

EPHU talk II Sakura

Plan: From semi-local to global

- 1) Global consistency constraints
- 2) Construction of a 3-fold base B_3
- 3) ~~Realized~~ ^{consistent} semi-local model w/ 3 generators realized in B_3 .

1) Global constraints

Input: Physics requirements: $SU(5)$ GUT

- $3 \times 10_M, 3 \times \bar{5}_M, \bar{5}_H, \bar{5}_H$
- $W \geq 10_{105} + \bar{5}_{\bar{5}10}$
- ~~GUT~~ & $3/2$ splitting w/ $U(1)_Y$ flux.

Realized in $F_{Y|M} = 0$ $F_{Y|H} = 0$ $F_{Y|\bar{H}} = -1$
 $E_2 \rightarrow X_4$ $\leftarrow X = 0$

$B_3 \supseteq S_{\text{gut}}$

Geometrical

- S_{gut} dP_n sfc.
- A_4 sng over S_{gut} .
 $\rightarrow A_5: \bar{5}_M, \bar{5}_H, \bar{5}_H$
 $\rightarrow D_5: 10_M$
- D_6 E_6 for Yukawas.

D3 tadpole global constraints

• $U(1)_Y$ SUT breaking:

$$\int_{\mathbb{R}^{3,1} \times S^1} C_4 \wedge \text{Tr}(F_4 \wedge F_4)$$

F_Y be the correction for π_Y .

gauge boson of $U(1)_Y$ remains massless

\Leftrightarrow

$[F_Y]$ dual is a trivial cycle in B_3

$$H_2(S_{\text{gen}}, \mathbb{Z}) \xrightarrow{\cong} H_2(B_3, \mathbb{Z})$$

rank 2.

• D7-brane tadpole : $K_X = 0$

$$12 K_B + \sum a_i D_i = 0$$

\uparrow
 $a_i = \text{degree of degenerations over comp of discriminant } D_i$

$$\Rightarrow K_B \neq 6$$

• Flux: quantization:

~~J-brane tadpole~~ $[G_4] = \frac{C_2(X_4)}{2} \in H^4(X_4, \mathbb{Z})$

$\leftarrow \int \wedge G = 0$

• D3-brane tadpole :

$$\chi(X_6) = \frac{1}{2} \int G_4 \wedge G_4 + N_{D3}$$

$$\chi = \chi^* - \chi_F - \chi_{E8}$$

② 3-fold here B_3

$K_B \neq 0$ in fact $(-K_B \geq 0)$

& U(1) constraint: $H_2(S_{\text{int}}) \rightarrow H_2(B_3)$
 \hookrightarrow has non-trivial kernel.

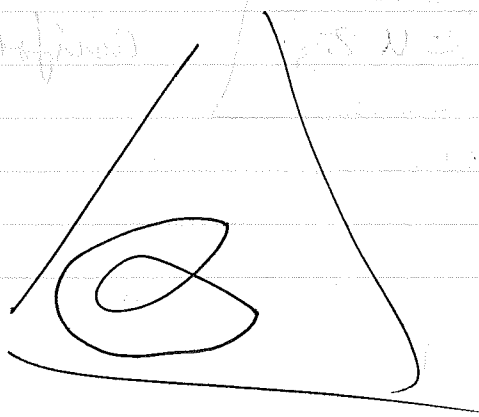
Construction: inspiration from Mori's classif. of Fano
 3-folds where some kind of
 construction appear when Pic dops.

$\mathbb{P}^3: [z_1, z_2, z_3, z_4]$

$$K_{\mathbb{P}^3} = -4H$$

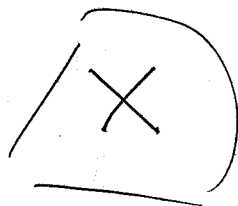
Nodal curve $C:$

$$\left. \begin{aligned} z_1 z_2 z_4 + (z_1 + z_2)^3 &= 0 \\ z_3 &= 0 \end{aligned} \right\}$$



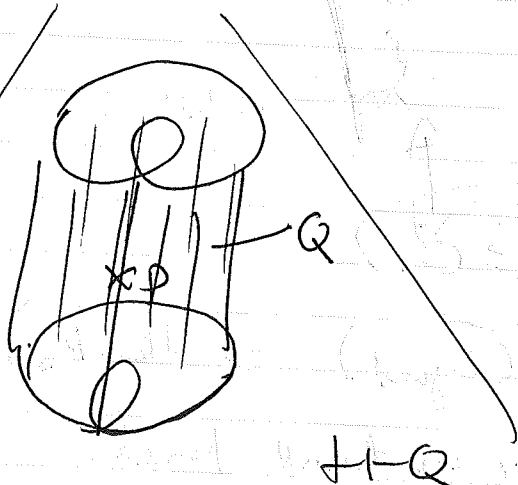
sing. point: $[0, 0, 0, 1]$

vicinity: $z_1, z_2 = 0$
 $z_3 = 0$



Blowup along \mathcal{C} : $Y \xrightarrow{\mathcal{C}} \mathbb{P}^3$

(Y)



