \gg r_V$$

$$A \gg 1 \Leftrightarrow r \ll r_V$$

Outer solution

Linear regime: $P(x, A) \simeq \xi A(r) + \left(\frac{\eta}{2} + 3\xi^2\right) x$

$$\Leftrightarrow x \approx x_f := -\frac{2\xi A(r)}{\eta + 6\xi^2} \ll 1$$

Stable if $\eta + 6\xi^2 > 0$ (Kinetic term for small fluctuations has right sign)

Other solutions (if they exist) do not correspond to asymptotically flat spacetime

Inner solution

$$\begin{aligned} P(x, A) &:= \xi A(r) + \left(\frac{\eta}{2} + 3\xi^2\right) x + [\mu + 6\alpha\xi - 3\beta A(r)] x^2 \\ &\quad + (\nu + 2\alpha^2 + 4\beta\xi) x^3 - 3\beta^2 x^5 \\ &= 0 \end{aligned}$$

✓ $\beta = 0$

$P(x, A)$ is **cubic** — consider separately

✓ $\beta \neq 0$

Structure for $A \gg 1$
is different depending on
whether $\beta = 0$ or $\beta \neq 0$

$$P(x, A) \approx \xi A - 3\beta A x^2 - 3\beta^2 x^5 \quad \text{for } A \gg 1$$

Inner solution for $\beta \neq 0$

$$P(x, A) \approx \xi A - 3\beta A x^2 - 3\beta^2 x^5$$



✓ $\xi\beta < 0$

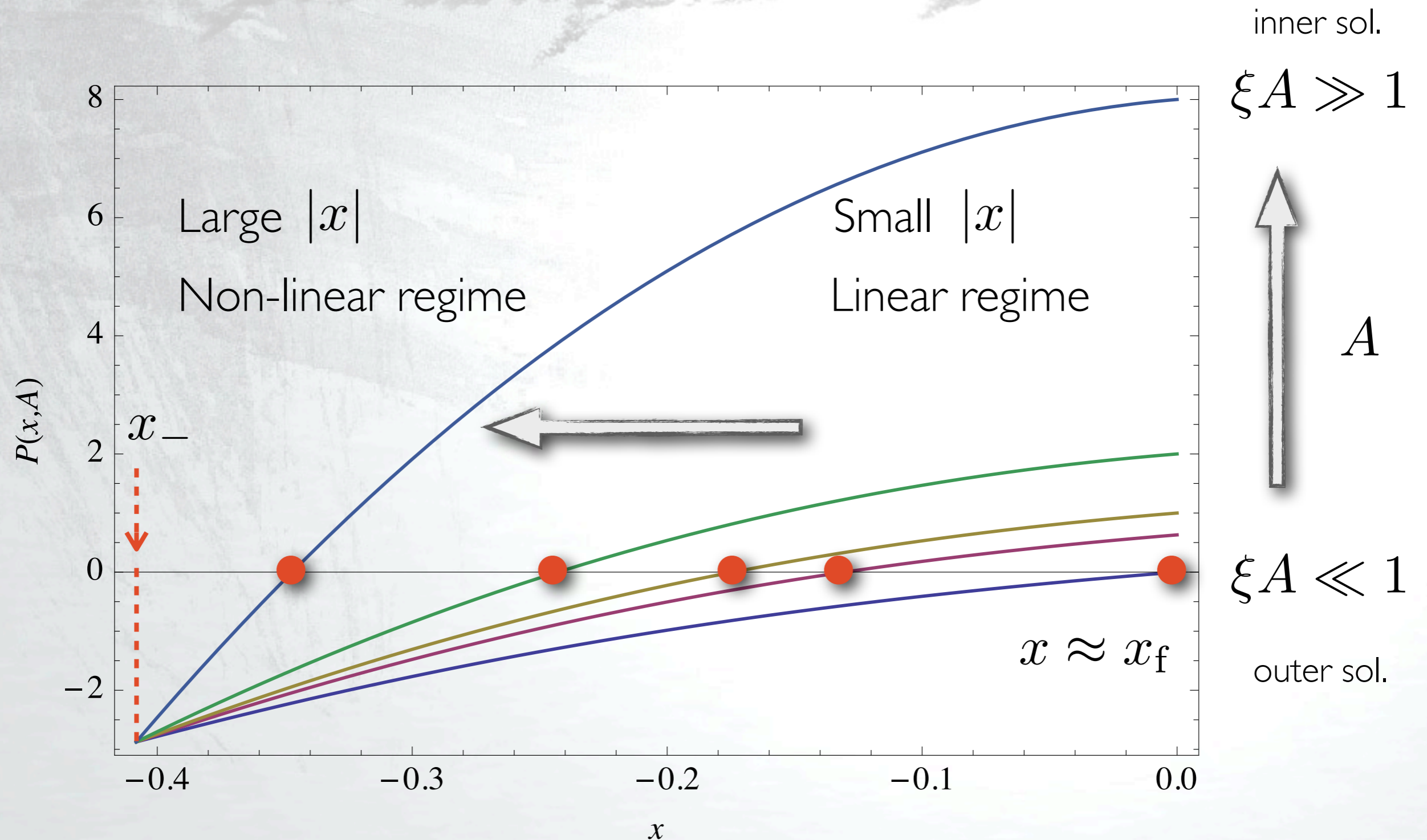
✗ $x^3 \approx -\frac{A}{\beta} \Rightarrow \frac{\Psi'}{r} \sim A^{2/3}, \frac{\Phi'}{r} \sim A^{1/3}$ **Not GR**

✓ $\xi\beta > 0$

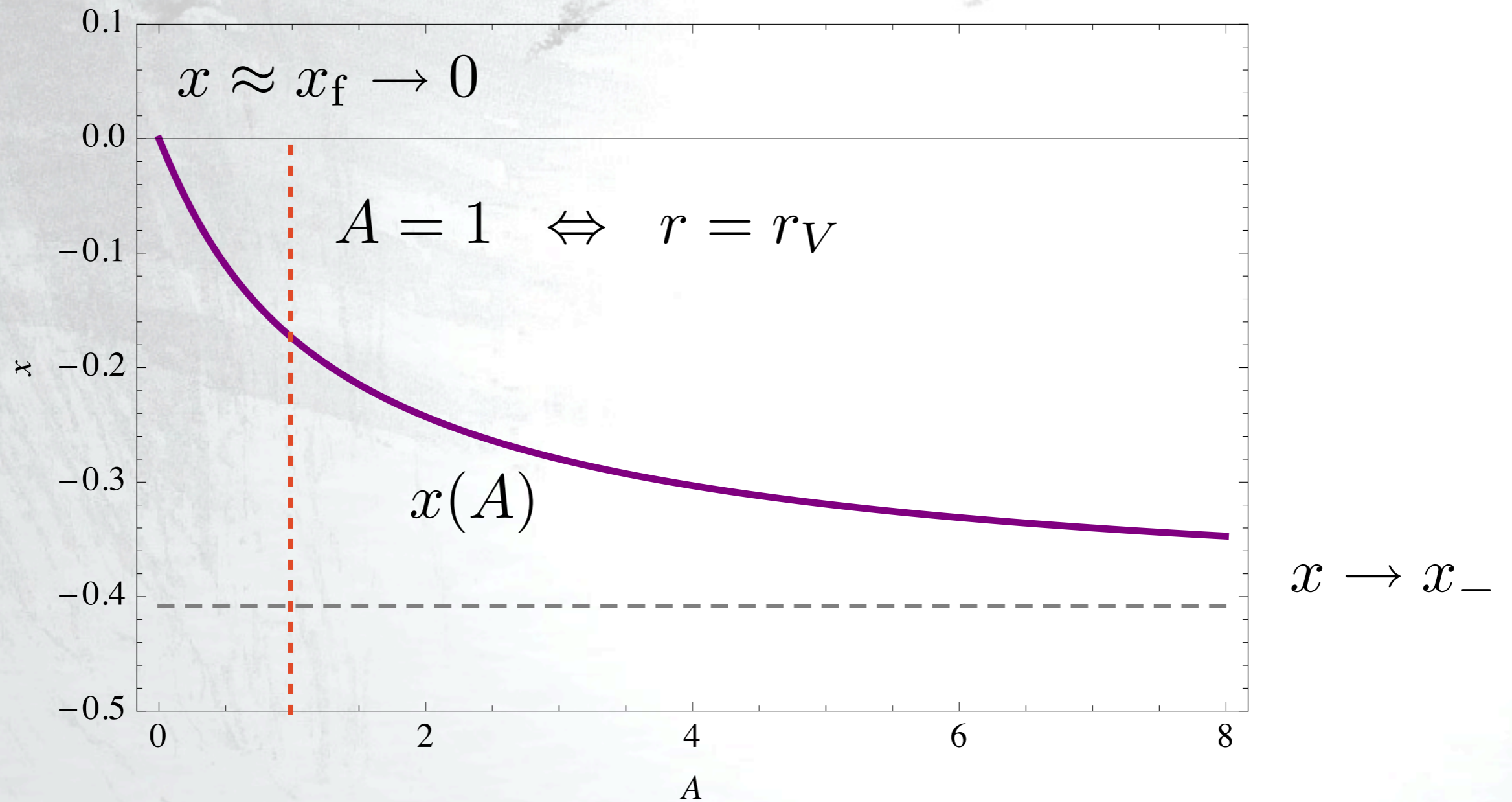
✗ $x^3 \approx -\frac{A}{\beta}$ and $x \approx x_{\pm} := \pm \sqrt{\frac{\xi}{3\beta}} \Rightarrow \Phi \simeq \Psi \simeq \Phi_{\text{GR}}$

