

# Concern on “ground state” of spacetimes with/without black holes

~ rigidity of spacetime ~

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Shiromizu and Ohashi, PRD87, 087501(2013)  
Shiromizu and Tanabe, arXiv:1303.6056

# [Content

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1. Simple question
2. GR
3. Einstein-Gauss-Bonnet
4. Dynamical Chern-Simons gravity



1. Simple question

# [ Questions here ]

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In massive gravity or so,

Strictly stationary and vacuum spacetime is Minkowski?

In BH spacetimes, staticity(stationarity) implies  
spherical (axi) symmetry ?

At first glance, not...because of the mass

# [GR

- When total mass vanishes

Minkowski spacetime

- What is equilibrium state with/without BH

equilibrium state ~ stationary or static

static(stationary) black hole is Schwarzschild(Kerr)

strictly stationary spacetime is Minkowski



2. GR



# [ Positive mass theorem I

Schoen & Yau(1979)

- Asymptotically flat  $g_{ij} = \delta_{ij} \left[ 1 + \frac{2}{n-3} \frac{M}{r^{n-3}} \right] + O\left(\frac{1}{r^{n-2}}\right)$
- $^{(n-1)}R \geq 0$  ( $4 \leq n \leq 8$ , spin for  $n \geq 4$ )



- (i) Mass  $M$  is non-negative.
- (ii)  $M=0$  iff spacetime is Minkowski



# [ Positive mass theorem II

Schoen & Yau(1981), Witten(1981)

- Asymptotically flat  $g_{ij} = \delta_{ij} \left[ 1 + \frac{2}{n-3} \frac{M}{r^{n-3}} \right] + O\left(\frac{1}{r^{n-2}}\right)$
- Einstein equation, energy condition
- $4 \leq n \leq 8$  ( $4 \leq n$  for spin)



- (i) Mass  $M$  is non-negative.
- (ii)  $M=0$  iff spacetime is Minkowski



# [ Strictly stationary spacetimes ]

- Configurations of static/stationary spacetimes

vacuum spacetime is Minkowski

Lichnerowicz 1955 [for 4 dimensions]

# [Proof]

Komar mass formula for stationary and asymptotically spacetimes

$$\begin{aligned} M &= -\frac{1}{8\pi} \int_{S_\infty} \nabla_\mu k_\nu dS^{\mu\nu} \\ &= \frac{1}{4\pi} \int_\Sigma R_{\mu\nu} k^\mu n^\nu d\Sigma \\ &= \frac{1}{4\pi} \int_\Sigma \left( T_{\mu\nu} - \frac{1}{2} g_{\mu\nu} T \right) k^\mu n^\nu d\Sigma = 0 \end{aligned}$$



spacetime is flat

Positive mass theorem

# [ 4D BH ]

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- **Static** vacuum black hole is unique to be **Schwarzschild** spacetime

Israel 1967, Bunting & Masood-ul-Alam 1987

- **Stationary** vacuum black hole is unique to be **Kerr** spacetime

Carter 1971, 73

# [ Higher dimensional BH ]

- Static black holes is **unique to be Schwarzschild**.

Gibbons, Ida & Shiromizu 2002

- Stationary black holes is **axisymmetric**.