$\mathbb{C}^3 \times \mathbb{P}^1$ (in patch $z_4=1$)
 " $[v_0, v_1]$.

$$v_0(z_1, z_2 + (z_1 + z_2)^3) = v_1 z_3$$

Sing. point : $p = \{ (z_1, z_2, z_3) [v_0, v_1] \} = \{ (0, 0, 0), [1, 0] \}$

$$K_Y = -4H + Q$$

$$\mathcal{C}^*(H) = Q + (H - Q) = H$$

Patch : $\mathcal{D}_0 \neq 0$ of \mathbb{P}^1 : $u = \frac{v_1}{v_0}$

$$\Rightarrow z_1, z_2 + (z_1 + z_2)^3 = u z_3$$

close top :
 \Rightarrow

$$z_1, z_2 = u z_3$$

conifold sing.

Blowup p :

$$\phi: \mathcal{B} \rightarrow Y$$

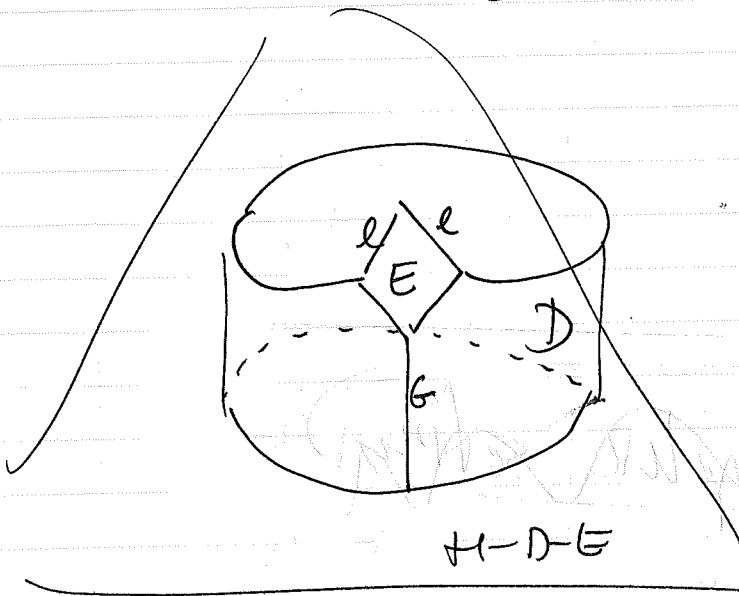
Let $\mathbb{P}_W^3: [W_1, W_2, W_3, W_4]$ w/ condition

$$W_1 W_2 = W_3 W_4$$

In patch $U_0 = 1$

$$\mathcal{B} = \{ (z_1, z_2, z_3, w_1, \dots, w_4) \in \mathbb{C}^4 \times \mathbb{P}_W^3 :$$

$$\left. \begin{aligned} (z_1, \dots, w_1) &\in [W_1, \dots, W_4] \\ z_1 z_2 &= z_3 w_1 \\ W_1 W_2 &= W_3 W_4 \end{aligned} \right\}$$



$$K_{\mathcal{B}} = -4H + (D+E) + E$$

$$(d^* D = D + E)$$

Trivial to see:

E : exceptional div. of blowup;

$$\mathbb{P}_{(1)}^1: W_2 = W_4 = 0$$

$$\mathbb{P}_{(2)}^1: W_2 = W_3 = 0$$

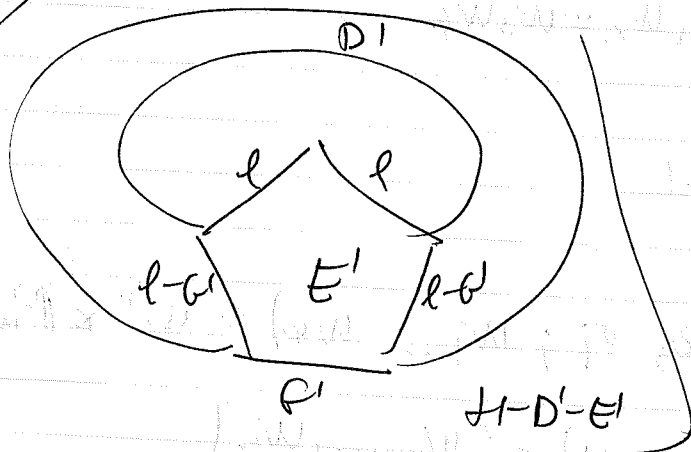
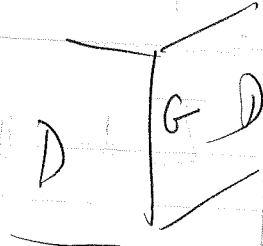
have same \cap w/ all divisors \Rightarrow homology.

