

# **Neutrino Models with Flavor Symmetry**

**November 11, 2010**

**Mini Workshop on Neutrinos**

**IPMU, Kashiwa, Japan**

**Morimitsu Tanimoto (Niigata University)**

**with H. Ishimori, Y. Shimizu, A. Watanabe**

# **Plan of my talk**

- 1 Tri-bi maximal mixing and Flavor Symmetry**
- 2 Neutrino Flavor Models  
with Non-Abelian Discrete Symmetry**
- 3 Breaking of Flavor Symmetry**
- 4 Related Phenomena of Flavor Symmetry**
- 5 Summary**

# 1 Tri-bimaximal mixing and Flavor symmetry

Recent experiments of the neutrino oscillations go into a new phase of precise determination of mixing angles and mass squared differences.

## Neutrino Parameters

**Global fit for 3 flavors**

**by Jose**

parameter	best fit	$2\sigma$	$3\sigma$	tri-bimaximal
$\Delta m_{21}^2 [10^{-5} \text{eV}^2]$	$7.59^{+0.23}_{-0.18}$	7.22–8.03	7.03–8.27	*
$ \Delta m_{31}^2  [10^{-3} \text{eV}^2]$	$2.40^{+0.12}_{-0.11}$	2.18–2.64	2.07–2.75	*
$\sin^2 \theta_{12}$	$0.318^{+0.019}_{-0.016}$	0.29–0.36	0.27–0.38	1/3
$\sin^2 \theta_{23}$	$0.50^{+0.07}_{-0.06}$	0.39–0.63	0.36–0.67	1/2
$\sin^2 \theta_{13}$	$0.013^{+0.013}_{-0.009}$	$\leq 0.039$	$\leq 0.053$	0

T. Schwetz, M. A. Tortola and J. W. F. Valle, New J. Phys. 10, 113011 (2008)

# Three Flavor analysis strongly suggests **Tri-bimaximal Mixing of Neutrinos**

Harrison, Perkins, Scott (2002)

$$\sin^2 \theta_{12} = 1/3, \sin^2 \theta_{23} = 1/2, \sin^2 \theta_{13} = 0,$$

$$U_{\text{tri-bimaximal}} = \begin{pmatrix} \sqrt{2/3} & \sqrt{1/3} & 0 \\ -\sqrt{1/6} & \sqrt{1/3} & -\sqrt{1/2} \\ -\sqrt{1/6} & \sqrt{1/3} & \sqrt{1/2} \end{pmatrix}$$

**indicates Non-Abelian Flavor Symmetry ?**

## Consider the structure of Neutrino Mass Matrix, which gives Tri-bi maximal mixing

$$M_\nu^{\text{exp}} \simeq V_{\text{tri-bi}}^* \begin{pmatrix} m_1 & & \\ & m_2 & \\ & & m_3 \end{pmatrix} V_{\text{tri-bi}}^\dagger$$

$$= \frac{m_1 + m_3}{2} \begin{pmatrix} 1 & & \\ & 1 & \\ & & 1 \end{pmatrix} + \frac{m_2 - m_1}{3} \begin{pmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{pmatrix} + \frac{m_1 - m_3}{2} \begin{pmatrix} 1 & & \\ & & \\ & & 1 \end{pmatrix}$$

- **integer** (inter-family related) matrix elements  
 $\iff$  **non-abelian discrete** flavor sym

**Mixing angles are independent of mass eigenvalues.**

Those seem different from quark mixing angles  $\left( \theta_{ij} \not\propto \sqrt{\frac{m_i}{m_j}} \right)$

# Let us consider Flavor Symmetry.

- abelian or non-abelian ?

abelian : discriminate between generations

non-abelian : connect different generations

- continuous or discrete ?

continuous : free rotation between generations

discrete : definite meaning of generations

**Non-Abelian Discrete Symmetry is appropriate for Neutrino Flavor Physics if TBM is not accidental.**

$$M_\nu^{\text{exp}} = \frac{m_1 + m_3}{2} \begin{pmatrix} 1 & & \\ & 1 & \\ & & 1 \end{pmatrix} + \frac{m_2 - m_1}{3} \begin{pmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{pmatrix} + \frac{m_1 - m_3}{2} \begin{pmatrix} 1 & & \\ & & \\ & & 1 \end{pmatrix}$$

- The 1st and 2nd terms are  $S_3$  symmetric (the most general  $S_3$ -invariant forms)
- The 3rd is not ( $S_3$  flavor sym breaking)

**Need some ideas to realize Tri-bi maximal mixing by  $S_3$  flavor symmetry**

Extra property of neutrinos :

- $m_1 \simeq m_3$  Chen–Wolfenstein
  - \* the 3rd term negligible
  - \* degenerate neutrino masses
- Magic matrix  $\sum_i M_{\nu ij} = \sum_j M_{\nu ij}$  Lam
- Twisted flavors Haba–Watanabe–KY
- Extra higgs contributions Mohapatra–Nasri–Yu

# $A_4$ Symmetry may be hidden.

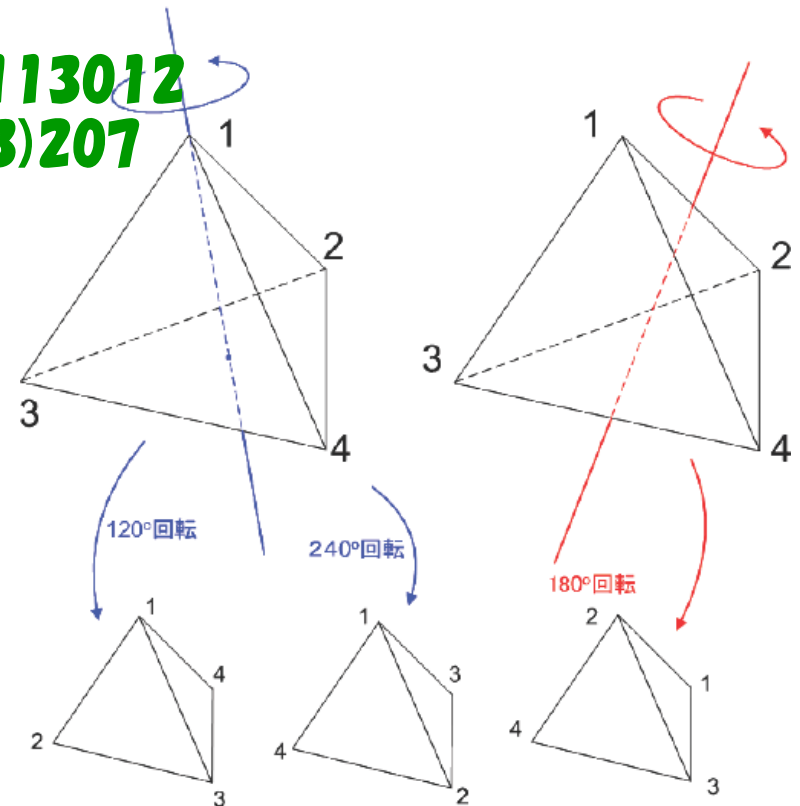
## Tetrahedral Symmetry

Four irreducible representations  $1 \quad 1' \quad 1'' \quad 3$   
 $A_4$  is minimal symmetry including triplet **12 elements**

E. Ma and G. Rajasekaran, PRD64(2001)113012  
 K.S.Babu, E.Ma, J.W.F.Valle, PLB 552(2003)207

the even permutation of 4 objects

class	$n$	$h$	$\chi_1$	$\chi_{1'}$	$\chi_{1''}$	$\chi_3$
$C_1$	1	1	1	1	1	3
$C_2$	4	3	1	$\omega$	$\omega^2$	0
$C_3$	4	3	1	$\omega^2$	$\omega$	0
$C_4$	3	2	1	1	1	-1





## Suppose $A_4$ triplet $(\nu_e, \nu_\mu, \nu_\tau)_L$

$$M_\nu^{\text{exp}} = \frac{m_1 + m_3}{2} \begin{pmatrix} 1 & & \\ & 1 & \\ & & 1 \end{pmatrix} + \frac{m_2 - m_1}{3} \begin{pmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{pmatrix} + \frac{m_1 - m_3}{2} \begin{pmatrix} 1 & & \\ & & \\ & & 1 \end{pmatrix}$$

- The 3rd term is  $A_4$  symmetric
- A 3-dim higgs gives the general  $A_4$ -symmetric Majorana mass term:  $\mathbf{3}_L \times \mathbf{3}_L \times \mathbf{3}_H \quad \mathbf{3}_L \times \mathbf{3}_L \times \mathbf{1}_H$

$$M_\nu^{A_4} = \begin{pmatrix} a & & \\ & b & \\ & & c \end{pmatrix} - \frac{1}{3} \begin{pmatrix} a & c & b \\ c & b & a \\ b & a & c \end{pmatrix} + x \begin{pmatrix} 1 & & \\ & & \\ & & 1 \end{pmatrix}$$

$$a = b = c \iff V_{\text{tri-bi}}$$

**$A_4$  should be broken !**

$$A_4 \text{ flavor sym breaking} \rightarrow Z_2 : \frac{1}{3} \begin{pmatrix} -1 & 2 & 2 \\ 2 & -1 & 2 \\ 2 & 2 & -1 \end{pmatrix}$$

**$T'$ ,  $S_4$ ,  $\Delta(27)$ ,  $\Delta(54)$  also give (near) Tri-bi maximal mixing !**

# **Non-Abelian Discrete Flavor Symmetry** *is related with other Physical Phenomena.*

## ● **$U_{e3}=0$ in Tri-bimaximal mixing!**

There are hints Non-zero  $U_{e3}$  in experiments.

How can one predict  $U_{e3}$  ?

## ● **CKM mixing in Quarks ? Cabibbo angle?**

We need Quark-lepton unification in a GUT.

## ● **SUSY Flavor Sector, SUSY FCNC , EDM**

## 2 Neutrino Flavor Models with Non-Abelian Discrete Symmetry

Let us understand how to get the tri-bimaximal mixing in the example of  $A_4$  flavor model.

G. Altarelli, F. Feruglio, Nucl.Phys. B720 (2005) 64

$A_4 \times Z_3$  charge assignment  **$A_4$  Flavor model**

	$(L_e, L_\mu, L_\tau)$	$R_e^c$	$R_\mu^c$	$R_\tau^c$	$H_{u,d}$	$\chi_l$	$\chi_\nu$	$\chi$
$A_4$	3	1	1'	1''	1	3	3	1
$Z_3$	$\omega$	$\omega^2$	$\omega^2$	$\omega^2$	1	1	$\omega$	$\omega$

$\chi_l, \chi_\nu, \chi$  are new scalars of gauge singlets.