(Consider for simplicity the case $\xi > 0$)

Matching inner and outer solutions



Profile of x



$A \ll 1$

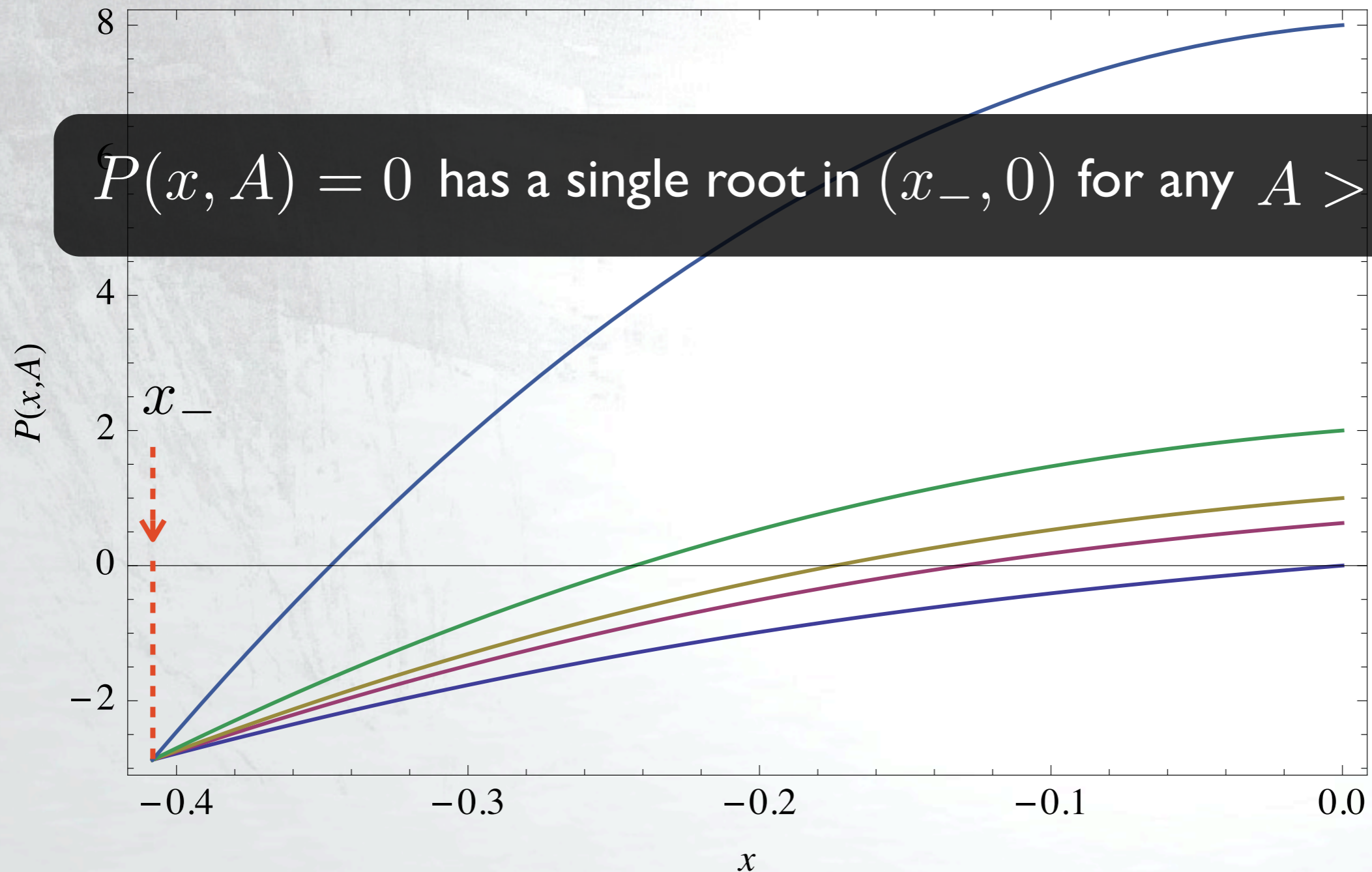
$A \gg 1$

Outer region

Inner region

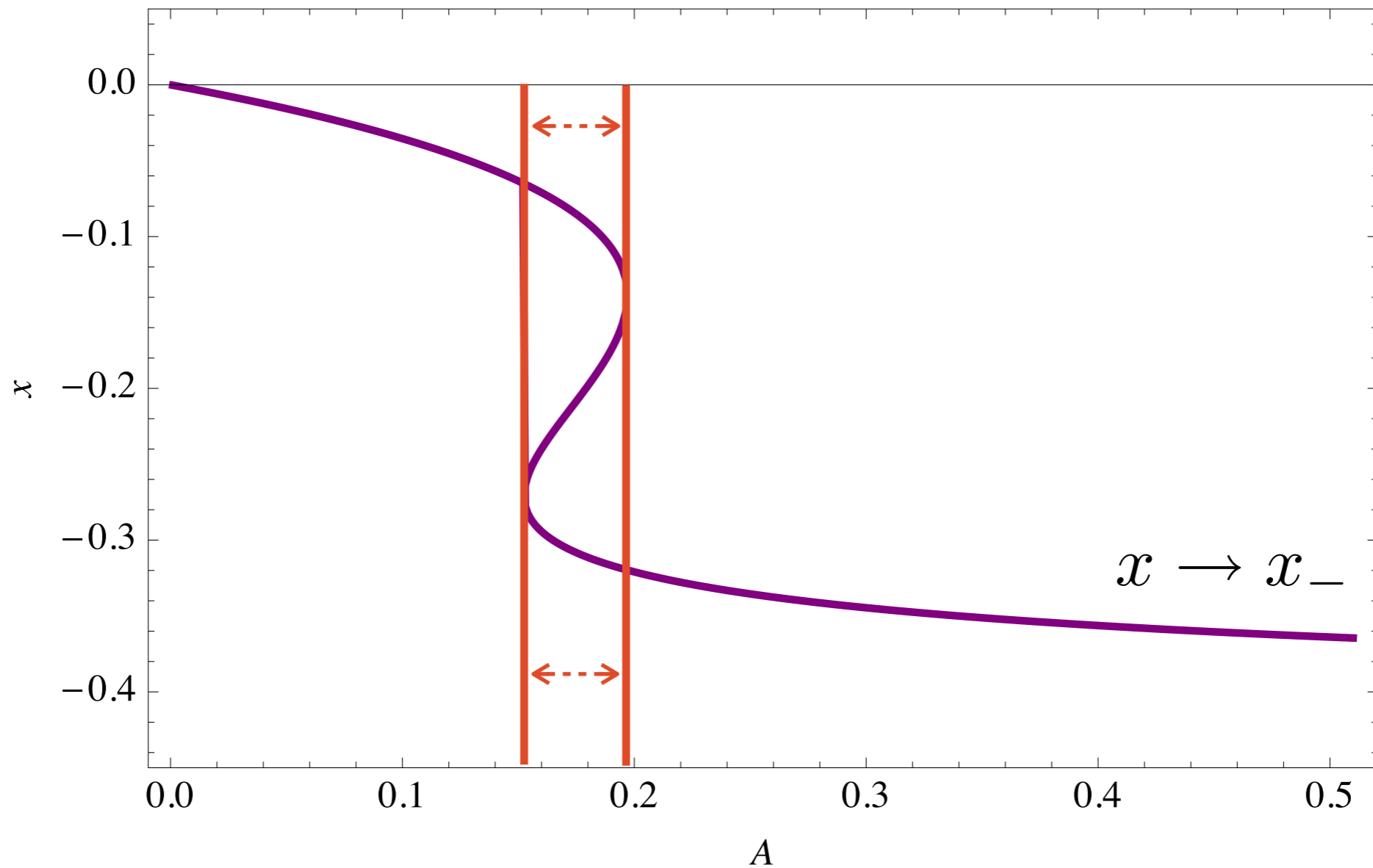
Conditions for smooth matching

$P(x, A) = 0$ has a single root in $(x_-, 0)$ for any $A > 0$



Otherwise...

