#### Gauge Theories from Geometry

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#### Outline

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#### Geometry of ADE singularities

- Surface singularities
- Singular curves in Calabi-Yau threefolds
- Gauge Theory Description
  - Enhanced Gauge Symmetries
  - Adjoint Breaking

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# • Codimension 2 *ADE* singularities give rise to non-abelian gauge symmetries in F-theory and type IIA string theory

- IIA: Curve of ADE singularities in a Calabi-Yau threefold
- F-theory: singular fibers over a surface in the threefold base of an elliptically fibered Calabi-Yau fourfold
  - Gauge theory realized by stacks of D7 branes wrapping the singular locus
- Worsening of the singularities in codimension 3 gives rise to charged matter

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#### IN THE CONTEXT OF THIS TALK.

#### In IIA, additional matter appears as four-dimensional solitons

 When we consider worldvolume theories, obtain analogous results for enhanced gauge symmetries and matter, but details are different

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Surface singularities Singular curves in Calabi-Yau threefolds

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EQUATIONS OF ADE SURFACE SINGULARITIES.

$$A_n xy + z^{n+1} = 0$$
  

$$D_n x^2 + y^2 z + z^{n-1} = 0$$
  

$$E_6 x^2 + y^3 + z^4 = 0$$
  

$$E_7 x^2 + y^3 + yz^3 = 0$$
  

$$E_8 x^2 + y^3 + z^5 = 0$$

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# **RESOLUTIONS OF ADE SINGULARITIES.**

- ADE surface singularities S can be resolved by surfaces  $\tilde{S}$
- Exceptional P<sup>1</sup>'s intersect according to the dual graph of the corresponding Dynkin diagram with n vertices



Surface singularities Singular curves in Calabi-Yau threefolds

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Surface singularities Singular curves in Calabi-Yau threefolds

#### **DEFORMATIONS.**

- The versal deformation space Res of the resolved singularity S
   can be identified with the root space of the corresponding ADE root system
- The versal deformation space Def of the singularity *S* can be identified with the quotient of Res by the corresponding Weyl group
- References
  - Kas, in <u>Global Analysis</u>, University of Tokyo Press, 289–294.
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Surface singularities Singular curves in Calabi-Yau threefolds

## THE A<sub>n</sub> CASE.

- Consider the  $A_n$  case  $xy + z^{n+1} = 0$
- The exceptional curves  $C_i \subset \tilde{S}$  are parametrized by  $z^i/x, i = 1, ..., n$ .
- Now  $\tilde{S}$  can be deformed.

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# VERSAL DEFORMATION OF $\tilde{S}$ , THE $A_n$ CASE.

- Introduce deformation parameters of  $\text{Res}(A_n)$ :  $(t_1, \ldots, t_{n+1}), \ \sum t_i = 0$
- Deform singularity to  $S_t$ :  $xy + \prod_{i=1}^{n+1} (z + t_i) = 0$
- If  $t_i = t_j$ , then  $S_t$  is singular at  $(x, y, z) = (0, 0, -t_i)$ , generically  $A_1$ .
- Can blow up to resolve singularities

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## THE A<sub>n</sub> ROOT SYSTEM.

A<sub>n</sub> Cartan subalgebra h = {(t<sub>1</sub>,..., t<sub>n+1</sub>) | ∑t<sub>i</sub> = 0}
Roots in h\*: e<sub>i</sub><sup>\*</sup> - e<sub>j</sub><sup>\*</sup> for i ≠ j
This root is orthogonal to the hyperplane t<sub>i</sub> = t<sub>j</sub> in h.
Positive simple roots v<sub>i</sub> = e<sub>i</sub><sup>\*</sup> - e<sub>i+1</sub><sup>\*</sup>.

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## THE A<sub>n</sub> ROOT SYSTEM.

- $A_n$  Cartan subalgebra  $h = \{(t_1, \ldots, t_{n+1}) \mid \sum t_i = 0\}$
- Roots in  $h^*$ :  $e_i^* e_j^*$  for  $i \neq j$ 
  - This root is orthogonal to the hyperplane  $t_i = t_j$  in h.
- Positive simple roots  $v_i = e_i^* e_{i+1}^*$ .

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Surface singularities Singular curves in Calabi-Yau threefolds

# DEFORMATIONS OF $\tilde{S}$ , $A_n$ CASE.

- Over  $t_i = t_{i+1}$  (the hyperplane orthogonal to  $v_i$ ), the deformed  $C_i$  is parametrized by  $(z + t_1)(z + t_2) \cdots (z + t_i)/x$ .
- Over  $t_i = t_j$  (the hyperplane orthogonal to  $v_i + \ldots + v_{j-1}$ ), the curve  $C_i + C_{i+1} + \ldots + C_{j-1}$  deforms

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## VERSAL DEFORMATION OF S, THE $A_n$ CASE.