$A_4$  invariant superpotential can be written by:  
for charged leptons

$$\begin{aligned}
 W_L = & \frac{y_e}{\Lambda}(L_e\chi_{l_1} + L_\mu\chi_{l_3} + L_\tau\chi_{l_2})R_eH_d & \mathbf{3}_L \times \mathbf{3}_{\text{flavon}} & \rightarrow \mathbf{1} \\
 & + \frac{y_\mu}{\Lambda}(L_e\chi_{l_2} + L_\mu\chi_{l_1} + L_\tau\chi_{l_3})R_\mu H_d & \mathbf{3}_L \times \mathbf{3}_{\text{flavon}} & \rightarrow \mathbf{1}'' \\
 & + \frac{y_\tau}{\Lambda}(L_e\chi_{l_3} + L_\mu\chi_{l_2} + L_\tau\chi_{l_1})R_\tau H_d + h.c., \\
 & & \mathbf{3}_L \times \mathbf{3}_{\text{flavon}} & \rightarrow \mathbf{1}'
 \end{aligned}$$

for neutrinos

$$\begin{aligned}
 W_\nu = & \frac{y_1}{\Lambda^2}(L_eL_e + L_\mu L_\tau + L_\tau L_\mu)H_uH_u\chi & \mathbf{3}_L \times \mathbf{3}_L \times \mathbf{1}_{\text{flavon}} & \rightarrow \mathbf{1} \\
 & + \frac{y_2}{3\Lambda^2}[(2L_eL_e - L_\mu L_\tau - L_\tau L_\mu)\chi_{\nu_1} \\
 & + (-L_eL_\tau + 2L_\mu L_\mu - L_\tau L_e)\chi_{\nu_2} & \mathbf{3}_L \times \mathbf{3}_L \times \mathbf{3}_{\text{flavon}} & \rightarrow \mathbf{1} \\
 & + (-L_eL_\mu - L_\mu L_e + 2L_\tau L_\tau)\chi_{\nu_3}]H_uH_u + h.c., \\
 & & \mathbf{1}' \times \mathbf{1}'' & \rightarrow \mathbf{1}
 \end{aligned}$$

After  $A_4 \times Z_3$  symmetry is spontaneously broken by VEVs of  $\chi_l$ ,  $\chi_\nu$ , and  $\chi$ , mass matrices are obtained as

$$M_l = \frac{v_d}{\Lambda} \begin{pmatrix} y_e \langle \chi_{l_1} \rangle & y_e \langle \chi_{l_3} \rangle & y_e \langle \chi_{l_2} \rangle \\ y_\mu \langle \chi_{l_2} \rangle & y_\mu \langle \chi_{l_1} \rangle & y_\mu \langle \chi_{l_3} \rangle \\ y_\tau \langle \chi_{l_3} \rangle & y_\tau \langle \chi_{l_2} \rangle & y_\tau \langle \chi_{l_1} \rangle \end{pmatrix}$$

$$M_\nu = \frac{v_u^2}{3\Lambda} \begin{pmatrix} 3y_1 \langle \chi \rangle + 2y_2 \langle \chi_{\nu_1} \rangle & -y_2 \langle \chi_{\nu_3} \rangle & -y_2 \langle \chi_{\nu_2} \rangle \\ -y_2 \langle \chi_{\nu_3} \rangle & 2y_2 \langle \chi_{\nu_2} \rangle & 3y_1 \langle \chi \rangle - y_2 \langle \chi_{\nu_1} \rangle \\ -y_2 \langle \chi_{\nu_2} \rangle & 3y_1 \langle \chi \rangle - y_2 \langle \chi_{\nu_1} \rangle & 2y_2 \langle \chi_{\nu_3} \rangle \end{pmatrix}$$

where  $v_d = \langle H_d \rangle$ ,  $v_u = \langle H_u \rangle$ .

**These mass matrices do not yet predict tri-bimaximal mixing !**

**We need**  $\langle \chi_l \rangle = (V_l, 0, 0)$      $\langle \chi_\nu \rangle = (V_\nu, V_\nu, V_\nu)$

**Can one get Desired Vacuum  
in Spontaneous Symmetry Breaking ?**

If vacuum expectation values are aligned,

$\langle \chi_\ell \rangle = (V_\ell, 0, 0)$  and  $\langle \chi_\nu \rangle = (V_\nu, V_\nu, V_\nu)$ ,  
 which are obtained by potential analysis, then

$$M_l = \frac{v_d v_T}{\Lambda} \begin{pmatrix} y_e & 0 & 0 \\ 0 & y_\mu & 0 \\ 0 & 0 & y_\tau \end{pmatrix}$$

$$M_\nu = \frac{v_u^2}{\Lambda} \begin{pmatrix} a + 2b/3 & -b/3 & -b/3 \\ -b/3 & 2b/3 & a - b/3 \\ -b/3 & a - b/3 & 2b/3 \end{pmatrix}.$$

where  $a = y_1 V/\Lambda$ ,  $b = y_2 V_\nu/\Lambda$ .

$$M_\nu = \frac{v_u^2 b}{\Lambda} \begin{matrix} \mathbf{3}_L \times \mathbf{3}_L \times \mathbf{3}_{\text{flavon}} \\ \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \end{matrix} - \frac{v_u^2 b}{3\Lambda} \begin{matrix} \mathbf{3}_L \times \mathbf{3}_L \times \mathbf{3}_{\text{flavon}} \\ \begin{pmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{pmatrix} \end{matrix} + \frac{v_u^2 a}{\Lambda} \begin{matrix} \mathbf{3}_L \times \mathbf{3}_L \times \mathbf{1}_{\text{flavon}} \\ \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix} \end{matrix}$$

Therefore, mixing matrix is tri-bimaximal matrix, and masses are

$$m_1 = \frac{v_u^2(a+b)}{\Lambda}, \quad m_2 = \frac{v_u^2 a}{\Lambda}, \quad m_3 = -\frac{v_u^2(a-b)}{\Lambda}.$$

# See-Saw Realization

## Introduce $A_4$ triplet $\nu^c$

	$(l_e, l_\mu, l_\tau)$	$(\nu_e^c, \nu_\mu^c, \nu_\tau^c)$	$e^c$	$\mu^c$	$\tau^c$	$h_u$	$h_d$	$\xi$	$\tilde{\xi}$	$(\phi_{T_1}, \phi_{T_2}, \phi_{T_3})$	$(\phi_{S_1}, \phi_{S_2}, \phi_{S_3})$	$\Phi$
$A_4$	3	3	1	1''	1'	1	1	1	1	3	3	1
$Z_3$	$\omega$	$\omega^2$	$\omega^2$	$\omega^2$	$\omega^2$	1	1	$\omega^2$	$\omega^2$	1	$\omega^2$	1
$U(1)_{FN}$	0	0	$2q$	$q$	0	0	0	0	0	0	0	-1

$$w_l = y_e e^c (\varphi_{Tl}) + y_\mu \mu^c (\varphi_{Tl})' + y_\tau \tau^c (\varphi_{Tl})'' + y (\nu^c l) + (x_A \xi + \tilde{x}_A \tilde{\xi}) (\nu^c \nu^c) + x_B (\varphi_{S\nu^c} \nu^c)$$

**Dirac**  $3_R \times 3_R \times 3_{\text{flavon}}$   $3_R \times 3_R \times 1_{\text{flavon}}$

$$m_\nu^D = y v_u \mathbf{1} \quad , \quad M = \begin{pmatrix} A + 2B/3 & -B/3 & -B/3 \\ -B/3 & 2B/3 & A - B/3 \\ -B/3 & A - B/3 & 2B/3 \end{pmatrix} u$$

$$A \equiv 2x_A \quad , \quad B \equiv 2x_B \frac{v_S}{u}$$

**Dirac Mass Matrix is diagonal one.**

**Tri-bimaximal mixing comes from Majorana Mass matrix !**

# $S_4$ Flavor Model can also give Tri-bimaximal mixing

$S_4$  group is the symmetry group of octahedron or permutation of four elements. Number of elements is 24.

- Irreducible representations of  $S_4$  are  $3_1$ ,  $3_2$ ,  $2$ ,  $1_1$ , and  $1_2$ .

$3_1, 3_2, 2, 1_1, 1_2$

- Multiplication rules are

$$3_1 \times 3_1 = 1_1 + 2 + 3_1 + 3_2$$

$$3_2 \times 3_2 = 1_1 + 2 + 3_1 + 3_2$$

$$3_1 \times 3_2 = 1_2 + 2 + 3_1 + 3_2$$

$$2 \times 3_1 = 3_1 + 3_2$$

$$2 \times 3_2 = 3_1 + 3_2$$

$$2 \times 2 = 1_1 + 1_2 + 2$$

⋮

etc.

- $S_4$  invariant representation is  $1_1$ .

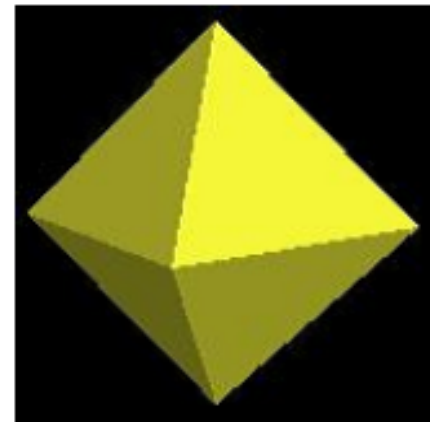


Figure:  $S_4$  symmetry: Octahedron



# Other Successful Models

**$\Delta(54)$  Flavor Symmetry** **two 1 four 2 two 3**  
**which is a series of  $\Delta(6n^2)$**

JHEP 0904: 011, 2009    JHEP 0912: 054, 2009

**$\Delta(6)$  is  $S_3$**      **$\Delta(24)$  is isomorphic to  $S_4$**

**Simple and non-trivial example is  $\Delta(54)$**

$\Delta(54)$  is a nonAbelian discrete symmetry from stringy origin.

T. Kobayashi, H. P. Nilles, F. Ploger, S. Raby, M. Ratz,

Nucl. Phys. B768: 135, 2007

**$\Delta(27)$  Flavor Symmetry** **nine 1 and two 3**  
**which is a series of  $\Delta(3n^2)$**

**$\Delta(3)$  is  $Z_3$**      **$\Delta(12)$  is isomorphic to  $A_4$**

# $S_4$ flavor model

F. Bazzocchi, L. Merlo, and S. Morisi, Phys. Rev. D 80 053003 (2009).

- The assignment of the model:

**neutrinos**

	$\ell$	$e^c$	$\mu^c$	$\tau^c$	$\nu^c$	$h_{u,d}$	$\theta$	$\psi$	$\eta$	$\Delta$	$\varphi$	$\xi'$
$S_4$	$\mathbf{3}_1$	$\mathbf{1}_2$	$\mathbf{1}_2$	$\mathbf{1}_1$	$\mathbf{3}_1$	$\mathbf{1}_1$	$\mathbf{1}_1$	$\mathbf{3}_1$	$\mathbf{2}$	$\mathbf{3}_1$	$\mathbf{2}$	$\mathbf{1}_2$
$Z_5$	$\omega^4$	$1$	$\omega^2$	$\omega^4$	$\omega$	$1$	$1$	$\omega^2$	$\omega^2$	$\omega^3$	$\omega^3$	$1$
$U(1)_{FN}$	$0$	$1$	$0$	$0$	$0$	$0$	$-1$	$0$	$0$	$0$	$0$	$0$