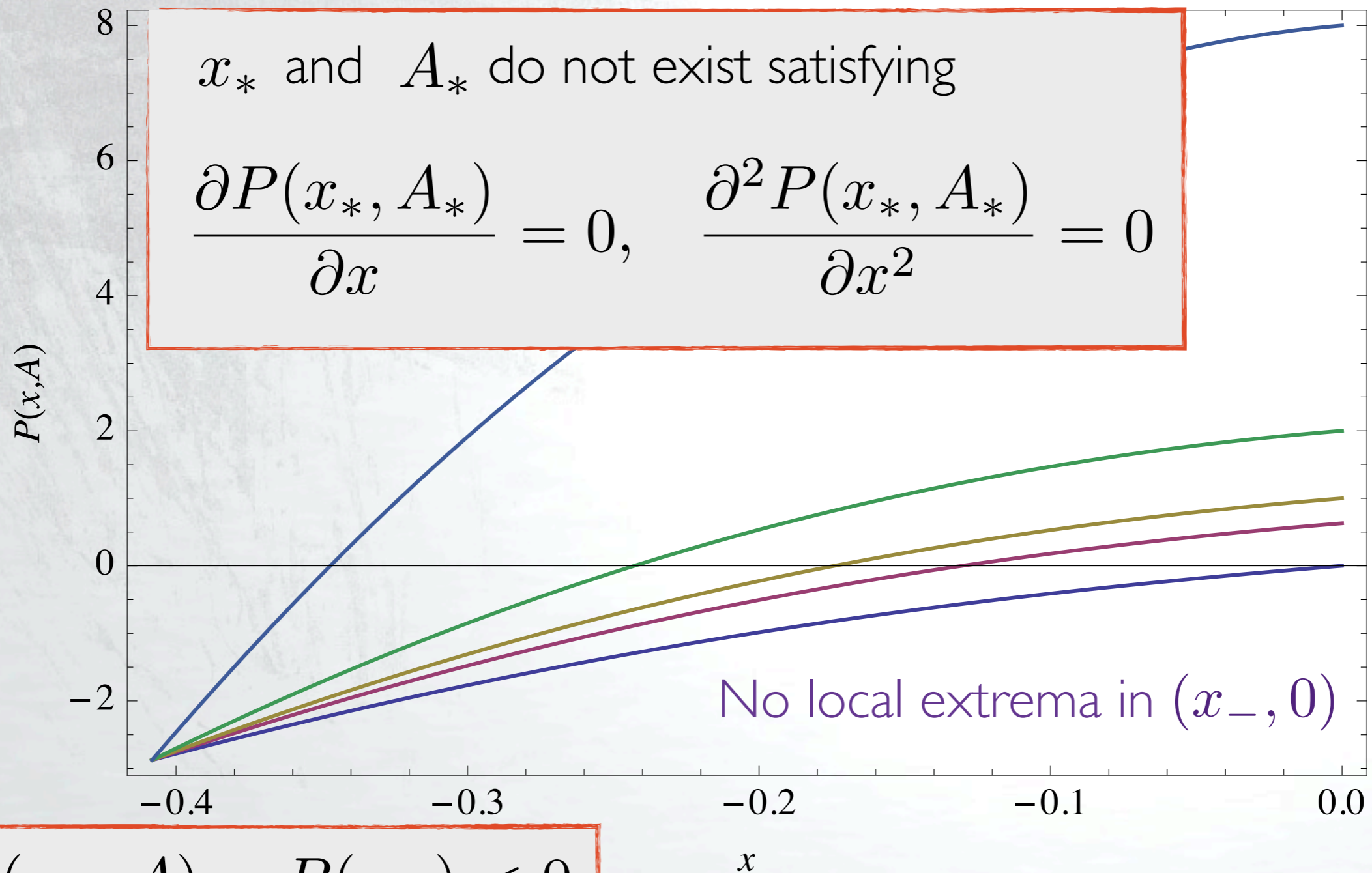
$$x \approx x_f \rightarrow 0$$



$x \rightarrow P(x,A)$

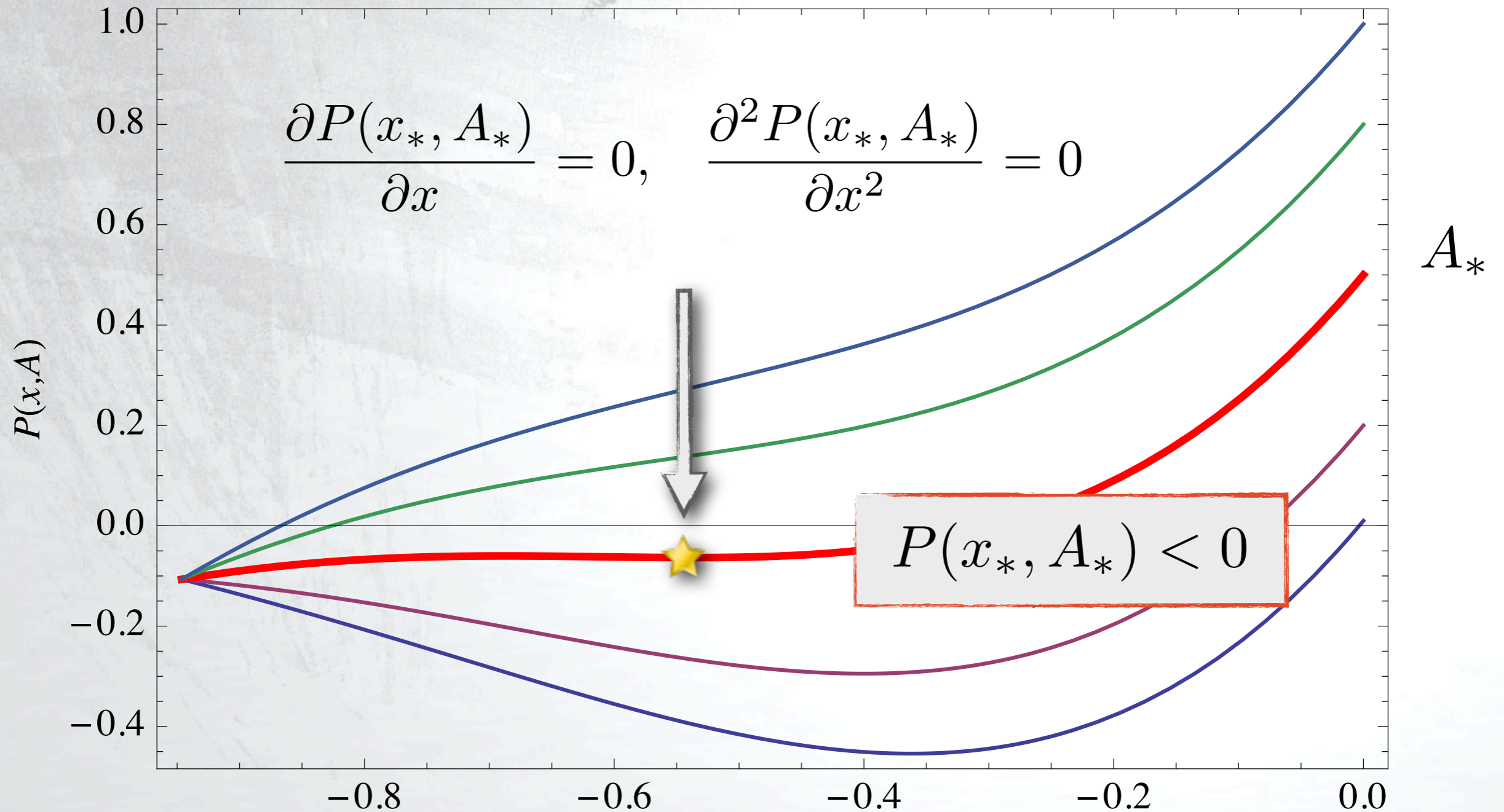
$x \approx x_f$

Case I



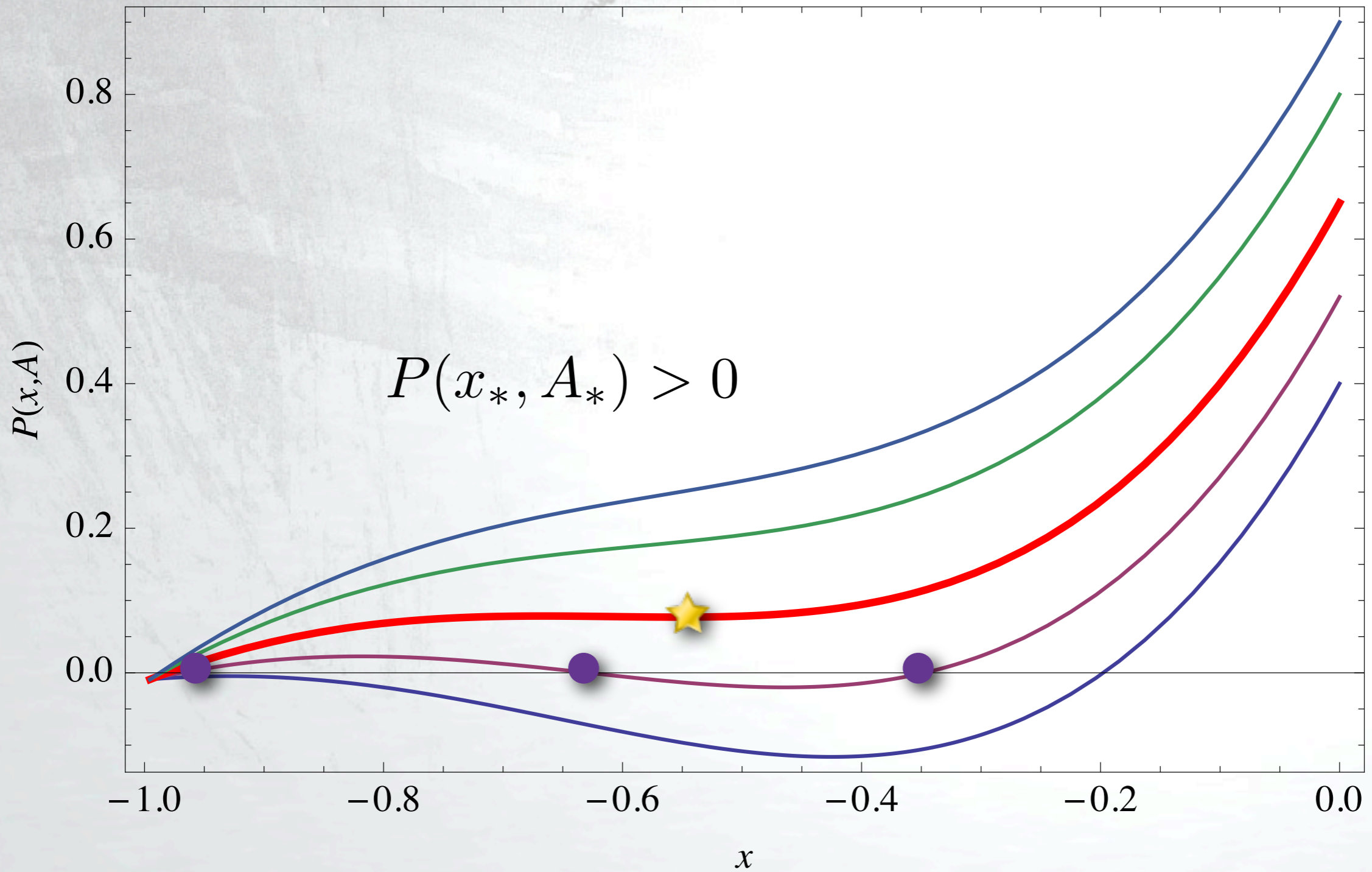
$$P(x_-, A) = P(x_-) < 0$$

Case II




Local maximum never exceeds $P=0$

Otherwise...