$$E = P^1 \times P^1$$

$$H = dP_3$$

$$H - D - E = P^2$$

↓ flop G ($G - D = -2$).



$$K_{\tilde{B}} = -4H + D' + 2E'$$

$$E' \cong dP_2$$

$$H = dP_3$$

$$D' = F_4$$

$$H - D' - E' = dP_1$$

write lot examples setting

	H	E'	H-D'-E'
H	l_0	0	$l_0 - 3(l - G')$
E'	0	$-2l + G'$	G'
H-D'-E'	$l_0 - 3l + 3G'$	G'	$-2l_0 + 6l - 5G'$

	H	E'	H-D'-E'
l_0	1	0	π_1
l	0	-1	0
G'	0	-1	-1

$$H^3 = 1$$

$$E'^3 = 1$$

$$D'^3 = -6$$

$$D'^2 H = -3$$

$$D'^2 E' = -2$$

Holomorph. Secharus

Z_4	H	0
Z_1, Z_2	$(H-E) + E = H$	0
Z_3	$(H-D-E) + (D+E) = H$	0
$W_{1,2,3}$	<u>H-E</u>	h
W_4	$3H-D-2E$	$2h - e_1 - e_2$
V_1	$3H-D-2E+E = 3H-D-E$	$(2h - e_1 - e_2) - h$
V_0	H-D-E	$h - e_1 - e_2$

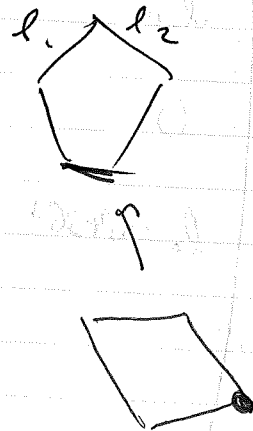
$$\underline{S_{\text{gut}} = E' = dP_2}$$

$$l_1 = l_2$$

$$l_1^2 = l_2^2 = l_i \cdot G' = 0$$

$$l_1 \cdot l_2 = 1$$

$$G'^2 = -1$$



$$l_1 = h - e_1$$

$$l_2 = h - e_2$$

in dP_2 basis.

$$G' = h - e_1 - e_2$$

in $S_{\text{gut}} = E'$	E'
H	0
D'	$e_1 + e_2$
E'	$-h$
$H - D - G$	$h - e_1 - e_2$



$K_B \cdot G' = 0 \Rightarrow$ not Fano but
Weak Fano

$$\frac{\chi_{\text{genus}}}{24} = 582$$

$$= 12 \int_B c_1 (c_2 + 30 c_1^2)$$

③ Realizing 3-generation SU(5) GUT on B_3

Recall semi-local model:

$$y^2 = x^3 + b_5 xy + b_4 x^2 z + b_3 yz^2 + b_2 xz^3 + b_1 z^5$$

Data: $y = 6c_1 - t$ $t = -c_1(N_{S_{\text{gut}}})$

$c_1 = c_1(S_{\text{gut}})$

Local sing	GUT interpret.	Locus
A_4	gauge fields.	$b_m \neq 0 \forall m$
A_5	$5, \bar{5}$	$P = b_1 b_5^2 - b_2 b_3 b_4 + b_3^2 b_4 = 0$
D_5	10	$b_5 = 0$
D_6	$\bar{5}, 10$	$b_5 = b_3 = 0$
E_6	$5, 10, 10$	$b_5 = b_4 = 0$
E_8		$b_m = 0 \forall m \neq 0$

$$E_8 \rightarrow SU(5)_{\text{gut}} \times SU(5)_L$$

$b_m =$ char. sym. polys in t_i

\uparrow
wt of $SU(5)_L$

e.g. $b_5 = \prod t_i$

$$\sum t_i = 0$$

Spectral cover : sfc. in $\mathbb{P}(O_{S_{\text{gut}}} \oplus K_S)$

$$b_0 U^5 + b_2 U^3 V^2 + b_3 U^2 V^3 + b_4 U V^4 + b_5 V^5 = 0$$

$[U, V] \subset \mathbb{P}^1$

$\uparrow \quad \uparrow$
 $O(1) \oplus K_S \quad O(1)$

$S = U/V$: $(\# \text{ factors of } \mathcal{L}) - (\# \text{ indep. } U(1)\text{'s}) - 1$