- Rewrite  $S_t$  as  $xy + z^{n+1} + \sum_{i=2}^{n+1} \sigma_i z^{n+1-i}$
- The  $\sigma_i$  are the elementary symmetric functions of the  $t_1, \ldots, t_{n+1}$ .
- $(\sigma_2, \ldots, \sigma_{n+1})$  are coordinates on  $\text{Def}(A_n)$
- Def(A<sub>n</sub>) is the quotient of Res(A<sub>n</sub>) by the Weyl group S<sub>n+1</sub> of A<sub>n</sub>; parametrizes deformations of S.

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Surface singularities Singular curves in Calabi-Yau threefolds

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Surface singularities Singular curves in Calabi-Yau threefolds

- Positive simple roots correspond to components of exceptional curves in S
- Positive roots correspond to exceptional divisors (not necessarily irreducible) in  $\tilde{S}$
- The complex structure deformation space Res of the resolved ADE is parametrized by the root space of the corresponding root system
  - Exceptional divisors persist over the hyperplane orthogonal to the corresponding root
- The deformation space Def of the singularity is parametrized by the quotient of Res by the corresponding Weyl group
  - Singularities persist over the hypersurfaces covered by the above hyperplanes

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Surface singularities Singular curves in Calabi-Yau threefolds

#### THE LOCAL A<sub>n</sub> CASE.

- Fiber the ADE geometry over a smooth curve *B* of genus *g*
- $xy + z^{n+1} = 0$ ,  $x \in L$ ,  $y \in K_B^{n+1} \otimes L^{\otimes -1}$ ,  $z \in K_B$
- Singularity can be resolved by *n* exceptional divisors *E<sub>i</sub>*, each fibered over *B* by curves *C<sub>i</sub>*
- Can deform this threefold before or after the resolution of singularities

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Surface singularities Singular curves in Calabi-Yau threefolds

#### DEFORMATIONS OF LOCAL A<sub>n</sub>.

#### • $xy + z^{n+1} + \sum_{i=2}^{n+1} \sigma_i z^{n+1-i} = 0, \quad \sigma_i \in H^0(B, K_B^{\otimes i})$ deforms the threefold

- Number of parameters  $\sum_{i=2}^{n-1} (2i-1)(g-1) = (n^2-1)(g-1)$
- When the deformation is of the form  $xy + \prod_{i=1}^{n+1} (z + \omega_i) = 0$ ,  $\omega_i \in H^0(B, K_B)$ , the deformation can be resolved to become a deformation of the resolved geometry
  - Unlike the surface case, the existence of a factorization is not generic only *ng* parameters.

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#### GLOBAL CASE.

- Can have compact models of Calabi-Yau threefold *X* containing a curve *B* of ADE singularities
- Many global examples arise as Calabi-Yau hypersurfaces in singular toric varieties (e.g. weighted projective spaces).

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Surface singularities Singular curves in Calabi-Yau threefolds

#### GLOBAL CASE.

- Can have compact models of Calabi-Yau threefold *X* containing a curve *B* of ADE singularities
- Many global examples arise as Calabi-Yau hypersurfaces in singular toric varieties (e.g. weighted projective spaces).

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Enhanced Gauge Symmetries Adjoint Breaking

#### Outline



#### Geometry of ADE singularities

- Surface singularities
- Singular curves in Calabi-Yau threefolds
- Gauge Theory Description
  Enhanced Gauge Symmetries
  - Adjoint Breaking

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**Enhanced Gauge Symmetries** 

#### Summarv

#### GAUGE SYMMETRIES AND MATTER IN IIA STRING THEORY.

- Compactification of IIA on Calabi-Yau threefold X yields N = 2 theory in 4 dimensions
- Kähler moduli of X contained in vector multiplets
  - Effective  $U(1)^{h^{1,1}(X)}$  gauge theory at generic points of
  - U(1) factors associated with elements  $D \in H^2(X, \mathbb{Z})$
- Complex structure moduli of X contained in neutral

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Enhanced Gauge Symmetries Adjoint Breaking

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- Kähler moduli of X contained in vector multiplets
  - Effective *U*(1)<sup>*h*<sup>1,1</sup>(*X*)</sup> gauge theory at generic points of Kähler moduli
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Enhanced Gauge Symmetries Adjoint Breaking