- The superpotential in the lepton sector is as follows:

$$w_\ell = \sum_{i=1}^4 \frac{\theta}{\lambda} \frac{y_{e,i}}{\Lambda^3} e^c (\ell X_i)' h_d + \frac{y_\mu}{\Lambda^2} \mu^c (\ell \psi \eta)' h_d + \frac{y_\tau}{\Lambda} \tau^c (\ell \psi) h_d + h.c. + \dots$$

$$X = \{\psi\psi\eta, \psi\eta\eta, \Delta\Delta\xi', \Delta\varphi\xi'\}$$

$$w_\nu = x(\nu^c \ell) h_u + x_d(\nu^c \nu^c \varphi) + x_t(\nu^c \nu^c \Delta) + h.c. + \dots$$

$3 \times 3$

$3 \times 3 \times 2_{\text{flavon}}$

$3 \times 3 \times 3_{\text{flavon}}$

- Vacuum alignment:

$$\text{Neutrinos:} \quad \langle \varphi \rangle = \begin{pmatrix} 1 \\ 1 \end{pmatrix} v_\varphi, \quad \langle \Delta \rangle = \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix} v_\Delta$$

$$\text{Charged leptons:} \quad \langle \eta \rangle = \begin{pmatrix} 0 \\ 1 \end{pmatrix} v_\eta, \quad \langle \psi \rangle = \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} v_\psi$$

- Magnitude of VEVs:

$$\frac{\langle \theta \rangle}{\Lambda} = t, \quad \frac{\langle \phi \rangle}{\Lambda} = u \quad (\phi = \psi, \eta, \Delta, \varphi, \xi')$$

- The charged lepton mass matrix: almost diagonal

$$m_\ell = \begin{pmatrix} y_e^{(1)} u^2 t & y_e^{(2)} u^2 t & y_e^{(3)} u^2 t \\ 0 & y_\mu u & 0 \\ 0 & 0 & y_\tau \end{pmatrix} u v_d$$

- The Dirac and Majorana neutrino mass matrix:

$$(b = 2x_d v_\varphi, c = 2x_t v_\Delta)$$

$$m_\nu^D = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix} x v_u, \quad M_N = \begin{pmatrix} 2c & b-c & b-c \\ b-c & b+2c & -c \\ b-c & -c & b+2c \end{pmatrix}$$

- The left-handed Majorana neutrino mass matrix:

$$m_\nu = -(m_\nu^D)^T M_N^{-1} m_\nu^D =$$

$$\frac{m_1 + m_3}{2} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} + \frac{m_2 - m_1}{3} \begin{pmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{pmatrix} + \frac{m_1 - m_3}{2} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}$$

$$m_1 = -\frac{x^2 v_u^2}{3c - b}, \quad m_2 = -\frac{x^2 v_u^2}{2b}, \quad m_3 = -\frac{x^2 v_u^2}{3c + b}$$

**Can Non-abelian discrete symmetry  
predict quark mixing angles as well as  
Tri-bimaximal mixing of neutrinos ?**

**Yes, it is possible in  $S_4$  !**

**H. Ishimori, K. Saga, Y. Shimizu, M. Tanimoto, [arXiv:1004.5004](https://arxiv.org/abs/1004.5004)  
PRD 2010**

**$S_4 \times Z_4 \times U(1)_{FN}$  with SUSY SU(5) GUT**

# Use $S_4$ doublet for left-handed quarks !

	$(T_1, T_2)$	$T_3$	$(F_1, F_2, F_3)$	$(N_e^c, N_\mu^c)$	$N_\tau^c$	$H_5$	$H_{\bar{5}}$	$H_{45}$	$\Theta$
$SU(5)$	10	10	$\bar{5}$	1	1	5	5	45	1
$S_4$	<b>2</b>	<b>1</b>	<b>3</b>	<b>2</b>	<b>1'</b>	<b>1</b>	<b>1</b>	<b>1</b>	<b>1</b>
$Z_4$	$-i$	$-1$	$i$	1	1	1	1	$-1$	1
$U(1)_{FN}$	$\ell$	0	0	$m$	0	0	0	0	$-1$

	$(\chi_1, \chi_2)$	$(\chi_3, \chi_4)$	$(\chi_5, \chi_6, \chi_7)$	$(\chi_8, \chi_9, \chi_{10})$	$(\chi_{11}, \chi_{12}, \chi_{13})$	$\chi_{14}$
$SU(5)$	1	1	1	1	1	1
$S_4$	<b>2</b>	<b>2</b>	<b>3'</b>	<b>3</b>	<b>3</b>	<b>1</b>
$Z_4$	$-i$	1	$-i$	$-1$	$i$	$i$
$U(1)_{FN}$	$-\ell$	$-n$	0	0	0	$-\ell$

**Up  
quarks**

$M_R$

**Dirac  
Neutrinos**

**Charged leptons  
Down quarks**

10  $(q_1, u^c, e^c)$

$\bar{5} (d^c, l_e)$

**We take  $l=m=1, n=2$ .**

Right-handed neutrinos are  $SU(5)$  gauge singlets

# $S_4$ invariant superpotential for leptons

$$w_l = -3y_1 \left[ \frac{e^c}{\sqrt{2}}(l_\mu \chi_9 - l_\tau \chi_{10}) + \frac{\mu^c}{\sqrt{6}}(-2l_e \chi_8 + l_\mu \chi_9 + l_\tau \chi_{10}) \right] h_{45} \Theta^\ell / (\Lambda \bar{\Lambda}^\ell)$$

$$+ y_2 \tau^c (l_e \chi_{11} + l_\mu \chi_{12} + l_\tau \chi_{13}) h_d / \Lambda.$$

$3_L \times 2_R \times 3_{\text{flavon}}$   
 $3_L \times 1_R \times 3_{\text{flavon}}$

$$w_N = y_1^N (N_e^c N_e^c + N_\mu^c N_\mu^c) \Theta^{2m} / \bar{\Lambda}^{2m-1}$$

$$+ y_2^N [(N_e^c N_\mu^c + N_\mu^c N_e^c) \chi_3 + (N_e^c N_e^c - N_\mu^c N_\mu^c) \chi_4] \Theta^{2m-n} / \bar{\Lambda}^{2m-n} + M N_\tau^c N_\tau^c,$$

$2_R \times 2_R$   $1_R \times 1_R$   
 $2_R \times 2_R \times 2_{\text{flavon}}$

$$w_D = y_1^D \left[ \frac{N_e^c}{\sqrt{6}}(2l_e \chi_5 - l_\mu \chi_6 - l_\tau \chi_7) + \frac{N_\mu^c}{\sqrt{2}}(l_\mu \chi_6 - l_\tau \chi_7) \right] h_u \Theta^m / (\Lambda \bar{\Lambda}^m)$$

$$+ y_2^D N_\tau^c (l_e \chi_5 + l_\mu \chi_6 + l_\tau \chi_7) h_u / \Lambda.$$

$3_L \times 2_R \times 3_{\text{flavon}}$   
 $3_L \times 1_R \times 3_{\text{flavon}}$

## We take VEV's

$$\langle h_u \rangle = v_u, \quad \langle h_d \rangle = v_d, \quad \langle h_{45} \rangle = v_{45}, \quad \langle \Theta \rangle = \theta,$$

$$\langle (\chi_3, \chi_4) \rangle = (u_3, u_4), \quad \langle (\chi_5, \chi_6, \chi_7) \rangle = (u_5, u_6, u_7),$$

$$\langle (\chi_8, \chi_9, \chi_{10}) \rangle = (u_8, u_9, u_{10}), \quad \langle (\chi_{11}, \chi_{12}, \chi_{13}) \rangle = (u_{11}, u_{12}, u_{13}),$$

$$\alpha_i \equiv u_i / \Lambda \text{ and } \lambda \equiv \theta / \bar{\Lambda}$$

## We get Lepton Mass Matrices

$$M_l = -3y_1 \lambda^\ell v_{45} \begin{pmatrix} 0 & \alpha_9 / \sqrt{2} & -\alpha_{10} / \sqrt{2} \\ -2\alpha_8 / \sqrt{6} & \alpha_9 / \sqrt{6} & \alpha_{10} / \sqrt{6} \\ 0 & 0 & 0 \end{pmatrix} + y_2 v_d \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ \alpha_{11} & \alpha_{12} & \alpha_{13} \end{pmatrix}$$

$$M_N = \begin{pmatrix} \lambda^{2m-n} (y_1^N \lambda^n \bar{\Lambda} + y_2^N \alpha_4 \Lambda) & y_2^N \lambda^{2m-n} \alpha_3 \Lambda & \textcircled{0} \\ y_2^N \lambda^{2m-n} \alpha_3 \Lambda & \lambda^{2m-n} (y_1^N \lambda^n \bar{\Lambda} - y_2^N \alpha_4 \Lambda) & \textcircled{0} \\ \textcircled{0} & \textcircled{0} & M \end{pmatrix} \quad \text{Due to } m-n < 0$$

$$M_D = y_1^D \lambda^m v_u \begin{pmatrix} 2\alpha_5 / \sqrt{6} & -\alpha_6 / \sqrt{6} & -\alpha_7 / \sqrt{6} \\ 0 & \alpha_6 / \sqrt{2} & -\alpha_7 / \sqrt{2} \\ 0 & 0 & 0 \end{pmatrix} + y_2^D v_u \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ \alpha_5 & \alpha_6 & \alpha_7 \end{pmatrix}$$



Define VEVs :  $\langle \chi_i \rangle \equiv u_i$  and  $\alpha_i \equiv u_i/\Lambda$

### **Vacuum alignment**

take vacuum alignment  $(u_8, u_9, u_{10}) = (0, u_9, 0)$  and  $(u_{11}, u_{12}, u_{13}) = (0, 0, u_{13})$

$$M_l = \begin{pmatrix} 0 & -3y_1\lambda^\ell\alpha_9v_{45}/\sqrt{2} & 0 \\ 0 & -3y_1\lambda^\ell\alpha_9v_{45}/\sqrt{6} & 0 \\ 0 & 0 & y_2\alpha_{13}v_d \end{pmatrix}$$

$$M_l^\dagger M_l = v_d^2 \begin{pmatrix} 0 & 0 & 0 \\ 0 & 6|\bar{y}_1\lambda^\ell\alpha_9|^2 & 0 \\ 0 & 0 & |y_2|^2\alpha_{13}^2 \end{pmatrix}$$

$$m_e^2 = 0, \quad m_\mu^2 = 6|\bar{y}_1\lambda^\ell\alpha_9|^2v_d^2, \quad m_\tau^2 = |y_2|^2\alpha_{13}^2v_d^2$$

**No mixing in the left-hand !**

**$\theta_{12} = 60^\circ$  in the right-hand !**

Taking vacuum alignment  $(u_3, u_4) = (0, u_4)$  and  $(u_5, u_6, u_7) = (u_5, u_5, u_5)$

$$M_N = \begin{pmatrix} \lambda^{2m-n}(y_1^N \lambda^n \bar{\Lambda} + y_2^N \alpha_4 \Lambda) & 0 & 0 \\ 0 & \lambda^{2m-n}(y_1^N \lambda^n \bar{\Lambda} - y_2^N \alpha_4 \Lambda) & 0 \\ 0 & 0 & M \end{pmatrix}$$

$$M_D = y_1^D \lambda^m v_u \begin{pmatrix} 2\alpha_5/\sqrt{6} & -\alpha_5/\sqrt{6} & -\alpha_5/\sqrt{6} \\ 0 & \alpha_5/\sqrt{2} & -\alpha_5/\sqrt{2} \\ 0 & 0 & 0 \end{pmatrix} + y_2^D v_u \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ \alpha_5 & \alpha_5 & \alpha_5 \end{pmatrix}$$