Recall : Minimal $SU(5)$ EUT

No μ -term

$U(1)_Y$ EUT

$$\Rightarrow \mathcal{L} = \mathcal{L}^{(4)} \cdot \mathcal{L}^{(1)}$$

$U(1)_X$	-1	3	2	-2
\uparrow	10_H	$\bar{5}_H$	5_H	$\bar{5}_H$

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— }
↓

Sym

Problems

- No $U(1)_{PQ}$
- No ν seen. realized.

also: as in all other models:

gauge coupl. unif.

$$\alpha_1^{-1}(M_{gut}) - \frac{3}{5} \alpha_2^{-1}(M_{gut}) - \frac{2}{5} \alpha_3^{-1}(M_{gut}) = 0$$

- dim 5 proton decay $\int \frac{QQQL}{x}$ ok. \neq

\Rightarrow Can resolve all issues by

relaxing minimality:

Simplest model : $3+2$ \equiv } $E^{(1)}$

\equiv } $E^{(2)}$

• $U(1)_{PQ}$

• $F_y \mid \Sigma_{Matter}$

$\neq 0 \rightarrow$ non GUT
exotic.

\downarrow
S_{gut}.

\Rightarrow compatible w/ (*)

Monodromy gp

$$S_3 \times \mathbb{Z}_2 \cong G^{(1)} \times G^{(2)}$$

$$\mathcal{E} \rightarrow (a_0 U^3 + a_1 U^2 V + a_2 UV^2 + a_3 V^3) (a_0 U^2 + a_1 UV + a_2 V^2)$$

$$\& \begin{matrix} \sum t_i = 0 \Leftrightarrow \\ b_i = 0 \Leftrightarrow \end{matrix}$$

$$a_1 e_0 + a_0 e_1 = 0$$

$$\Rightarrow \text{solve by } a_0 = \alpha e_0$$

$$a_1 = -\alpha e_1$$

$$[\mathcal{E}^{(1)}] = 3\sigma + \pi * (\gamma - 2c_1 - \frac{1}{3})$$

$$[\mathcal{E}^{(2)}] = 2\sigma + \pi * (2c_1 + \frac{1}{3})$$

$$\downarrow$$

$$[\mathcal{E}] = 5\sigma + \pi * (\gamma)$$

$\left. \begin{matrix} P(6 \oplus 1 \oplus c) \\ \downarrow \\ S \end{matrix} \right\} X_{\mathcal{E}}$

free dim.

Explicit sections

	divisors in X_C
u	σ
v	$\sigma + \pi^* c_1$
b_m	$\pi^*(\eta - m c_1)$
e_2	$\pi^* \xi$
e_1	$\pi^*(c_1 + \xi)$
e_0	$\pi^*(2c_1 + \xi)$
a_m	$\pi^*(\eta - (m+2)c_1 - \xi)$
2	$\pi^*(\eta - 4c_1 - 2\xi)$

How to assign matter curves s.t.

Yukawas o.k.

we realize these explicitly later in B_3 .

$10_{\text{other}} 10^{(1)} : t_1, t_2, t_3$

$10_M \supseteq 10^{(2)} : t_4, t_5$

$5_H \quad \bar{5}^{(1)} : t_i + t_j \quad i, j = 1..3$

$5_H \quad \bar{5}^{(2)} : t_4 + t_5$

$5_H \quad \bar{5}^{(1)(2)} : t_i + t_a$

$$\begin{array}{l}
 \rho^{(1)} \left\{ \begin{array}{l} \equiv t_1 \\ \equiv t_2 \\ \equiv t_3 \end{array} \right\} \bar{5}_H \\
 \tau^{(2)} \left\{ \begin{array}{l} \equiv t_4 \\ \equiv t_5 \end{array} \right\} \bar{5}_H \\
 \end{array}$$

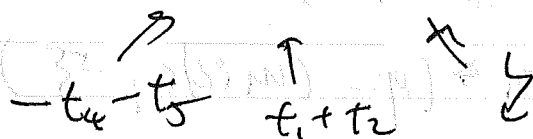
	LOM	SH	\bar{S}_H	\bar{S}_M	LOWH
$U(1)_{PQ}$	3	-6	+1	-4	-2

μ forbidden.