# MATTER ASSOCIATED WITH CONTRACTING CURVES.

- D2-branes wrapping holomorphic curves C appear as solitons in 4d, part of charges given by intersections D · C
  - Hypermultiplets become massless when area of *C* goes to zero
- *U*(1)<sup>*n*</sup> factor associated with exceptional divisors of resolution of ADE singularity at generic points in moduli
- D2-branes wrapping fibers  $C_i$  charged under  $U(1)^n$ , parametrized by curve B.
  - Effectively a twisted gauge theory on  $B \times \mathbf{R}^4$
  - Even cohomology of *B* gives vectors in 4d
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Overview Gauge Theory Description Summarv

Enhanced Gauge Symmetries

#### MULTIPLET STRUCTURE OF THE MATTER.

#### • The $U(1)^n$ gauge factor is enhanced to the full ADE group.

- The n vectors associated with the Kähler moduli of the
- The effective theory contains g adjoint hypermultiplets
  - The *ng* hypermultiplets corresponding to the complex
- Reference: K, Morrison, Plesser, hep-th/9601108

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Enhanced Gauge Symmetries Adjoint Breaking

### MULTIPLET STRUCTURE OF THE MATTER.

- The  $U(1)^n$  gauge factor is enhanced to the full ADE group.
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Enhanced Gauge Symmetries Adjoint Breaking

#### **EFFECTIVE THEORY.**

#### • Rewrite in N = 1 superfield notation

• N = 2 vector is an N = 1 vector and an N = 1 chiral

- $V_a$  adjoint vector, field strength  $W^a_{\alpha}$
- Adjoint chiral Φ<sup>a</sup>
- Each of the g N = 2 hypermultiplets is a pair of N = 1 chirals
  - Adjoint chirals  $M_a^i$  and  $\tilde{M}_a^i$ ,  $1 \le i \le g$

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Enhanced Gauge Symmetries Adjoint Breaking

#### EFFECTIVE LAGRANGIAN.

The effective Lagrangian is

$$\mathcal{L} = \operatorname{Im} \left[ \operatorname{Tr} \int d^4 \theta \left( M_i^{\dagger} e^{V} M^i + \tilde{M}^{\dagger i} e^{V} \tilde{M}_i + \Phi^{\dagger} e^{V} \Phi \right) \right. \\ \left. + \frac{\tau}{2} \int d^2 \theta \operatorname{Tr} W^2 + i \int d^2 \theta \mathcal{W} \right]$$

where  $W = \text{Tr}\tilde{M}^{i}[\Phi, M_{i}]$  is the superpotential • the scalar potential is

$$\begin{split} & \operatorname{Tr}\left[[m_i, m^{\dagger i}]^2 + [\tilde{m}^i, \tilde{m}^{\dagger}_i]^2 + [\phi, \phi^{\dagger}]^2 \right. \\ & \left. + 2\left([m^{\dagger i}, \phi][\phi^{\dagger}, m_i] + [\tilde{m}^{\dagger}_i, \phi][\phi^{\dagger}, \tilde{m}^i] + [m_i, \tilde{m}^i][\tilde{m}^{\dagger}_j, m^{\dagger j}]\right)\right] \end{split}$$

 Each summand is of the form TrAA<sup>†</sup>, so each vanishes separately as the condition for a supersymmetric vaceum <sup>≥</sup> ∞ ∞

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Enhanced Gauge Symmetries Adjoint Breaking

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Sheldon Katz

Gauge Theories from Geometry

Enhanced Gauge Symmetries

#### COULOMB AND HIGGS BRANCHES.

# $V = \operatorname{Tr} \left| [m_i, m^{\dagger i}]^2 + [\tilde{m}^i, \tilde{m}^{\dagger}_i]^2 + [\phi, \phi^{\dagger}]^2 \right|$ $+2\left([m^{\dagger i},\phi][\phi^{\dagger},m_{i}]+[\tilde{m}_{i}^{\dagger},\phi][\phi^{\dagger},\tilde{m}^{i}]+[m_{i},\tilde{m}^{i}][\tilde{m}_{i}^{\dagger},m^{\dagger j}]\right)\right]$

• Generic vev  $\phi$  gives the Coulomb branch

- $[\phi, \phi^{\dagger}] = 0$  implies  $\phi = \text{diag}(\phi_1, \dots, \phi_{n+1})$  up to gauge,
- These vevs are only well defined up to the Weyl group
- Gauge symmetry spontaneously broken to  $U(1)^n$
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Enhanced Gauge Symmetries

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**Enhanced Gauge Symmetries** 

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Enhanced Gauge Symmetries Adjoint Breaking

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Enhanced Gauge Symmetries Adjoint Breaking

# DETECTING THE GAUGE THEORY IN THE GEOMETRY.

- A suggestive way to parametrize g adjoint hypermultiplets in the A<sub>n</sub> case is as a traceless n × n matrix of holomorphic 1-forms on B, up to conjugation by a scalar matrix.
- In this case, the singularity can be deformed by the equation  $xy + \det(zI_n + A) = 0$ .
- The resulting number of deformation parameters  $(n^2 1)(g 1)$  is as it must be from Higgsing g adjoints.