**After seesaw, we get the tri-bimaximal mixing**

$$M_\nu = \frac{b+c}{2} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} + \frac{3a-b}{3} \begin{pmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{pmatrix} + \frac{b-c}{2} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}$$

$$a = \frac{(y_2^D \alpha_5 v_u)^2}{M}, \quad b = \frac{(y_1^D \alpha_5 v_u \lambda^m)^2}{\lambda^{2m-n}(y_1^N \lambda^n \bar{\Lambda} + y_2^N \alpha_4 \Lambda)}, \quad c = \frac{(y_1^D \alpha_5 v_u \lambda^m)^2}{\lambda^{2m-n}(y_1^N \lambda^n \bar{\Lambda} - y_2^N \alpha_4 \Lambda)}.$$

$$m_1 = b, \quad m_2 = 3a, \quad m_3 = c.$$

# Flavor Symmetry predicts $\theta_{13}$

Higher dimensional mass operators, which predict  
Deviation from the Tri-bimaximal mixing

Superpotential of next-to-leading order

$$\begin{aligned}\Delta w_l = & y_{\Delta_a}(T_1, T_2) \otimes (F_1, F_2, F_3) \otimes (\chi_1, \chi_2) \otimes (\chi_{11}, \chi_{12}, \chi_{13}) \otimes H_{\bar{5}}/\Lambda^2 \\ & + y_{\Delta_b}(T_1, T_2) \otimes (F_1, F_2, F_3) \otimes (\chi_5, \chi_6, \chi_7) \otimes \chi_{14} \otimes H_{\bar{5}}/\Lambda^2 \\ & + y_{\Delta_c}(T_1, T_2) \otimes (F_1, F_2, F_3) \otimes (\chi_1, \chi_2) \otimes (\chi_5, \chi_6, \chi_7) \otimes H_{45}/\Lambda^2 \\ & + y_{\Delta_d}(T_1, T_2) \otimes (F_1, F_2, F_3) \otimes (\chi_{11}, \chi_{12}, \chi_{13}) \otimes \chi_{14} \otimes H_{45}/\Lambda^2 \\ & + y_{\Delta_e} T_3 \otimes (F_1, F_2, F_3) \otimes (\chi_5, \chi_6, \chi_7) \otimes (\chi_8, \chi_9, \chi_{10}) \otimes H_{\bar{5}} \otimes /\Lambda^2 \\ & + y_{\Delta_f} T_3 \otimes (F_1, F_2, F_3) \otimes (\chi_8, \chi_9, \chi_{10}) \otimes (\chi_{11}, \chi_{12}, \chi_{13}) \otimes H_{45} \otimes /\Lambda^2\end{aligned}$$

$$\begin{aligned}\Delta w_N = & y_{\Delta_1}^N(N_e^c, N_\mu^c) \otimes (N_e^c, N_\mu^c) \otimes (\chi_1, \chi_2) \otimes \chi_{14}/\Lambda \\ & + y_{\Delta_2}^N(N_e^c, N_\mu^c) \otimes N_\tau^c \otimes (\chi_5, \chi_6, \chi_7) \otimes (\chi_{11}, \chi_{12}, \chi_{13}) \otimes \Theta/(\Lambda\bar{\Lambda}) \\ & + y_{\Delta_3}^N(N_e^c, N_\mu^c) \otimes N_\tau^c \otimes (\chi_8, \chi_9, \chi_{10}) \otimes (\chi_8, \chi_9, \chi_{10}) \otimes \Theta/(\Lambda\bar{\Lambda}) \\ & + y_{\Delta_4}^N N_\tau^c \otimes N_\tau^c \otimes (\chi_8, \chi_9, \chi_{10}) \otimes (\chi_8, \chi_9, \chi_{10})/\Lambda.\end{aligned}$$

$$\Delta w_D = y_{\Delta}^D(N_e^c, N_\mu^c) \otimes (F_1, F_2, F_3) \otimes (\chi_8, \chi_9, \chi_{10}) \otimes (\chi_{11}, \chi_{12}, \chi_{13}) \otimes H_{\bar{5}} \otimes \Theta/(\Lambda^2\bar{\Lambda})$$

$$M_l^\dagger M_l \simeq \begin{pmatrix} |\epsilon_{11}|^2 + |\epsilon_{21}|^2 + |\epsilon_{31}|^2 & \frac{1}{2}(\sqrt{3}\epsilon_{11}^* + \epsilon_{21}^*)m_\mu & \epsilon_{31}^* m_\tau \\ \frac{1}{2}(\sqrt{3}\epsilon_{11} + \epsilon_{21})m_\mu & m_\mu^2 & \frac{1}{2}(\sqrt{3}\epsilon_{13} + \epsilon_{23})m_\mu \\ \epsilon_{31} m_\tau & \frac{1}{2}(\sqrt{3}\epsilon_{13}^* + \epsilon_{23}^*)m_\mu & m_\tau^2 \end{pmatrix}$$

$$\epsilon_{ij} = \mathcal{O}(\alpha_i \alpha_j v_d) = \mathcal{O}(m_e)$$

$$\Delta M_N = \Lambda \times$$

$$\begin{pmatrix} y_{\Delta_1}^N \alpha_1 \alpha_{14} & y_{\Delta_1}^N \alpha_1 \alpha_{14} & -\frac{\lambda}{\sqrt{6}} y_{\Delta_2}^N \alpha_5 \alpha_{13} + \frac{\lambda}{\sqrt{2}} y_{\Delta_3}^N \lambda \alpha_9^2 \\ y_{\Delta_1}^N \alpha_1 \alpha_{14} & -y_{\Delta_1}^N \alpha_1 \alpha_{14} & -\frac{\lambda}{\sqrt{2}} y_{\Delta_2}^N \alpha_5 \alpha_{13} + \frac{\lambda}{\sqrt{6}} y_{\Delta_3}^N \alpha_9^2 \\ -\frac{\lambda}{\sqrt{6}} y_{\Delta_2}^N \alpha_5 \alpha_{13} + \frac{\lambda}{\sqrt{2}} y_{\Delta_3}^N \alpha_9^2 & -\frac{\lambda}{\sqrt{2}} y_{\Delta_2}^N \alpha_5 \alpha_{13} + \frac{\lambda}{\sqrt{6}} y_{\Delta_3}^N \alpha_9^2 & y_{\Delta_4}^N \alpha_9^2 \end{pmatrix}$$

$$\Delta M_D = \begin{pmatrix} * & * & * \\ y_{\Delta}^D \lambda \alpha_9 \alpha_{13} v_u & * & * \\ * & * & * \end{pmatrix}$$

**we have non-zero  $U_{e3}$  of order  $\alpha_i = \langle \chi_i \rangle / \Lambda$**

# Determination of magnitudes $\alpha_i$

**Desired Vacuum Alignments**    **FN charges  $l=m=1$ .  $n=2$**

$$(\chi_1, \chi_2) = (1, 1), \quad (\chi_3, \chi_4) = (0, 1),$$

$$(\chi_5, \chi_6, \chi_7) = (1, 1, 1), \quad (\chi_8, \chi_9, \chi_{10}) = (0, 1, 0), \quad (\chi_{11}, \chi_{12}, \chi_{13}) = (0, 0, 1),$$

$$\alpha_3 = \alpha_8 = \alpha_{10} = \alpha_{11} = \alpha_{12} = 0,$$

$$\alpha_1 = \alpha_2 \simeq \sqrt{\left| \frac{y_2^u m_c}{2y_1^{u2} v_u} \right|},$$

$$\alpha_4 = \frac{(y_1^D \lambda)^2 (m_3 - m_1) m_2 M}{6y_2^N y_2^{D2} m_1 m_3 \Lambda}, \quad \alpha_5 = \alpha_6 = \alpha_7 = \frac{\sqrt{m_2 M}}{\sqrt{3} y_2^D v_u},$$

$$\alpha_9 = \frac{m_\mu}{\sqrt{6} |\bar{y}_1| \lambda v_d}, \quad \alpha_{13} = \frac{m_\tau}{y_2 v_d}.$$

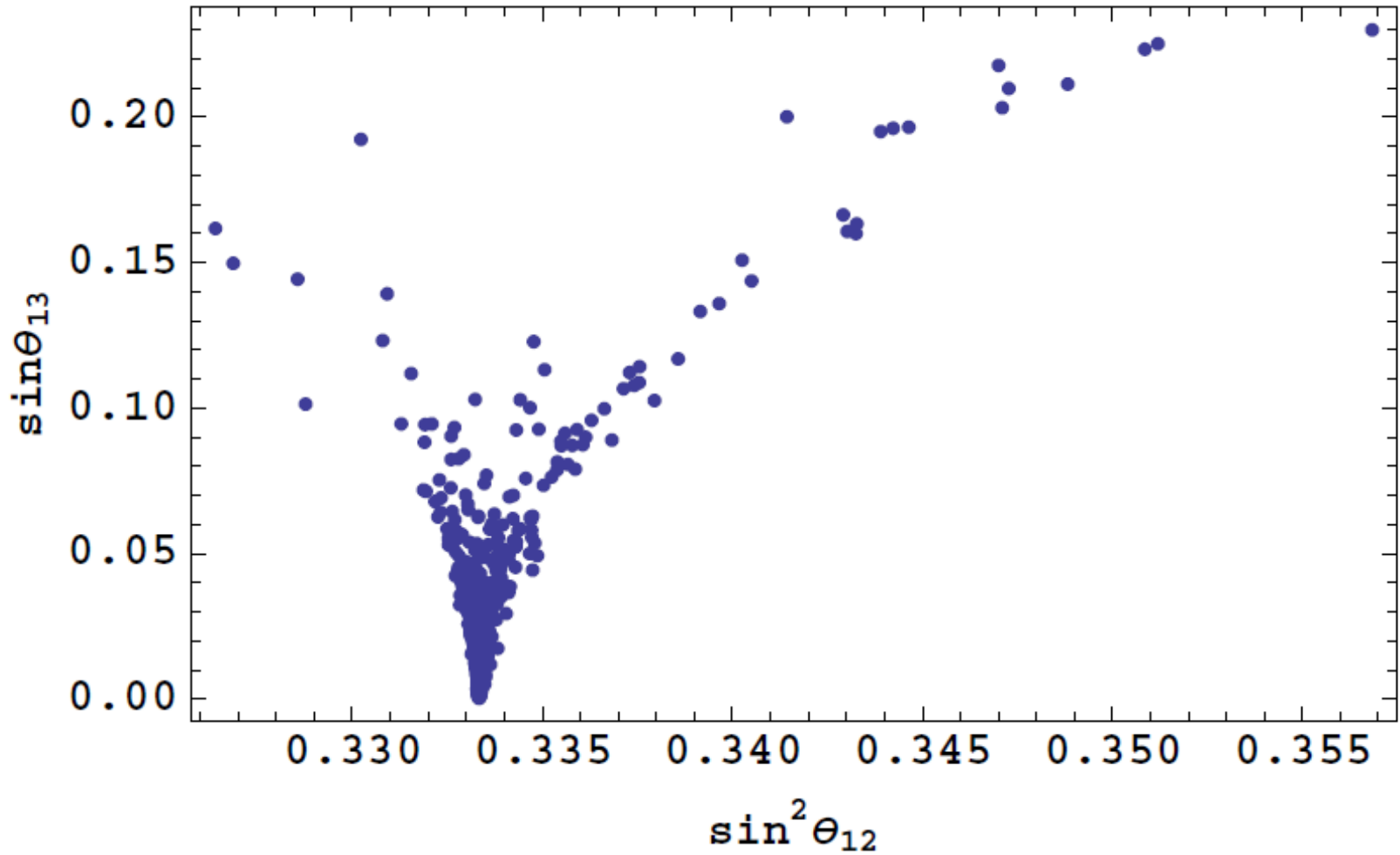
$$\tan\beta=3$$

**Putting observed masses and  $M=10^{12}$  GeV, we get**

$$\alpha_1 \sim 3.0 \times 10^{-2}, \quad \alpha_4 \sim 10^{-2},$$

$$\alpha_5 \sim 10^{-2}, \quad \alpha_9 \sim 5.1 \times 10^{-3}, \quad \alpha_{13} \sim 2.1 \times 10^{-2}.$$