Inner solution for $\beta = 0$

$$P(x, A) \rightarrow \xi A + \left(\frac{\eta}{2} + 3\xi^2\right) x + (\mu + 6\alpha\xi) x^2 + (\nu + 2\alpha^2) x^3$$


Solution for $A \gg 1$

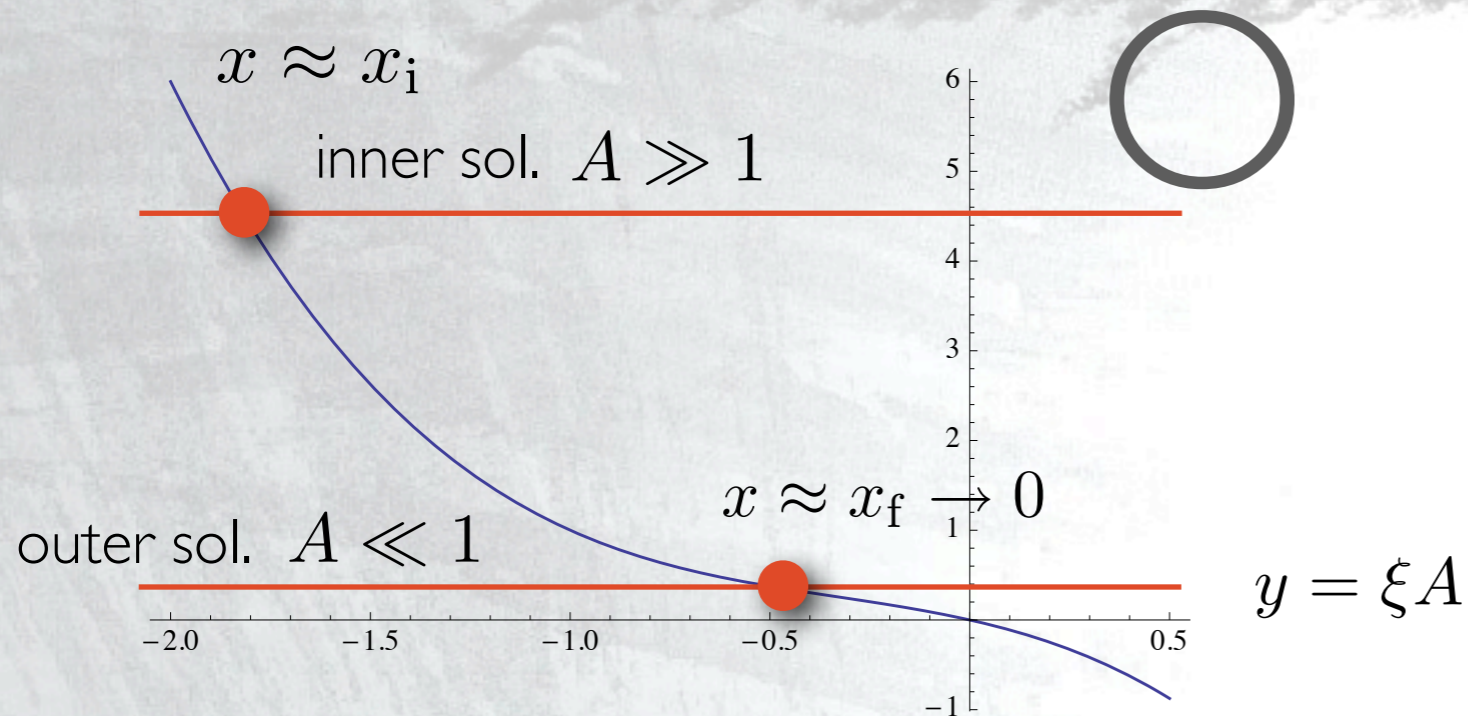
$$x^3 \approx x_i^3 := -\frac{\xi A}{\nu + 2\alpha^2} \quad (\lt 0)$$