Neutrinos

$t_i - t_j \stackrel{!}{=} \text{symph.}$

No N_R : $H_u \quad L \quad N_R$



But Dirac:

$$\frac{1}{\Lambda} \int d^4\theta \quad \langle H_d^+ \rangle \quad L \quad \frac{1}{\Lambda} \int d^4\theta \quad \langle H_d^+ \rangle$$

Diagram showing the relationship between $-(t_i + t_4)$, $t_i + t_2$, and $t_4 - t_2$ with arrows indicating directions.

Diagram showing the relationship between $-(t_i + t_4)$, $t_i + t_2$, and $t_4 - t_2$. Arrows indicate directions: $-(t_i + t_4)$ has an arrow pointing up, $t_i + t_2$ has an arrow pointing down, and $t_4 - t_2$ has an arrow pointing right.

Embedding in global B_3 model

$$c_1(dP_2 = S_{\text{int}}) = 3h - e_1 - e_2$$

$$t = -c_1(N_{S_{\text{int}}/B_3}) = h$$

$$y = 6e_1 - t = 17h - 6e_1 - 6e_2$$

choose $\xi = h - e_1$

$$(F_4) = (2 - e_1)$$

	sect.		L_4
10_M	e_2	$h - e_1 = \xi$	-1
$\bar{5}_H$	ϕ_1	$3h - e_1 - e_2 + \xi = 0$	-1
$\bar{5}_M$	P_2	$8h - 3e_1 - 3e_2$	0
$\bar{5}_H$	P_3	$10h - 4e_1 - 4e_2 - \xi$	+1
10_{at}	a_3	$2h - e_1 - e_2 - \xi$	+1

$$P = \prod P_i$$

$$P_2 \stackrel{1}{=} \tau^1 \wedge \tau^1$$

$$P_3 \stackrel{2}{=} \tau^1 \wedge \tau^2$$

$$P_1 \stackrel{1}{=} \tau^2 \wedge \tau^2$$

Fluxes

$$G = \sum w_i \wedge \Phi_{ci}$$

$(1,1)$ form \uparrow fib dual to t_i
 $(w_i) \subset (SU(5))^\perp$

Universal fluxes:

$$\int_{\Sigma} w_i = \delta_{ij}$$

$$C_1(p_1 \wedge Z_1) + C_1(p_2 \wedge Z_2) = 0$$

$$C_1(Z_i) \in H^{1,1}(Z_i, \mathbb{C})$$

Univ. fluxes

Int. w/ divisor in $X \subset \mathbb{C}P^3$ w/ Σ :

$$\gamma_i = \sigma \cdot \mathcal{C}^{(i)}$$

$$p_i \wedge \Sigma = \pi^*(\Sigma) \cdot \mathcal{C}^{(i)}$$

$\Sigma \in \mathcal{E}_H$

Tracelessness:

$$\tilde{\gamma}_1 = 3\gamma_1 - p_1 \wedge p_1 \wedge \gamma_1$$

$$\beta = 2p_1 \wedge \beta - 3p_2 \wedge \beta$$

$$\delta_1 = 2\sigma \cdot \mathcal{C}^{(1)} - p_2 \wedge p_1 \wedge (\sigma \cdot \mathcal{C}^{(1)}) \text{ etc.}$$

Nonuniv. fl.

family of co. str. allows other fluxes.
(not int. w/ div.)

e.g. $\varphi_{\pm}: V_{\pm} g U = 0 \quad \varphi = 0.$

$$\varphi_+ = \left(3 - \frac{p_1}{2} \frac{p_1}{2} \right) \varphi_+$$

$$\Delta = \varphi_+ - \varphi_-$$

⇒ Use flux to constrain

⊕ spectrum

get neutral F_y at 10M

$$\Rightarrow F_y|_{10M} = -1$$

$$F_y|_{10_{\text{other}}} = 1$$

$$\Rightarrow \Gamma|_{10M} = M$$

$$\Gamma|_{10_{\text{other}}} = -M$$

⇒ engineer models

w/ additional

$$(3, 2)_{1/6} + (3, 1)_{-2/3} \rightarrow 10M$$

$$(3, 2)_{-1/6} + (3, 1)_{2/3} \rightarrow 10_{\text{other}}$$

don't overshoot DS-bound.

$$N_{DS_1}^{\text{flux}} = \frac{1}{2} \int G \cdot 1 \cdot G$$

$$= -\frac{1}{2} \Gamma^2$$

~~2000~~

⇒ ∃ models satisfying both 3 fact + min extns. & DS