***We can predict mixing angles.***



# The model predicts quark mixing angles

$S_4 \times Z_4$  with SUSY SU(5) GUT  $\Rightarrow$  Tri-bimaximal, Cabibbo angle

**2** and **1** for SU(5)  $10$  , **3** for SU(5)  $\bar{5}$

**Down quark sector is fixed  
through the charged lepton sector.**

**Top quark mass is given without coupling of flavons.**

# Down Quarks

$$M_d = v_d \begin{pmatrix} 0 & 0 & 0 \\ \bar{y}_1 \lambda^\ell \alpha_9 / \sqrt{2} & \bar{y}_1 \lambda^\ell \alpha_9 / \sqrt{6} & 0 \\ 0 & 0 & y_2 \alpha_{13} \end{pmatrix}$$
$$\bar{y}_1 v_d = y_1 v_{45}$$

$$M_d^\dagger M_d = v_d^2 \begin{pmatrix} \frac{1}{2} |\bar{y}_1 \lambda^\ell \alpha_9|^2 & \frac{1}{2\sqrt{3}} |\bar{y}_1 \lambda^\ell \alpha_9|^2 & 0 \\ \frac{1}{2\sqrt{3}} |\bar{y}_1 \lambda^\ell \alpha_9|^2 & \frac{1}{6} |\bar{y}_1 \lambda^\ell \alpha_9|^2 & 0 \\ 0 & 0 & |y_2|^2 \alpha_{13}^2 \end{pmatrix}$$

**Left-handed mixing is given as**

$$U_d^{(0)} = \begin{pmatrix} \cos 60^\circ & \sin 60^\circ & 0 \\ -\sin 60^\circ & \cos 60^\circ & 0 \\ 0 & 0 & 1 \end{pmatrix}$$



# Including next-to-leading order, we get down quark mass matrix

$$M_d^\dagger M_d \simeq$$

$$\begin{pmatrix} |\frac{\sqrt{3}m_s}{2} + \bar{\epsilon}_{12}|^2 + |\bar{\epsilon}_{11}|^2 + |\bar{\epsilon}_{13}|^2 & (\frac{\sqrt{3}}{2}m_s + \bar{\epsilon}_{12}^*)(\frac{1}{2}m_s + \bar{\epsilon}_{22}) + \bar{\epsilon}_{11}^*\bar{\epsilon}_{21} + \bar{\epsilon}_{13}^*\bar{\epsilon}_{23} & \bar{\epsilon}_{13}^*m_b \\ (\frac{\sqrt{3}}{2}m_s + \bar{\epsilon}_{12})(\frac{1}{2}m_s + \bar{\epsilon}_{22}^*) + \bar{\epsilon}_{11}\bar{\epsilon}_{21}^* + \bar{\epsilon}_{13}\bar{\epsilon}_{23}^* & |\frac{m_s}{2} + \bar{\epsilon}_{22}|^2 + |\bar{\epsilon}_{21}|^2 + |\bar{\epsilon}_{23}|^2 & \bar{\epsilon}_{23}^*m_b \\ \bar{\epsilon}_{13}m_b & \bar{\epsilon}_{23}m_b & m_b^2 \end{pmatrix}$$

$$U_d^{(0)\dagger} M_d^\dagger M_d U_d^{(0)} \simeq \begin{pmatrix} m_d^2 & \mathcal{O}(m_d m_s) & \frac{1}{2}(\bar{\epsilon}_{13}^* - \sqrt{3}\bar{\epsilon}_{23}^*)m_b \\ \mathcal{O}(m_d m_s) & m_s^2 & \frac{1}{2}(\sqrt{3}\bar{\epsilon}_{13}^* + \bar{\epsilon}_{23}^*)m_b \\ \frac{1}{2}(\bar{\epsilon}_{13} - \sqrt{3}\bar{\epsilon}_{23})m_b & \frac{1}{2}(\sqrt{3}\bar{\epsilon}_{13} + \bar{\epsilon}_{23})m_b & m_b^2 \end{pmatrix}$$

$$U_d^{(0)} = \begin{pmatrix} \cos 60^\circ & \sin 60^\circ & 0 \\ -\sin 60^\circ & \cos 60^\circ & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$$\theta_{12}^d = \mathcal{O}\left(\frac{m_d}{m_s}\right) = \mathcal{O}(0.05), \quad \theta_{13}^d = \mathcal{O}\left(\frac{m_d}{m_b}\right) = \mathcal{O}(0.005), \quad \theta_{23}^d = \mathcal{O}\left(\frac{m_d}{m_b}\right) = \mathcal{O}(0.005)$$

# Up Quark Sector

$$w_u = y_1^u [(u^c \chi_1 + c^c \chi_2) q_3 + t^c (q_1 \chi_1 + q_2 \chi_2)] h_u / \Lambda + y_2^u t^c q_3 h_u$$

$$\langle (\chi_1, \chi_2) \rangle = (u_1, u_2)$$

**Direct Yukawa coupling**

$$M_u = v_u \begin{pmatrix} 0 & 0 & y_1^u \alpha_1 \\ 0 & 0 & y_1^u \alpha_2 \\ y_1^u \alpha_1 & y_1^u \alpha_2 & y_2^u \end{pmatrix}$$

**We add the next-to-leading mass matrix**

$$\Delta M_u = v_u \times \begin{pmatrix} y_{\Delta_{a1}}^u (\alpha_1^2 + \alpha_2^2) + y_{\Delta_{a2}}^u (\alpha_1^2 - \alpha_2^2) + y_{\Delta_b}^u \alpha_{14}^2 & y_{\Delta_{a2}}^u \alpha_1 \alpha_2 & 0 \\ y_{\Delta_{a2}}^u \alpha_1 \alpha_2 & y_{\Delta_{a1}}^u (\alpha_1^2 + \alpha_2^2) - y_{\Delta_{a2}}^u (\alpha_1^2 - \alpha_2^2) + y_{\Delta_b}^u \alpha_{14}^2 & 0 \\ 0 & 0 & y_{\Delta_c}^u \alpha_9^2 \end{pmatrix}$$

# Up Quarks

We take alignment  $\alpha_1 = \alpha_2$  , we get

$$M_u = v_u \begin{pmatrix} 2y_{\Delta_{a1}}^u \alpha_1^2 + y_{\Delta_b}^u \alpha_{14}^2 & y_{\Delta_{a2}}^u \alpha_1^2 & y_1^u \alpha_1 \\ y_{\Delta_{a2}}^u \alpha_1^2 & 2y_{\Delta_{a1}}^u \alpha_1^2 + y_{\Delta_b}^u \alpha_{14}^2 & y_1^u \alpha_1 \\ y_1^u \alpha_1 & y_1^u \alpha_1 & y_2^u + y_{\Delta_c}^u \alpha_9^2 \end{pmatrix}$$

After rotating it by the orthogonal matrix,

$$U_u^{(0)} = \begin{pmatrix} \cos 45^\circ & \sin 45^\circ & 0 \\ -\sin 45^\circ & \cos 45^\circ & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

We obtain

$$\hat{M}_u = U_u^\dagger M_u U_u = v_u \begin{pmatrix} (2y_{\Delta_{a1}}^u - y_{\Delta_{a2}}^u) \alpha_1^2 + y_{\Delta_b}^u \alpha_{14}^2 & 0 & 0 \\ 0 & (2y_{\Delta_{a1}}^u + y_{\Delta_{a2}}^u) \alpha_1^2 + y_{\Delta_b}^u \alpha_{14}^2 & \sqrt{2} y_1^u \alpha_1 \\ 0 & \sqrt{2} y_1^u \alpha_1 & y_2^u + y_{\Delta_c}^u \alpha_9^2 \end{pmatrix}$$

# We obtain CKM matrix elements

$$V_u = \begin{pmatrix} 1 & 0 & 0 \\ 0 & r_t & r_c \\ 0 & -r_c & r_t \end{pmatrix}, \quad r_c = \sqrt{\frac{m_c}{m_c + m_t}}, \quad r_t = \sqrt{\frac{m_t}{m_c + m_t}},$$

$$U_u \simeq U_u^{(0)} P V_u = \begin{pmatrix} \cos 45^\circ & \sin 45^\circ & 0 \\ -\sin 45^\circ & \cos 45^\circ & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{-i\rho} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & r_t & r_c \\ 0 & -r_c & r_t \end{pmatrix},$$
$$U_d \simeq \begin{pmatrix} \cos 60^\circ & \sin 60^\circ & 0 \\ -\sin 60^\circ & \cos 60^\circ & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & & \theta_{12}^d & \theta_{13}^d \\ -\theta_{12}^d & -\theta_{13}^d \theta_{23}^d & 1 & \theta_{23}^d \\ -\theta_{13}^d + \theta_{12}^d \theta_{23}^d & -\theta_{23}^d - \theta_{12}^d \theta_{13}^d & & 1 \end{pmatrix}.$$

**In the leading order, we predict**

$$V_{us} \simeq \sin 15^\circ \simeq 0.26$$

$$V_{cb} \simeq \sqrt{m_c/m_t} \simeq 0.048$$

$$V_{ub} \simeq 0$$

**Including next-to-leading order corrections, we get**

$$V_{us}^0 \simeq \theta_{12}^d \cos 15^\circ + \sin 15^\circ,$$

$$V_{ub}^0 \simeq \theta_{13}^d \cos 15^\circ + \theta_{23}^d \sin 15^\circ,$$

$$V_{cb}^0 \simeq -r_t \theta_{13}^d e^{i\rho} \sin 15^\circ + r_t \theta_{23}^d e^{i\rho} \cos 15^\circ - r_c,$$

$$V_{td}^0 \simeq -r_c \sin 15^\circ e^{i\rho} - r_c (\theta_{12}^d + \theta_{13}^d \theta_{23}^d) e^{i\rho} \cos 15^\circ + r_t (-\theta_{13}^d + \theta_{12}^d \theta_{23}^d)$$

$$\theta_{12}^d = \mathcal{O}\left(\frac{m_d}{m_s}\right) = \mathcal{O}(0.05), \quad \theta_{13}^d = \mathcal{O}\left(\frac{m_d}{m_b}\right) = \mathcal{O}(0.005), \quad \theta_{23}^d = \mathcal{O}\left(\frac{m_d}{m_b}\right) = \mathcal{O}(0.005)$$

**The parameter set**

$$\rho = 123^\circ, \quad \theta_{12}^d = -0.0340, \quad \theta_{13}^d = 0.00626, \quad \theta_{23}^d = -0.00880$$

**reproduces observed values very well.**

**Values of parameters are consistent with our mass matrices.**

**CP violation can be discussed !**

**As seen in these examples,  
in order to reproduce the tri-bi maximal mixing, we need**

**Non-Abelian Discrete Symmetry  
(  $A_4$ ,  $S_4$ ,  $\Delta(27)$ ,  $\Delta(54)$ ... )**

**and**

**Symmetry Breaking  
(Vacuum Alignment of flavons).**

**Spontaneous Symmetry Breaking ? ( Scalar potential )  
Or Explicit Breaking  
through Boundary condition in extra-dim.**

# 3 Breaking of Flavor Symmetry

## (1) Spontaneous Symmetry Breaking of Flavons

### Realization of Vacuum Alignment for $S_4$ model Introduce driving fields with $R$ charge 2

We can generate the vacuum alignment through  $F$ -terms by coupling flavons fields, which carry the  $R$  charge  $+2$  under  $U(1)_R$  symmetry.