(required from stability)

For this inner solution Vainshtein mechanism operates

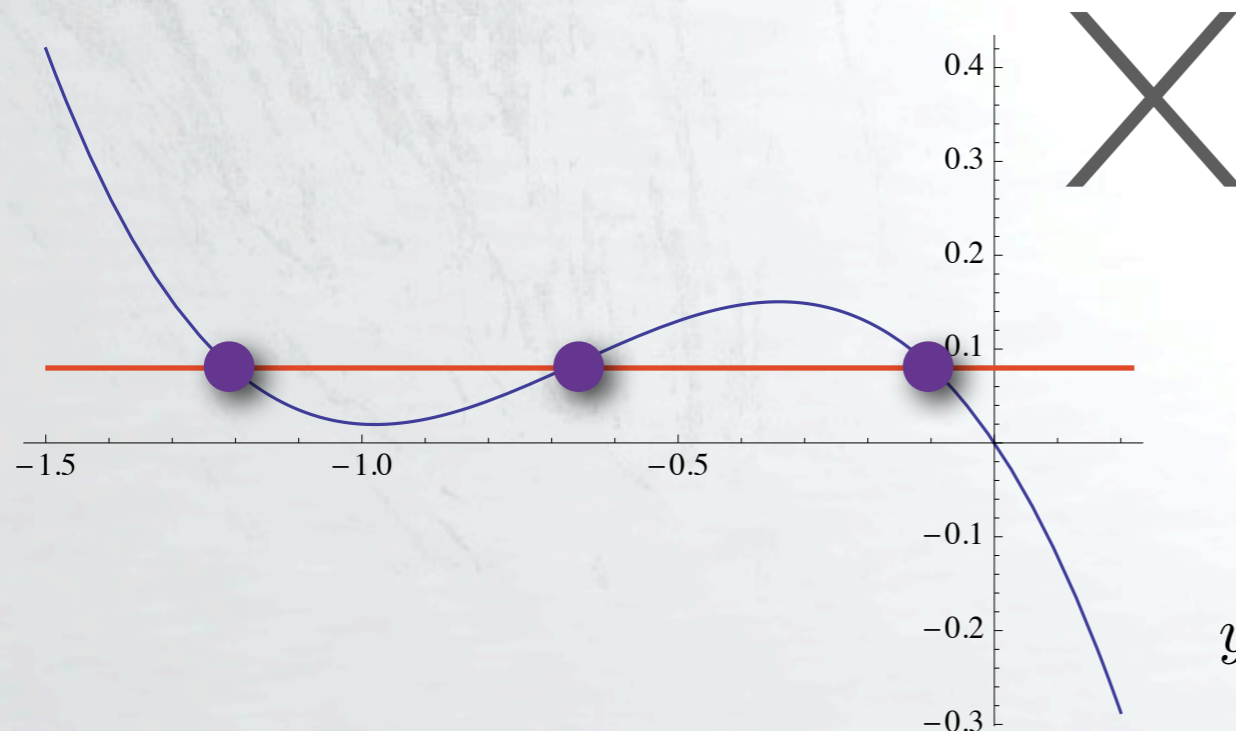
$$\Phi \simeq \Psi \simeq \Phi_{\text{GR}}$$

Matching inner and outer solutions



Condition for smooth matching:

no local extrema in $x < 0$



Local extrema are in $x > 0$ if

$$\mu + 6\alpha\xi < 0$$

No local extrema if

$$(\nu + 2\alpha^2) (\eta + 6\xi^2) \geq \frac{2}{3} (\mu + 6\alpha\xi)^2$$

$$\mu + 6\alpha\xi \geq 0$$

$$y = -\left(\frac{\eta}{2} + 3\xi^2\right)x - (\mu + 6\alpha\xi)x^2 - (\nu + 2\alpha^2)x^3$$

Example

$$M_{\text{Pl}} \rightarrow \infty, m \rightarrow 0$$

$$\Lambda^3 = M_{\text{Pl}} m^2 \text{ fixed}$$

Decoupling limit of **massive gravity**

de Rham, Gabadadze, Tolley (2011)

$$\mathcal{L} = -\frac{1}{2} h^{\mu\nu} \mathcal{E}_{\mu\nu}^{\alpha\beta} h_{\alpha\beta} + h^{\mu\nu} \left(X_{\mu\nu}^{(1)} + \frac{a_2}{\Lambda^3} X_{\mu\nu}^{(2)} + \frac{a_3}{\Lambda^6} X_{\mu\nu}^{(3)} \right) + \frac{1}{2M_{\text{Pl}}} h^{\mu\nu} T_{\mu\nu}$$

Helicity-2 mode Interactions with helicity-0 mode

$$K = 0 = G_3$$

$$G_4 = \frac{M_{\text{Pl}}^2}{2} X_{\mu\nu}^{(2)} := \nabla_\mu \nabla_\nu \phi - \square \phi g_{\mu\nu} + M_{\text{Pl}} \phi + \frac{M_{\text{Pl}}}{\Lambda^3} \alpha X$$

“Covariantization”

$$G_5 = -3 \frac{M_{\text{Pl}}}{\Lambda^6} \beta X$$

de Rham, Heisenberg (2011)

$$h^{\mu\nu} X_{\mu\nu}^{(1)}$$

↔

$$\phi R$$

↔

$$G_4 \sim M_{\text{Pl}} \phi$$

$$h^{\mu\nu} X_{\mu\nu}^{(2)}$$

↔

$$(\alpha = a_2, \beta = a_3)$$

↔

$$G_4 \sim X$$

$$h^{\mu\nu} X_{\mu\nu}^{(3)}$$

↔

$$(2R^{\mu\alpha\nu\beta} + \dots) \partial_\mu \phi \partial_\nu \phi \partial_\alpha \partial_\beta \phi$$