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# DETECTING THE GAUGE THEORY IN THE GEOMETRY.

- A suggestive way to parametrize g adjoint hypermultiplets in the A<sub>n</sub> case is as a traceless n × n matrix of holomorphic 1-forms on B, up to conjugation by a scalar matrix.
- In this case, the singularity can be deformed by the equation  $xy + \det(zI_n + A) = 0$ .
- The resulting number of deformation parameters  $(n^2 1)(g 1)$  is as it must be from Higgsing g adjoints.

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Enhanced Gauge Symmetries Adjoint Breaking

#### Outline



#### Geometry of ADE singularities

- Surface singularities
- Singular curves in Calabi-Yau threefolds

#### Gauge Theory Description

- Enhanced Gauge Symmetries
- Adjoint Breaking

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Enhanced Gauge Symmetries Adjoint Breaking

#### MATTER FROM GEOMETRY.

- Additional charged matter localizes at points of *B* where ADE singularity gets worse
- Matter arises from adjoint breaking mechanism  $G \rightarrow H \times U(1)$  K and Vafa hep-th/96006086
  - *Remark:* The terminology refers to the breaking of a 6d gauge symmetry. In 4d, this turns into the decomposition of hypermultiplets in the adjoint representation of the larger 6d gauge group into irreducible representations of the 4d gauge group. There is no broken gauge symmetry in 4d.

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#### ADJOINT BREAKING.

- Suppose singularity gets worse over t = 0 ∈ B, corresponding to gauge group G in 6d.
- D-branes wrap cycles corresponding to roots of G
- As we move away from t = 0 some of the 2-cycles pick up a mass and the wave functions of the 2-branes are concentrated near t = 0. This breaks the gauge group to H ⊂ G corresponding to the generic singularity type.
  - In F-theory, the open strings correspondingly pick up a mass for  $t \neq 0$
- *t* is identified with a vev in a U(1) ⊂ G. The broken part of the adjoint of G survives in hypermultiplets charged under H, the commutant of U(1)

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Enhanced Gauge Symmetries Adjoint Breaking

# $SU(6) \rightarrow SU(5).$

#### • Identify t with a SU(6) Cartan generator: (t, t, t, t, t, -5t)

- $xy + (z + t)^5(z 5t) = 0$  has generic SU(5) enhanced to SU(6) at t = 0
- Unbroken SU(5)
- Roots  $e_i^* e_j^*$ ,  $1 \le i, j \le 5$ ;  $\pm (e_i^* e_6^*)$
- The former move over *B* and fill out the *SU*(5) vector, the latter fill out hypermultiplet matter in the **5** and **5** of *SU*(5)
- $\bullet \ \mathbf{35} \rightarrow \mathbf{24} + \mathbf{5} + \mathbf{\overline{5}} + \mathbf{1}$
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Enhanced Gauge Symmetries Adjoint Breaking

### $SO(10) \rightarrow SU(5)$

#### Identify t with SO(10) Cartan generator (t, t, t, t, t)

#### • Unbroken SU(5)

- Roots  $e_i^* e_j^*, \pm (e_i^* + e_j^*)$
- The former move over *B* and fill out the *SU*(5) vector, the latter fill out hypermultiplet matter in the **10** and **10** of *SU*(5)
- $\bullet \ 45 \rightarrow 24 + 10 + \overline{10} + 1$
- Localized matter in 10 and 10 of SU(5)

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# $D_5 \rightarrow A_4$ GEOMETRY.

• 
$$x^2 + y^2 z + ((z + t^2)^5 - t^{10})/z) + 2t^5 y = 0$$

- Singular at  $(x, y, z) = (0, t^3, -t^2)$
- $D_5$  for t = 0
- Near  $z = -t^2$ , this equation has leading behavior  $x^2 t^2(y t^3)^2 \frac{(z+t^2)^5}{t^2} = 0$  so  $A_4$  for  $t \neq 0$ .

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Enhanced Gauge Symmetries Adjoint Breaking

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#### Codimension 2 ADE singularities give nonabelian gauge symmetries

 Worsening of the singularities in codimension 3 gives localized charged matter

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Sheldon Katz Gauge Theories from Geometry

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