	$(\chi_1, \chi_2)$	$(\chi_3, \chi_4)$	$(\chi_5, \chi_6, \chi_7)$	$(\chi_8, \chi_9, \chi_{10})$	$(\chi_{11}, \chi_{12}, \chi_{13})$	$\chi_{14}$
$SU(5)$	1	1	1	1	1	1
$S_4$	<b>2</b>	<b>2</b>	<b>3'</b>	<b>3</b>	<b>3</b>	<b>1</b>
$Z_4$	$-i$	1	$-i$	$-1$	$i$	$i$
$U(1)_{FN}$	$-\ell$	$-n$	0	0	0	$-\ell$
$U(1)_R$	0	0	0	0	0	0

	$(\chi_{15}, \chi_{16}, \chi_{17})$	$\chi_1^0$	$\chi_2^0$	$\chi_3^0$	$(\chi_4^0, \chi_5^0)$
$SU(5)$	1	1	1	1	1
$S_4$	<b>3</b>	<b>1</b>	<b>1</b>	<b>1</b>	<b>2</b>
$Z_4$	$-1$	$-1$	$i$	$-1$	$-i$
$U(1)_{FN}$	$-z$	$2\ell + n$	0	$2\ell$	$z$
$U(1)_R$	0	2	2	2	2

$$\begin{aligned}
w' = & \kappa_1 (\chi_1, \chi_2) \otimes (\chi_1, \chi_2) \otimes (\chi_3, \chi_4) \otimes \chi_1^0 / \Lambda \\
& + \eta_1 (\chi_8, \chi_9, \chi_{10}) \otimes (\chi_{11}, \chi_{12}, \chi_{13}) \otimes \chi_2^0 \\
& + \eta_2 (\chi_1, \chi_2) \otimes (\chi_1, \chi_2) \otimes \chi_3^0 + \eta_3 \chi_{14} \otimes \chi_{14} \otimes \chi_3^0 \\
& + \eta_4 (\chi_5, \chi_6, \chi_7) \otimes (\chi_{15}, \chi_{16}, \chi_{17}) \otimes (\chi_4^0, \chi_5^0) ,
\end{aligned}$$

## **Scalar potential**

$$\begin{aligned}
V = & \left| \frac{\kappa_1}{\Lambda} [2\chi_1\chi_2\chi_3 + (\chi_1^2 - \chi_2^2)\chi_4] \right|^2 + |\eta_1 (\chi_8\chi_{11} + \chi_9\chi_{12} + \chi_{10}\chi_{13})|^2 \\
& + |\eta_2(\chi_1^2 + \chi_2^2) + \eta_3\chi_{14}^2|^2 + \left| \frac{1}{\sqrt{2}}\eta_4 (\chi_6\chi_{16} - \chi_7\chi_{17}) \right|^2 \\
& + \left| \frac{1}{\sqrt{6}}\eta_4 (-2\chi_5\chi_{15} + \chi_6\chi_{16} + \chi_7\chi_{17}) \right|^2 .
\end{aligned}$$

**We obtain Desired Vacuum Alignment**

$$\begin{aligned}
\chi_1 = \chi_2, \quad \chi_3 = 0, \quad \chi_5 = \chi_6 = \chi_7, \quad \chi_8 = \chi_{10} = \chi_{11} = \chi_{12} = 0, \\
\chi_{14}^2 = -\frac{2\eta_2}{\eta_3}\chi_1^2, \quad \chi_{15} = \chi_{16} = \chi_{17} .
\end{aligned}$$



# 3 Breaking of Flavor Symmetry

## (2) Symmetry Breaking at boundary conditions without flavons !

H. Ishimori, Y. Shimizu, M. Tanimoto and A.Watanabe,  
Neutrino masses and mixing from  $S_4$  flavor twisting,  
arXiv:1010.3805 [hep-ph].

## Flavor Twisting

N. Haba, A.Watanabe, K.Yoshioka, Phys. Rev. Lett.97, 041601 (2006)

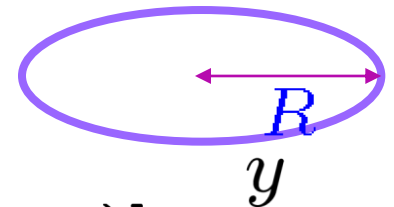
Compactification of 5th-Dim (  $S^1/Z_2$  )

Scherk and Schwarz,'79

# Compactification

Scherk-Schwarz compactification

translation:  $y \rightarrow y + 2\pi R$



identification of points:  $\mathcal{L}[\Psi(y)] = \mathcal{L}[\Psi(y + 2\pi R)]$

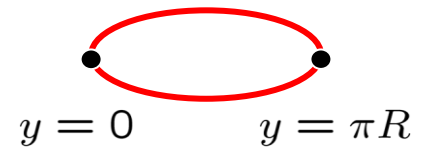
$$\Psi(y + 2\pi R) = T \Psi(y)$$

**a representation matrix of symmetry group**

[Scherk and Schwarz,'79]

# Orbifolding

reflection:  $y \rightarrow -y$



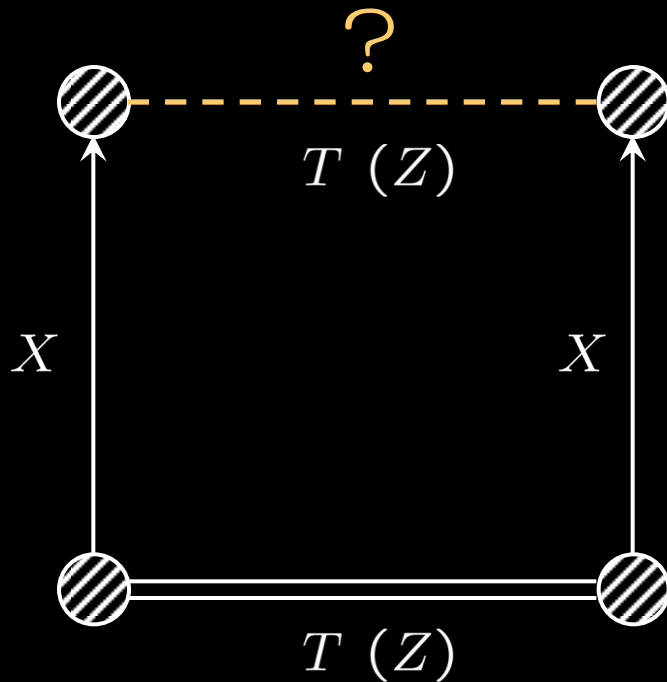
$$\Psi(-y) = Z \otimes \gamma_5 \Psi(y)$$

Boundary conditions are

$$\Psi(y + 2\pi R) = T\Psi(y)$$

$$\Psi(-y) = Z \otimes \gamma_5 \Psi(y)$$

# Symmetry Breaking



$$[T(Z), X] \neq 0$$

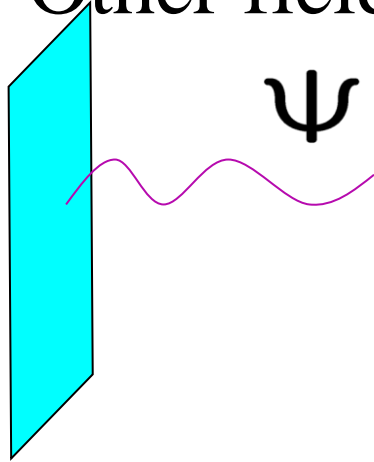
**Symmetry breaking**

**$X$  is a representation matrix of symmetry group.**

**a slide by K. Yoshioka**

# Neutrino flavor twisting

- 5-dim model (for simplicity)
- 5-dim Dirac fermion (gauge singlet)
- Other fields are confined on 4-dim



$$\Psi(x, y) \sim \sum_n a^{(n)}(x) e^{i\frac{n}{R}y}$$

[K.Dienes, E.Dudas, T.Gherghetta, '99]

# $S_4$ Flavor symmetry

24 elements

$$Q = \begin{pmatrix} -1 & 0 & 0 \\ 0 & 0 & -1 \\ 0 & 1 & 0 \end{pmatrix}, \quad P = \begin{pmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix}$$

$a_1 = Q^4,$	$a_2 = Q^2,$	$a_3 = PQ^2P^2,$	$a_4 = Q^2PQ^2P^2,$
$b_1 = P,$	$b_2 = Q^2P,$	$b_3 = QPQP^2,$	$b_4 = Q^2PQ^2,$
$c_1 = P^2,$	$c_2 = Q^2P^2,$	$c_3 = QPQ,$	$c_4 = Q^3PQ,$
$d_1 = PQPQ^2,$	$d_2 = PQP,$	$d_3 = Q^3,$	$d_4 = Q,$
$e_1 = Q^2PQ,$	$e_2 = PQ,$	$e_3 = Q^3P^2,$	$e_4 = QP^2,$
$f_1 = QPQ^2,$	$f_2 = PQP^2,$	$f_3 = P^2Q,$	$f_4 = QP.$