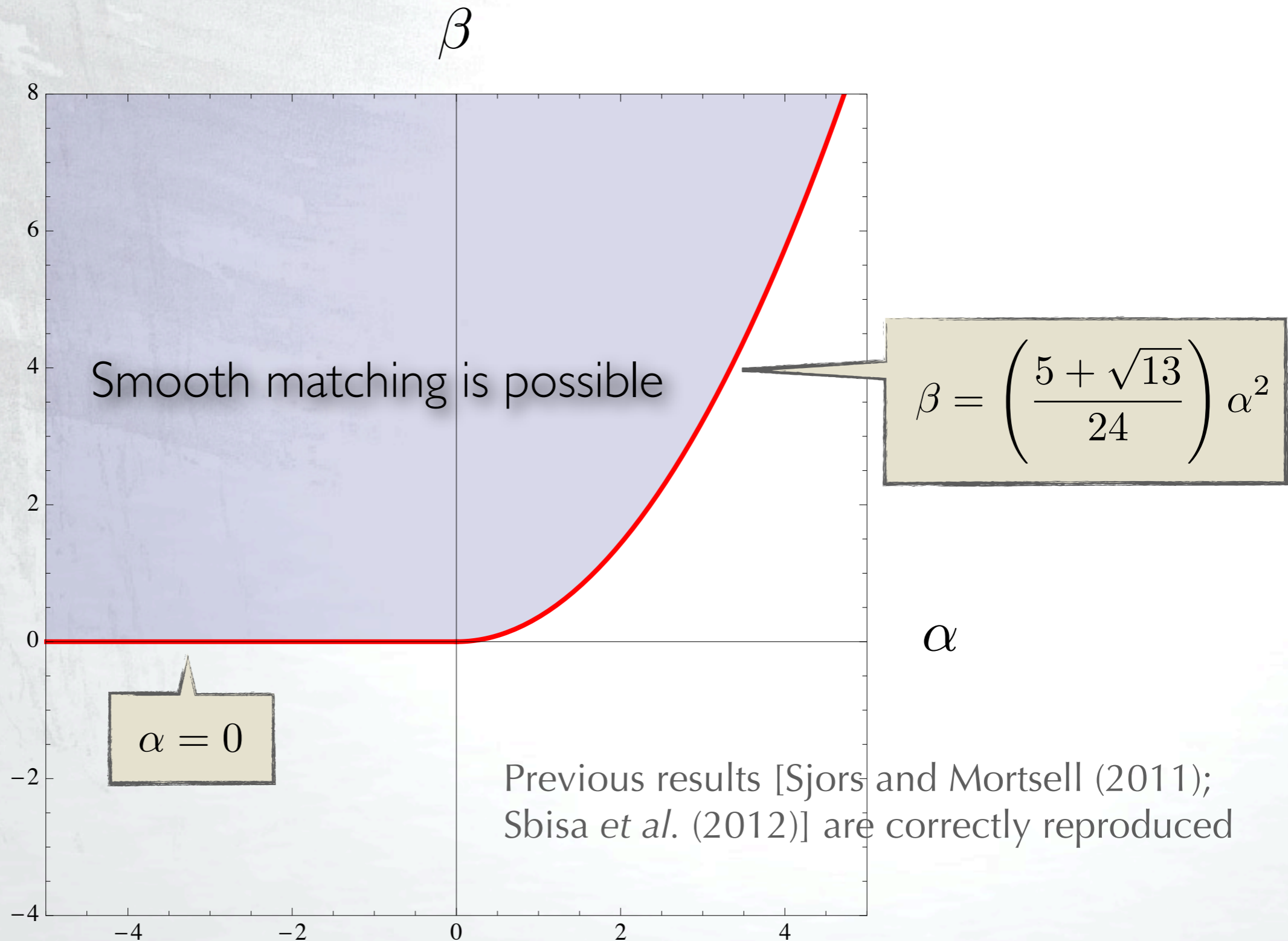
↔

$$G_5 \sim -3X$$

in Horndeski's language

Decoupling limit of massive gravity = 2-parameter subclass of Horndeski's theory

Smooth matching of asymptotically flat and Vainshtein solutions is possible for:



Application

Application: Gravitational lensing

Lensing convergence can be computed for any density profile and for any scalar-tensor theory from

$$\Delta(\Phi + \Psi) = \frac{\Lambda^3}{M_{\text{Pl}}^2} \frac{1}{r^2} \frac{d}{dr} \left[r^2 (\alpha x^2 + 2\beta x^3 + 2A) \right] \supset x'(r)$$