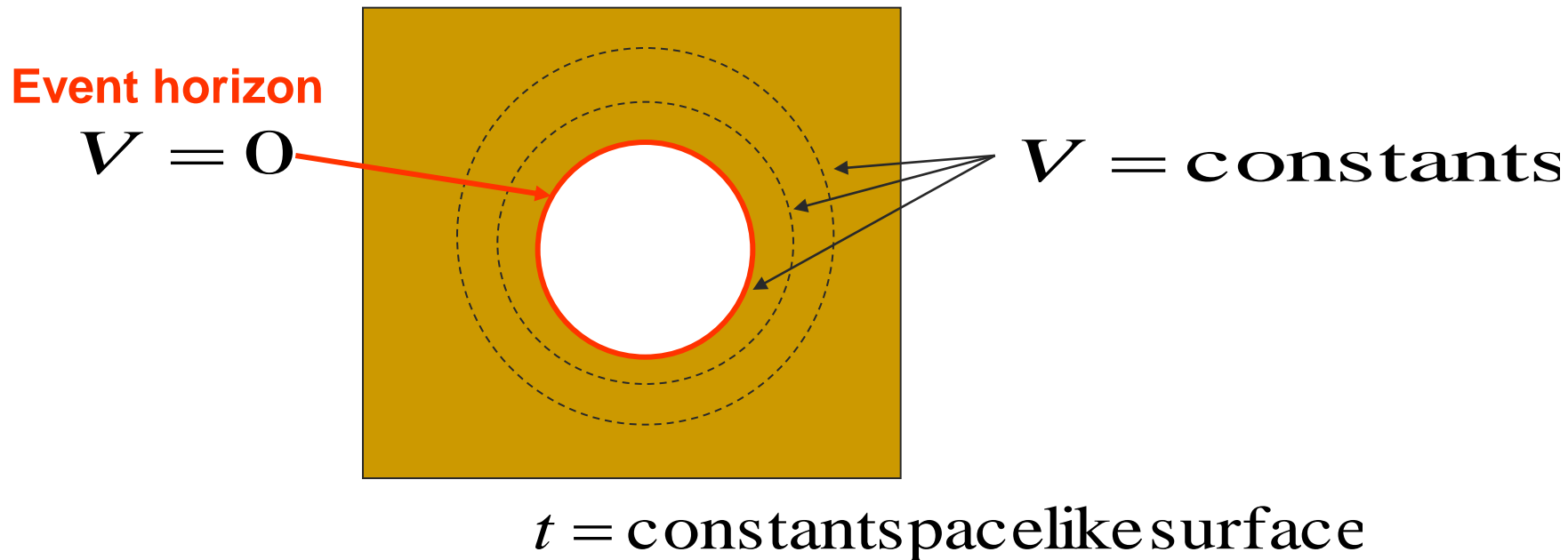
Hollands, Ishibashi & Wald 2007

- **5-dim stationary and 2-rotational symmetric** black holes are determined by mass, angular momentum and rod structure (~quasi local b.c. at horizon, axes).

Hollands & Yazadjiev 2007

# [ Static black hole spacetimes ]

metric  $ds^2 = -V^2(x^i)dt^2 + g_{ij}(x^i)dx^i dx^j$



Vacuum, Einstein, higher dimensions

# Sketch of proof

Gibbons, Ida & Shiromizu 2002

conformal transformation  $\tilde{g}_{ij} = \left( \frac{1 \pm V}{2} \right)^{4/(n-3)} g_{ij}$ , such that  $\tilde{M} = 0, {}^{(n-1)}\tilde{R} = 0$

- Positive mass theorem  $\Rightarrow \tilde{g}_{ij}$  : flat space

- Regularity on event horizon

$\Rightarrow V=0$  surface in conformally transformed space is spherical symmetric

- $\Delta \left( \frac{1}{1+V} \right) = 0, \quad \Delta = \partial_{x_1}^2 + \partial_{x_2}^2 + \cdots + \partial_{x_{n-1}}^2$



electrostatic potential with spherical boundary

typical examination for undergraduate students



## 3. Einstein-Gauss-Bonnet

# Einstein-Gauss-Bonnet

String theory predicts the presence of the Gauss-Bonnet term

Zwieback 1985, Gross & Sloan 1987, Metsaev & Tseytlin 1987

## Einstein-Gauss-Bonnet(EGB)

$$S = \int d^n x \sqrt{-g} \left[ R + \alpha (R_{\mu\nu\alpha\beta} R^{\mu\nu\alpha\beta} - 4R_{\mu\nu} R^{\mu\nu} + R^2) \right] \quad \alpha \sim M_{string}^2$$

## Field equation

$$R_{\mu\nu} = -\alpha \tilde{H}_{\mu\nu}$$

$$\begin{aligned} \tilde{H}_{\mu\nu} = & 2R_{\mu\alpha\beta\rho} R_{\nu}^{\alpha\beta\rho} - 4R_{\mu\alpha\nu\beta} R^{\alpha\beta} - 4R_{\mu}^{\alpha} R_{\nu\alpha} + 2RR_{\mu\nu} \\ & - \frac{1}{n-2} g_{\mu\nu} (R_{\alpha\beta\rho\sigma} R^{\alpha\beta\rho\sigma} - 4R_{\alpha\beta} R^{\alpha\beta} + R^2) \end{aligned}$$



# Various noble theorems?

Singularity theorem, positive mass theorem, area theorem, features of apparent horizons, ...