# Consistency conditions

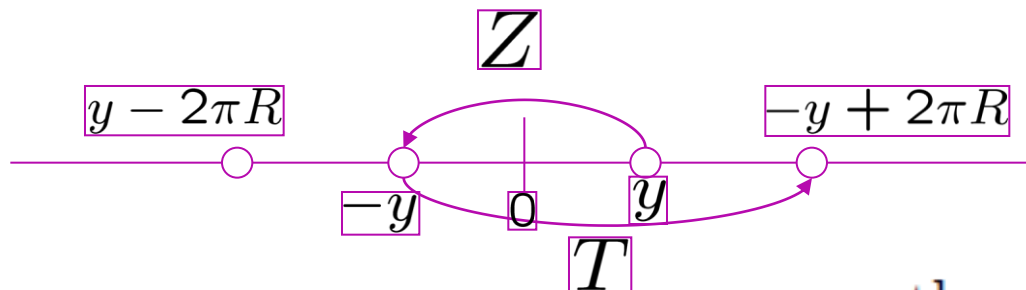
$$Z: y \rightarrow -y$$



$$Z^2 = 1$$

$$T: y \rightarrow y + 2\pi R \quad Z$$

$$TZ = ZT^{-1}$$



another parity  $Z' = TZ$

# Possible boundary conditions

$$Z^2 = 1 \text{ and } Z'^2 = 1$$

		$Z'$									
		$a_1$	$a_2$	$a_3$	$a_4$	$d_1$	$d_2$	$f_1$	$f_3$	$e_1$	$e_4$
$Z$	$a_1$										
	$a_2$							$\mathcal{C}_1$	$\mathcal{C}_2$	$\mathcal{C}_3$	$\mathcal{C}_4$
	$a_3$					$\mathcal{C}_5$	$\mathcal{C}_6$			$\mathcal{C}_7$	$\mathcal{C}_8$
	$a_4$					$\mathcal{C}_9$	$\mathcal{C}_{10}$	$\mathcal{C}_{11}$	$\mathcal{C}_{12}$		
	$d_1$			$\mathcal{B}_1$	$\mathcal{B}_2$			$\mathcal{A}_1$	$\mathcal{A}_2$	$\mathcal{A}_3$	$\mathcal{A}_4$
	$d_2$			$\mathcal{B}_3$	$\mathcal{B}_4$			$\mathcal{A}_5$	$\mathcal{A}_6$	$\mathcal{A}_7$	$\mathcal{A}_8$
	$f_1$		$\mathcal{B}_5$		$\mathcal{B}_6$	$\mathcal{A}_9$	$\mathcal{A}_{10}$			$\mathcal{A}_{11}$	$\mathcal{A}_{12}$
	$f_3$		$\mathcal{B}_7$		$\mathcal{B}_8$	$\mathcal{A}_{13}$	$\mathcal{A}_{14}$			$\mathcal{A}_{15}$	$\mathcal{A}_{16}$
	$e_1$		$\mathcal{B}_9$	$\mathcal{B}_{10}$		$\mathcal{A}_{17}$	$\mathcal{A}_{18}$	$\mathcal{A}_{19}$	$\mathcal{A}_{20}$		
	$e_4$		$\mathcal{B}_{11}$	$\mathcal{B}_{12}$		$\mathcal{A}_{21}$	$\mathcal{A}_{22}$	$\mathcal{A}_{23}$	$\mathcal{A}_{24}$		

Table 1: The possible boundary conditions in terms of the two parities  $Z$  and  $Z'$ . The symbols  $a_1, a_2, a_3, \dots, e_4$  stand for the group elements (see Appendix B). The calligraphic characters represent the boundary conditions with which the theory becomes viable for neutrino phenomenology. Out of 100 general possibilities, 48 patterns are useful.



# $S_4$ symmetry twisting

Taking  $Z=f_1$  ,  $Z'=d_1$

$$d_1 = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}$$

$$f_1 = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{pmatrix}$$

**now we can write down the mode expansion.**

# Set Up

SM fields are at  $y=\pi R$ .

$$\mathcal{L} = i\bar{\Psi}_j \Gamma^M \partial_M \Psi_j - \frac{1}{2} (\bar{\Psi}_i^c (M_{ij}) \Psi_j + \text{h.c.}) \\ - \frac{1}{\sqrt{\Lambda}} \left( \bar{\Psi}_i (Y_{\nu ij}) L_j H + \bar{\Psi}_i^c (Y_{\nu ij}^c) L_j H + \text{h.c.} \right) \delta(y - \pi R)$$

**Bulk fermions**

$$\Psi_i(x, y) = \left( \begin{array}{c} \sum_{n=0}^{\infty} \chi_{R_{ij}}^n(y) \psi_{R_j}^n(x) \\ \sum_{n=0}^{\infty} \chi_{L_{ij}}^n(y) \psi_{L_j}^n(x) \end{array} \right)$$
$$\int_0^{\pi R} dy \left[ \chi_{R,L}^m \dagger \chi_{R,L}^n \right]_{ij} = \delta_{mn} \delta_{ij}$$

We take Symmetry invariant mass parameters  
 **$S_4$  triplet for Bulk fermion and  $L_i$**

$$M_{ij} = M \delta_{ij}, \quad m_{ij} = m \delta_{ij}, \quad m_{ij}^c = m^c \delta_{ij}.$$

$$m_{ij} = Y_{\nu ij} v \quad \text{and} \quad m_{ij}^c = Y_{\nu ij}^c v$$

## 4-Dim Effective Lagrangian

$$\mathcal{L}_4 = iN^\dagger \sigma^\mu \partial_\mu N - \frac{1}{2} (N^T \epsilon \otimes M_N N + \text{h.c.})$$

$$M_N = \left( \begin{array}{c|c} 0 & M_D^T \\ \hline M_D & M_H \end{array} \right) = \left( \begin{array}{c|cccc} & M_0^T & M_0^{cT} & M_1^T & M_1^{cT} & \cdots \\ \hline M_0 & -M_{R00}^* & M_{K00} & & & \cdots \\ M_0^c & M_{K00}^T & M_{L00} & & & \cdots \\ M_1 & & & -M_{R11}^* & M_{K11} & \cdots \\ M_1^c & & & M_{K11}^T & M_{L11} & \cdots \\ \vdots & \vdots & \vdots & \vdots & \vdots & \ddots \end{array} \right), \quad N = \begin{pmatrix} \nu_L \\ \epsilon \psi_R^{0*} \\ \psi_L^0 \\ \epsilon \psi_R^{1*} \\ \psi_L^1 \\ \vdots \end{pmatrix}$$

$$M_{K_{mn}} = \int_0^{\pi R} dy \chi_R^{m\dagger} (-\partial_y) \chi_L^n,$$

$$M_{R_{mn}} = \int_0^{\pi R} dy \chi_R^{mT} M \chi_R^n, \quad M_{L_{mn}} = \int_0^{\pi R} dy \chi_L^{mT} M \chi_L^n,$$

$$M_n = \frac{1}{\sqrt{\Lambda}} \chi_R^{n\dagger}(\pi R) m, \quad M_n^c = \frac{1}{\sqrt{\Lambda}} \chi_L^{nT}(\pi R) m^c.$$

## KK expansion satisfies the boundary condition

$$\chi_R^0(y) = \frac{1}{\sqrt{\pi R}} V \begin{pmatrix} \frac{1}{\sqrt{2}} e^{i\frac{y}{3R}} & 0 & 0 \\ \frac{1}{\sqrt{2}} e^{-i\frac{y}{3R}} & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}, \quad \chi_L^0(y) = \frac{1}{\sqrt{\pi R}} V \begin{pmatrix} \frac{1}{\sqrt{2}} e^{i\frac{y}{3R}} & 0 & 0 \\ \frac{-1}{\sqrt{2}} e^{-i\frac{y}{3R}} & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

$$\chi_R^n(y) = \sqrt{\frac{2}{\pi R}} V \begin{pmatrix} \frac{1}{2} e^{i(n+\frac{1}{3})\frac{y}{R}} & \frac{1}{2} e^{-i(n-\frac{1}{3})\frac{y}{R}} & 0 \\ \frac{1}{2} e^{-i(n+\frac{1}{3})\frac{y}{R}} & \frac{1}{2} e^{i(n-\frac{1}{3})\frac{y}{R}} & 0 \\ 0 & 0 & \cos\left(\frac{n}{R}y\right) \end{pmatrix} \quad (n \geq 1),$$

$$\chi_L^n(y) = \sqrt{\frac{2}{\pi R}} V \begin{pmatrix} \frac{1}{2} e^{i(n+\frac{1}{3})\frac{y}{R}} & \frac{-1}{2} e^{-i(n-\frac{1}{3})\frac{y}{R}} & 0 \\ \frac{-1}{2} e^{-i(n+\frac{1}{3})\frac{y}{R}} & \frac{1}{2} e^{i(n-\frac{1}{3})\frac{y}{R}} & 0 \\ 0 & 0 & \sin\left(\frac{n}{R}y\right) \end{pmatrix} \quad (n \geq 1),$$

where  $V$  is the unitary matrix

$$V = \frac{1}{\sqrt{3}} \begin{pmatrix} \omega & \omega^2 & 1 \\ 1 & 1 & 1 \\ \omega^2 & \omega & 1 \end{pmatrix}$$

**Taking  $Z=f_1$  ,  $Z'=d_1$   $S_4$  is broken !**

**We can obtain desired neutrino mass matrix  
by explicit  $S_4$  breaking **without flavon**.**

$$M_N = \left( \begin{array}{c|c} 0 & M_D^T \\ \hline M_D & M_H \end{array} \right) = \left( \begin{array}{c|ccc} & M_0^T & M_0^{cT} & M_1^T & M_1^{cT} & \dots \\ \hline M_0 & -M_{R00}^* & M_{K00} & & & \dots \\ M_0^c & M_{K00}^T & M_{L00} & & & \dots \\ M_1 & & & -M_{R11}^* & M_{K11} & \dots \\ M_1^c & & & M_{K11}^T & M_{L11} & \dots \\ \vdots & \vdots & \vdots & \vdots & \vdots & \ddots \end{array} \right)$$

$$M_\nu = \frac{1}{\Lambda R} \left[ \frac{s|M|R}{c+1/2} \frac{m^2}{M^*} \begin{pmatrix} \frac{4}{6} & \frac{-2}{6} & \frac{-2}{6} \\ \frac{-2}{6} & \frac{6}{6} & \frac{6}{6} \\ \frac{-2}{6} & \frac{6}{6} & \frac{6}{6} \end{pmatrix} + \frac{|M|R}{\tanh(\pi|M|R)} \frac{m^2}{M^*} \begin{pmatrix} \frac{1}{3} & \frac{1}{3} & \frac{1}{3} \\ \frac{3}{3} & \frac{3}{3} & \frac{3}{3} \\ \frac{3}{3} & \frac{3}{3} & \frac{3}{3} \end{pmatrix} \right. \\ \left. - \frac{s|M|R}{c+1/2} \frac{(m^c)^2}{M} \begin{pmatrix} & & \\ \frac{1}{2} & \frac{-1}{2} & \\ \frac{-1}{2} & \frac{2}{2} & \end{pmatrix} - \frac{|M|R}{c+1/2} \frac{mm^c}{|M|} \begin{pmatrix} & \frac{1}{2} & \frac{-1}{2} \\ \frac{1}{2} & \frac{-1}{2} & \\ \frac{-1}{2} & & \frac{1}{2} \end{pmatrix} \right]$$

$$c \equiv \cosh(2\pi|M|R) \text{ and } s \equiv \sinh(2\pi|M|R)$$

$$M_\nu = \frac{-|M|}{\Lambda} V_{\text{tri-bi}} \begin{pmatrix} \frac{-2s}{2c+1} \frac{m^2}{M^*} & 0 & \frac{\sqrt{3}}{2c+1} \frac{mm^c}{|M|} \\ 0 & \frac{-1}{\tanh(\pi|M|R)} \frac{m^2}{M^*} & 0 \\ \frac{\sqrt{3}}{2c+1} \frac{mm^c}{|M|} & 0 & \frac{2s}{2c+1} \frac{(m^c)^2}{M} \end{pmatrix} V_{\text{tri-bi}}^T$$

$$m_1 = \frac{|m|^2}{\Lambda} \frac{1}{2c+1} \left| s(1-r^2) + \sqrt{s^2(1+r^2)^2 + 3r^2} \right|,$$