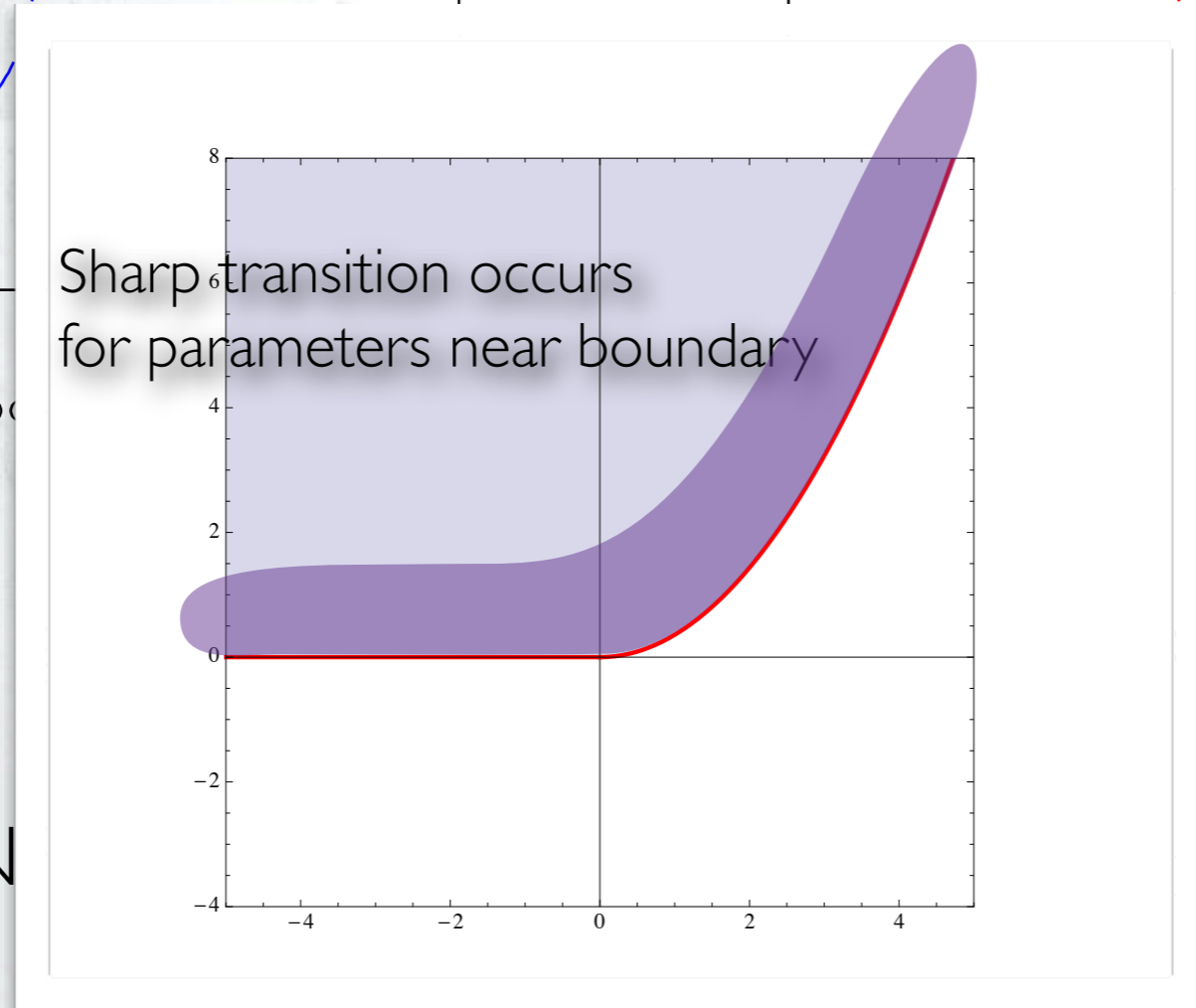
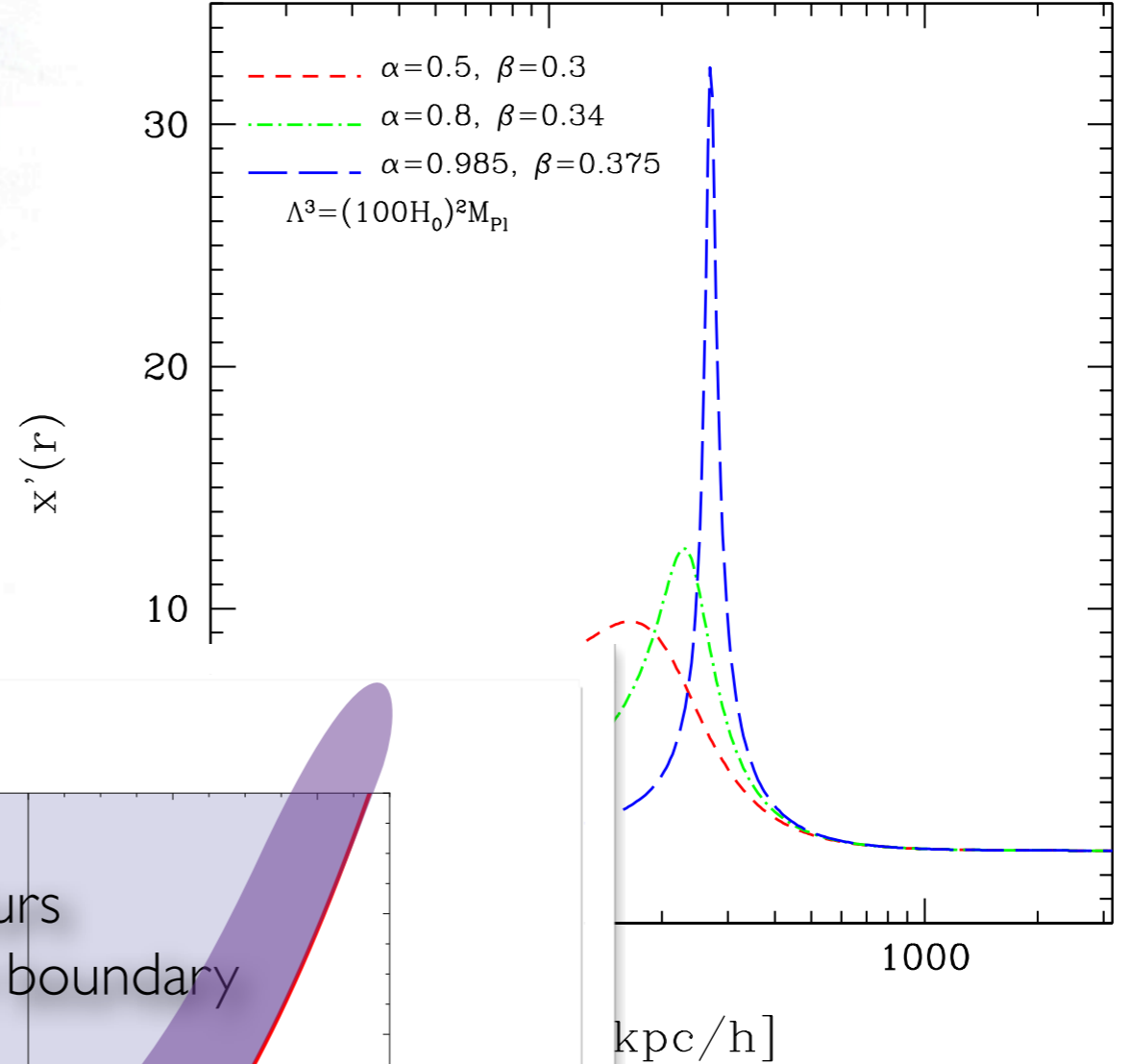
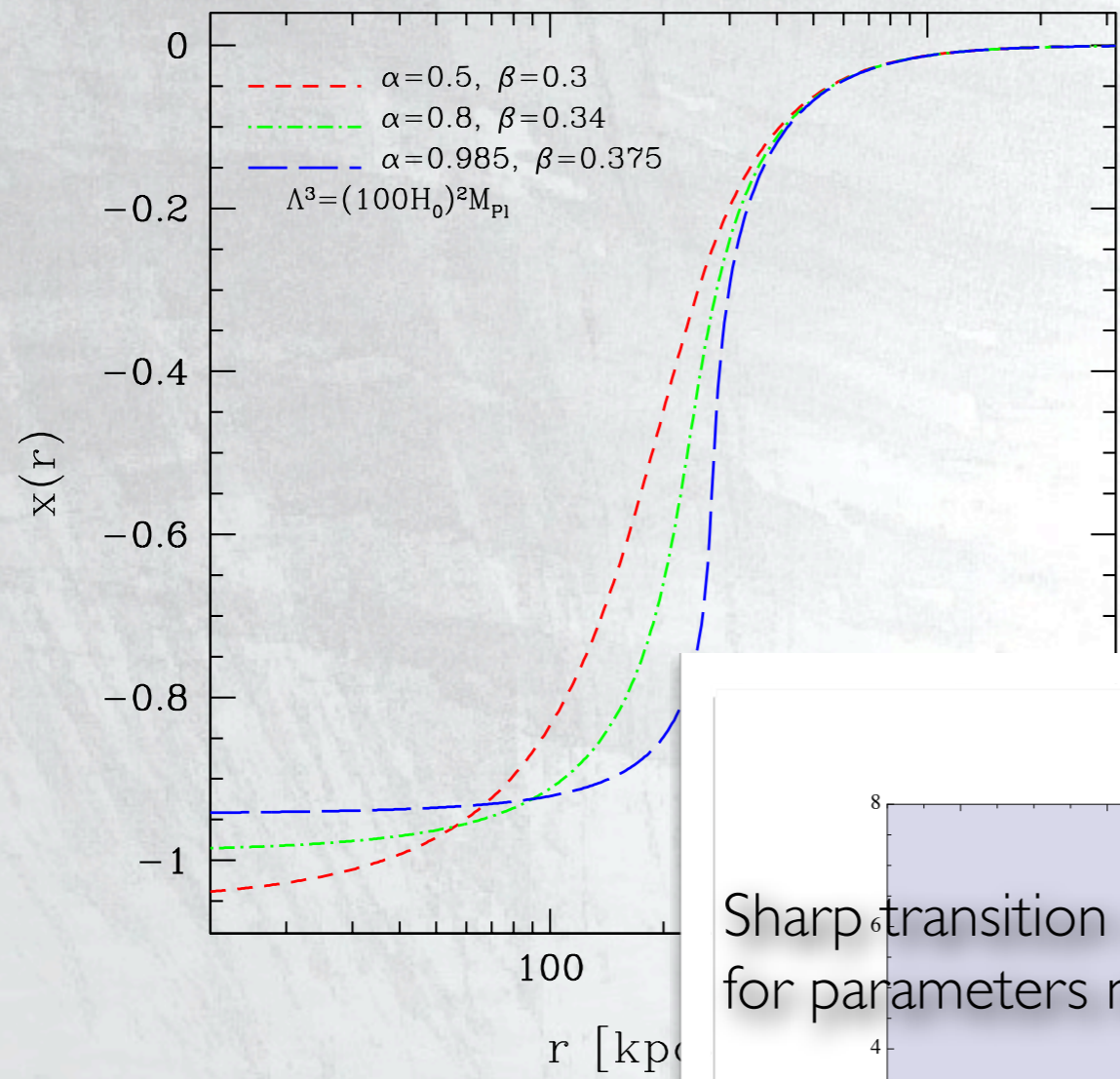
Convergence:

$$\kappa(\theta) = \frac{(\chi_S - \chi_L)\chi_L}{\chi_S} \int_0^\infty dZ \frac{\Delta}{a_L^2} (\Phi + \Psi)$$

Interesting signature in cluster lensing?