Convergence condition

$$\begin{aligned} R_{\mu\nu} k^\mu k^\nu &\geq 0 \\ \left( R_{\mu\nu} - \frac{1}{2} g_{\mu\nu} R \right) k^\mu k^\nu &\geq 0 \end{aligned}$$

$k^a$  : timelike or null

$\Leftrightarrow$  non-negativity of energy density in Einstein

It is not natural to assume the above in EGB

But, if the corrections are tiny,...

$$R_{\mu\nu} = -\alpha \tilde{H}_{\mu\nu} \quad \tilde{H}_{\mu\nu} \approx 2R_{\mu\alpha\beta\rho} R_{\nu}^{\alpha\beta\rho}$$

$$\tilde{H}_{00} \approx 2R_{0ijk} R_0^{ijk} + 2R_{0i0j} R_0^{i0j} ?$$

As expected, no definite sign

Note: static BH uniqueness does not depend on the above condition directly. The structure of the field equations is important.

# [ Check list for BH uniqueness ]

- Positive mass theorem
- finding a conformal transformation to show conformal flatness
- finding the harmonic function in the flat space
- spherical symmetry on “the horizon” in the flat space

**Looks hard !**

Yet there is no reason that uniqueness holds in full order of  $\alpha$ !

# [Lichnerowicz in EGB]

Strictly stationary and vacuum spacetimes should be Minkowski in GR.

Lichnerowicz 1955

??? The above holds in EGB ???

There is no reason that it holds in full order of  $\alpha$ .

But, if the corrections are tiny,...

For simplicity, we focus on static cases

# Static spacetimes

metric

$$ds^2 = -V^2(x^i)dt^2 + g_{ij}(x^i)dx^i dx^j$$

equations

$$\left\{ \begin{array}{l} R_{00} = VD^2V = -\alpha\tilde{H}_{00} \\ {}^{(n-1)}R + \alpha {}^{(n-1)}L_{GB} = 0 \end{array} \right.$$

$$\left\{ \begin{array}{l} \tilde{H}_{00} = -4 \frac{n-4}{n-2} VD_i D_j V {}^{(n-1)}G^{ij} + \frac{1}{n-2} V^2 {}^{(n-1)}L_{GB} \\ {}^{(n-1)}L_{GB} := {}^{(n-1)}R_{ijkl} {}^{(n-1)}R^{ijkl} - 4 {}^{(n-1)}R_{ij} {}^{(n-1)}R^{ij} + {}^{(n-1)}R^2 \\ \quad = {}^{(n-1)}C_{ijkl} {}^{(n-1)}C^{ijkl} - 4 \frac{n-4}{n-3} \left( {}^{(n-1)}R_{ij} {}^{(n-1)}R^{ij} - \frac{n-1}{4(n-2)} {}^{(n-1)}R^2 \right) \end{array} \right.$$

# [Lichnerowicz in EGB Shiromizu & Ohashi 2013]

$$D^2V = 4 \frac{n-4}{n-2} \alpha D_i D_j V^{(n-1)} G^{ij} - \frac{\alpha}{n-2} V^{(n-1)} L_{GB}$$

$${}^{(n-1)}R = O(\alpha), {}^{(n-1)}R_{ij} = \frac{1}{V} D_i D_j V + O(\alpha) \quad + \quad {}^{(n-1)}C_{ijkl} = O(\alpha) \text{ [assumption!]}$$

$$\Rightarrow {}^{(n-1)}L_{GB} = -4 \frac{n-4}{n-3} \frac{1}{V} D_i D_j V {}^{(n-1)}R^{ij} + O(\alpha)$$

$$\Rightarrow D^i \left( D_i V - 4 \frac{n-4}{n-3} \alpha {}^{(n-1)}R_{ij} D^j V \right) = O(\alpha^2)$$

$$\Rightarrow M = 0$$

$${}^{(n-1)}R = -\alpha {}^{(n-1)}L_{GB} = \frac{4(n-4)}{n-3} \alpha {}^{(n-1)}R_{ij} {}^{(n-1)}R^{ij} + O(\alpha^2) \Rightarrow \text{If } \alpha > 0, {}^{(n-1)}R \geq 0$$

$$\Rightarrow \text{flat space} \Rightarrow \text{Minkowski spacetimes}$$



## 4. Dynamical Chern-Simons gravity

# Dynamical Chern-Simons gravity

dCSG

Jackiw and Pi 2003,...

$$S = \int d^4x \sqrt{-g} \left[ \kappa R + \frac{\alpha}{4} \theta^* R_{\mu\nu\alpha\beta} R^{\mu\nu\alpha\beta} - \frac{\beta}{2} (\nabla \theta)^2 \right]$$

Field equation

$$\left\{ \begin{array}{l} R_{\mu\nu} - \frac{1}{2} g_{\mu\nu} R + \frac{\alpha}{\kappa} C_{\mu\nu} = \frac{1}{2\kappa} T_{\mu\nu}^{\theta} \\ \nabla^2 \theta = \frac{\alpha}{4\beta} R_{\mu\nu\alpha\beta} {}^* R^{\mu\nu\alpha\beta} \end{array} \right. \quad \left\{ \begin{array}{l} C^{\mu\nu} = \nabla_{\sigma} \theta \varepsilon^{\sigma\delta\alpha} (\mu \nabla_{\alpha} R_{\delta}^{\nu}) + \nabla_{\sigma} \nabla_{\delta} \theta {}^* R^{\delta(\mu\nu)\sigma} \\ T_{\mu\nu}^{\theta} = \beta \nabla_{\mu} \theta \nabla_{\nu} \theta - \frac{\beta}{2} g_{\mu\nu} (\nabla \theta)^2 \end{array} \right.$$

# Field equations in static spacetimes

Static

$$ds^2 = -V^2(x^i)dt^2 + g_{ij}(x^k)dx^i dx^j$$

Trivial parts

$$R_{0i} = C_{00} = C_{ij} = T_{0i}^\theta = R_{\mu\nu\alpha\beta}^* R^{\mu\nu\alpha\beta} = 0$$

A part of field equations

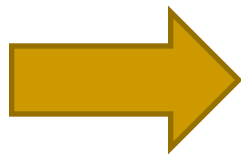
$$\left\{ \begin{array}{l} D^2 V = 0 \\ {}^{(3)}R = \frac{2\beta}{\kappa} (D\theta)^2 \\ D^2 \theta + \frac{1}{V} D_i V D^i \theta = 0 \end{array} \right. \quad C_{0i} \neq 0$$





# [ Strictly static spacetimes

$$\left\{ \begin{array}{l} D^2 V = 0 \Rightarrow M = 0 \\ {}^{(3)}R \geq 0 \end{array} \right.$$



Minkowski spacetime

# [ static BH uniqueness ]

conformal transformation  $\tilde{g}_{ij} = \left(\frac{1 \pm V}{2}\right)^4 g_{ij}$ , such that  $\tilde{M} = 0$ ,  ${}^{(3)}\tilde{R} \geq 0$

- Positive mass theorem  $\Rightarrow \tilde{g}_{ij} : \text{flat space} \Rightarrow \theta = \text{constant}$

- Regularity on event horizon

$\Rightarrow$  **V=0 surface** in conformally transformed space is **spherical symmetric**

- $\Delta\left(\frac{1}{1+V}\right) = 0, \quad \Delta = \partial_x^2 + \partial_y^2 + \partial_z^2$



**electrostatic potential with spherical boundary**



**Spherical symmetric  $\Rightarrow$  Schwarzschild**

# Then, Massive gravity...

- Strictly static/stationary spacetime is Minkowski?
- In BH spacetime, staticity implies the presence of spherical symmetry?

**In general, not. But, if specify the asymptotic behaviors or employ a perturbative argument, you may do something.**

$$g = -V^2(x^i)dt^2 + 2\beta_i(x^j)dtdx^i + g_{ij}(x^k)dx^i dx^j$$

$$\eta = -dt^2 + \delta_{ij}dx^i dx^j$$

Unitary gauge: Stuckelberg  $\phi^\alpha = x^\alpha, \dots\dots\dots$