$x'(r)$ can be large at transition from screened to unscreened regions



Massive

N

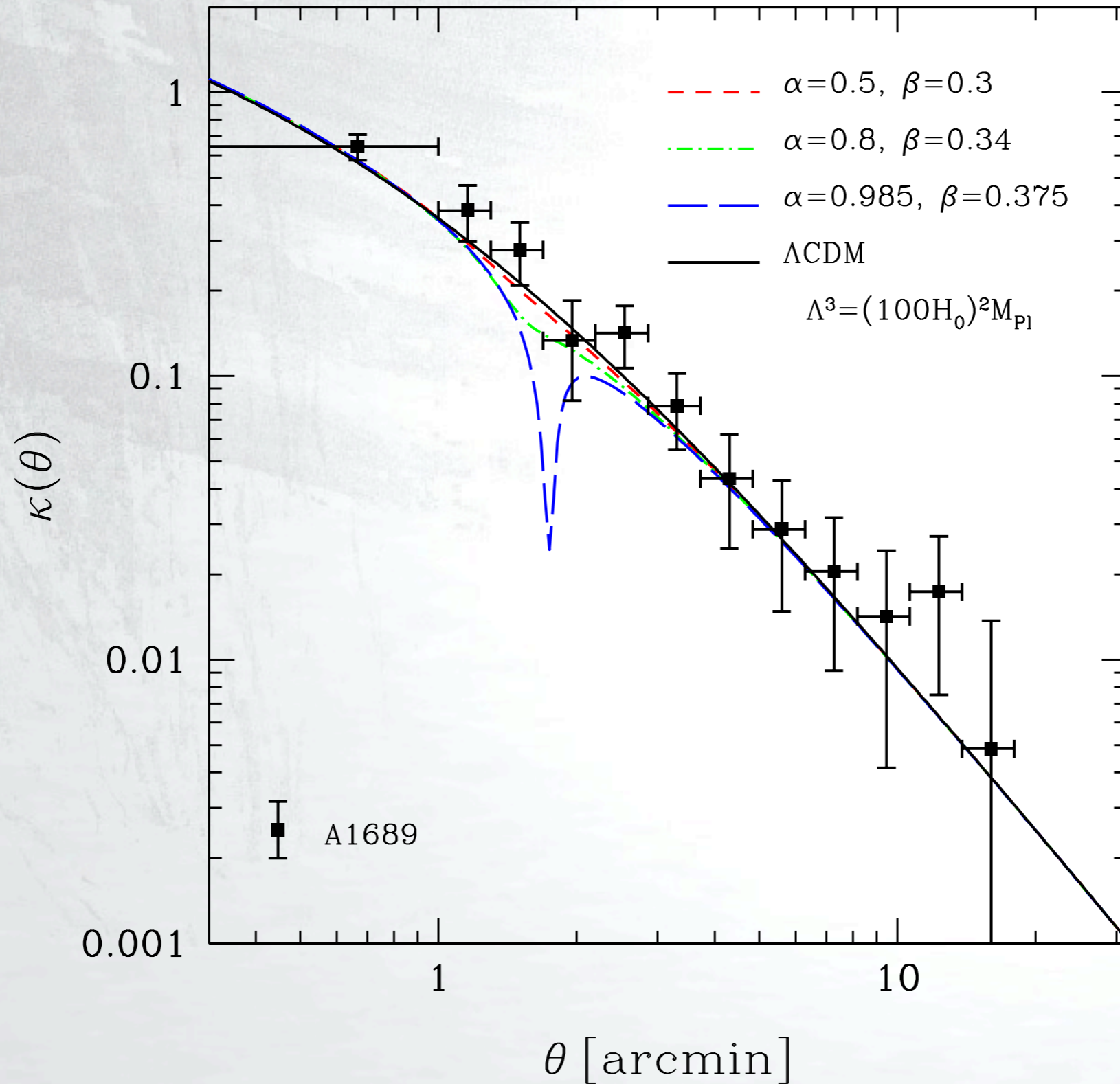
kpc/h]

randeski)

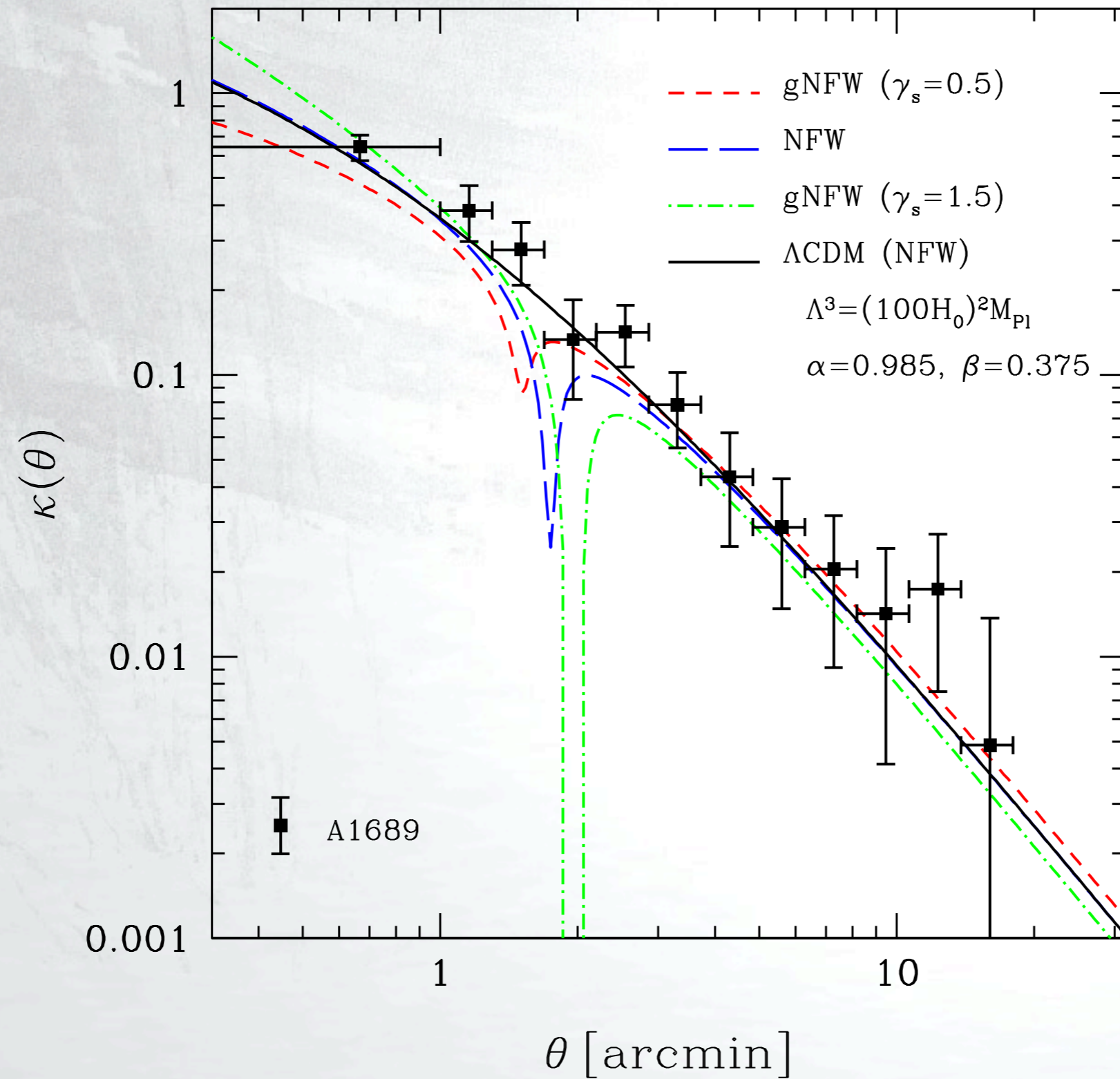
)²

Dip in convergence power spectrum

(if we are lucky enough...?)



Dip is not a consequence of the specific choice of the density profile



Summary

- ✓ Static, spherically symmetric, weak gravitational field sourced by non-relativistic matter in **Horndeski's most general scalar-tensor theory**
- ✓ The problem reduces to solving a **quintic algebraic equation**
- ✓ Conditions under which a screened solution is realized are clarified
- ✓ Interesting applications such as testing gravity with cluster lensing



Cosmological background? — Sixth-order algebraic equation with time-dependent coefficients... [Kimura, TK, Yamamoto (2012)]



Application to other cosmological probes?

Thank you!