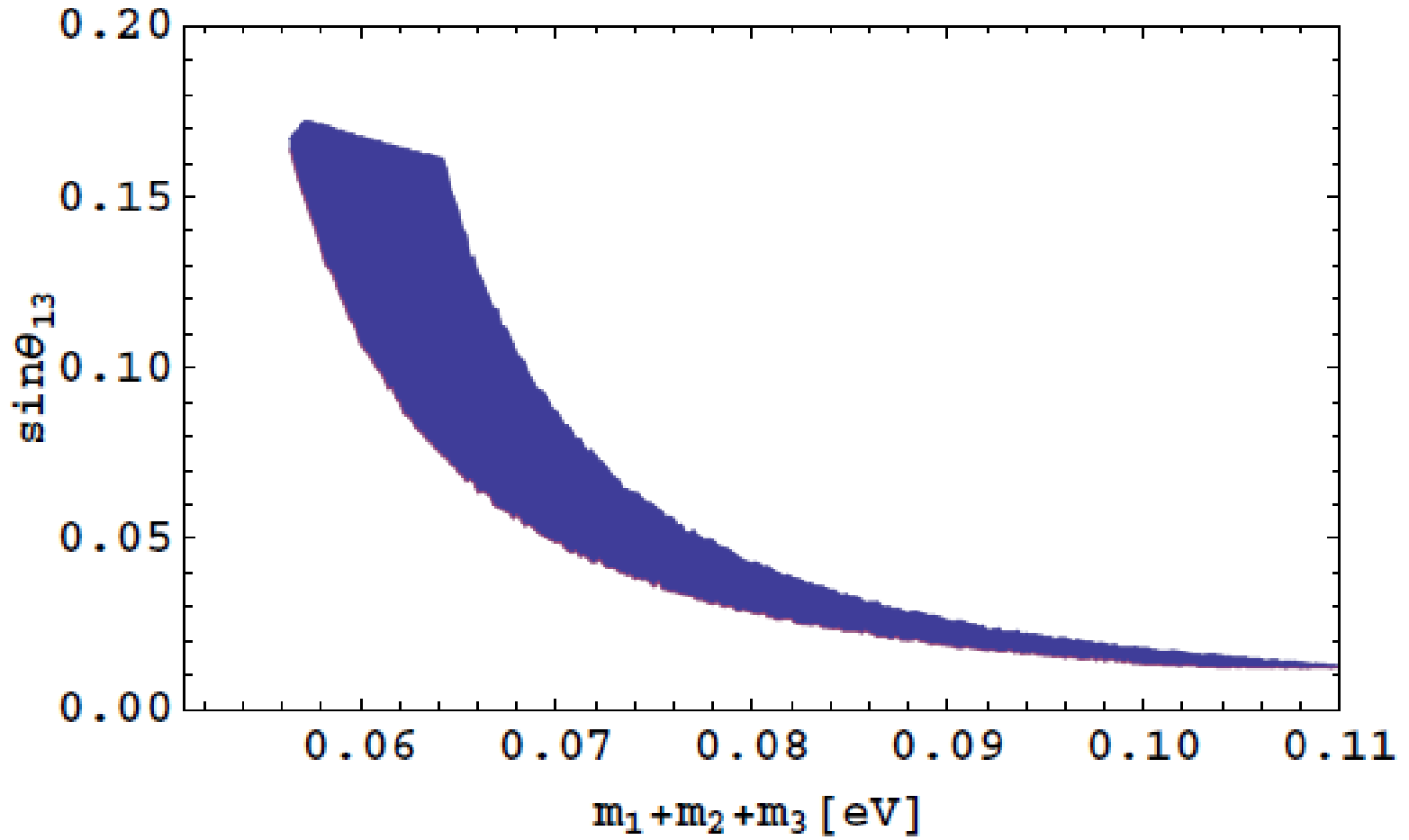
$$m_2 = \frac{|m|^2}{\Lambda} \frac{1}{2c+1} \left[ \frac{2c+1}{\tanh(\pi|M|R)} \right],$$

$$m_3 = \frac{|m|^2}{\Lambda} \frac{1}{2c+1} \left| s(1-r^2) - \sqrt{s^2(1+r^2)^2 + 3r^2} \right|,$$

$$U_{e2} = \frac{1}{\sqrt{3}} e^{i\rho}, \quad U_{e3} = \frac{2i}{\sqrt{6}} \sin \theta e^{i\rho}, \quad U_{\mu 3} = -i \left( \frac{1}{\sqrt{2}} \cos \theta e^{i\sigma} + \frac{1}{\sqrt{6}} \sin \theta e^{i\rho} \right)$$

**If  $m^c = 0$  (inverted), the tri-bimaximal mixing is realized.**  
**If  $m^c \gg m$  (normal), large  $\theta_{13}$  is predicted.**

# normal mass hierarchy



# How to get the diagonal charged-lepton mass matrix

	$e_R$	$(\mu_R, \tau_R)$	$(L_e, L_\mu, L_\tau)$	$H$	$(\phi_1, \phi_2, \phi_3)$
$S_4$	<u>1</u>	<u>2</u>	<u>3</u>	<u>1</u>	<u>3</u>

$$M_\ell = vY_s \begin{pmatrix} \alpha_1 & \alpha_2 & \alpha_3 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} + vY_d \begin{pmatrix} 0 & 0 & 0 \\ \alpha_1 & \omega^2\alpha_2 & \omega\alpha_3 \\ \alpha_1 & \omega\alpha_2 & \omega^2\alpha_3 \end{pmatrix} ; \quad \alpha_i \equiv \langle \phi_i \rangle / \Lambda$$

$$M_\ell^\dagger M_\ell = v^2 \begin{pmatrix} (|Y_s|^2 + 2|Y_d|^2) \alpha_1^2 & (|Y_s|^2 - |Y_d|^2) \alpha_1\alpha_2 & (|Y_s|^2 - |Y_d|^2) \alpha_1\alpha_3 \\ (|Y_s|^2 - |Y_d|^2) \alpha_1\alpha_2 & (|Y_s|^2 + 2|Y_d|^2) \alpha_2^2 & (|Y_s|^2 - |Y_d|^2) \alpha_2\alpha_3 \\ (|Y_s|^2 - |Y_d|^2) \alpha_1\alpha_3 & (|Y_s|^2 - |Y_d|^2) \alpha_2\alpha_3 & (|Y_s|^2 + 2|Y_d|^2) \alpha_3^2 \end{pmatrix}$$

By assuming that  $\alpha_1 v \sim m_e$ ,  $\alpha_2 v \sim m_\mu$ ,  $\alpha_3 v \sim m_\tau$

**we obtain small mixing for left-handed direction.**



## 4. Related Phenomena of Flavor Symmetry

*Flavor symmetry constrains not only quark/lepton mass matrices, but also mass matrices of their superpartner, i.e. squark/slepton.*

*Specific patterns of squark/slepton mass matrices could be tested in future experiments.*

**Let us discuss lepton FCNC in our  $S_4$  model with flavon.**

**Consider Soft SUSY Breaking Term in Supergravity.**

we assume chiral superfields  $\Phi_k$  to cause SUSY breaking

	$(T_1, T_2)$	$T_3$	$(F_1, F_2, F_3)$	$(N_e^c, N_\mu^c)$	$N_\tau^c$	$H_5$	$H_{\bar{5}}$	$H_{45}$	$\Theta$
$SU(5)$	10	10	$\bar{5}$	1	1	5	$\bar{5}$	45	1
$S_4$	<b>2</b>	<b>1</b>	<b>3</b>	<b>2</b>	<b>1'</b>	<b>1</b>	<b>1</b>	<b>1</b>	<b>1</b>
$Z_4$	$-i$	$-1$	$i$	1	1	1	1	$-1$	1
$U(1)_{FN}$	$\ell$	0	0	$m$	0	0	0	0	$-1$

	$(\chi_1, \chi_2)$	$(\chi_3, \chi_4)$	$(\chi_5, \chi_6, \chi_7)$	$(\chi_8, \chi_9, \chi_{10})$	$(\chi_{11}, \chi_{12}, \chi_{13})$	$\chi_{14}$
$SU(5)$	1	1	1	1	1	1
$S_4$	<b>2</b>	<b>2</b>	<b>3'</b>	<b>3</b>	<b>3</b>	<b>1</b>
$Z_4$	$-i$	1	$-i$	$-1$	$i$	$i$
$U(1)_{FN}$	$-\ell$	$-n$	0	0	0	$-\ell$

**Second order** Kähler potential of left-handed and right-handed leptons:

$$K = Z^{(L)}(\Phi) \sum_{i=e,\mu,\tau} |L_i|^2 + Z_{(1)}^{(R)}(\Phi) \sum_{i=e,\mu} |e_i|^2 + Z_{(2)}^{(R)}(\Phi) |e_\tau|^2$$

**Slepton mass matrices are derived**

$$(m_{\tilde{L}}^2)_{ij} = \begin{pmatrix} m_L^2 & 0 & 0 \\ 0 & m_L^2 & 0 \\ 0 & 0 & m_L^2 \end{pmatrix}, \quad (m_{\tilde{R}}^2)_{ij} = \begin{pmatrix} m_{R(1)}^2 & 0 & 0 \\ 0 & m_{R(1)}^2 & 0 \\ 0 & 0 & m_{R(2)}^2 \end{pmatrix}$$

**3** **2 + 1**

**For the left-handed sector, higher dimensional terms are given as**

$$\begin{aligned}
\Delta K_L = & \sum_{i=1,3} Z_{\Delta a_i}^{(L)}(\Phi)(L_e, L_\mu, L_\tau) \otimes (L_e^c, L_\mu^c, L_\tau^c) \otimes (\chi_i, \chi_{i+1}) \otimes (\chi_i^c, \chi_{i+1}^c)/\Lambda^2 \\
& + \sum_{i=5,8,11} Z_{\Delta b_i}^{(L)}(\Phi)(L_e, L_\mu, L_\tau) \otimes (L_e^c, L_\mu^c, L_\tau^c) \otimes (\chi_i, \chi_{i+1}, \chi_{i+2}) \otimes (\chi_i^c, \chi_{i+1}^c, \chi_{i+2}^c)/\Lambda^2 \\
& + Z_{\Delta c}^{(L)}(\Phi)(L_e, L_\mu, L_\tau) \otimes (L_e^c, L_\mu^c, L_\tau^c) \otimes \chi_{14} \otimes \chi_{14}^c/\Lambda^2 \\
& + Z_{\Delta d}^{(L)}(\Phi)(L_e, L_\mu, L_\tau) \otimes (L_e^c, L_\mu^c, L_\tau^c) \otimes \Theta \otimes \Theta^c/\bar{\Lambda}^2.
\end{aligned}$$

**Left-handed Slepton mass matrix is**

$$(m_{\tilde{L}}^2)_{ij} = \begin{pmatrix} m_L^2 + \tilde{\alpha}_{L1}^2 m_{3/2}^2 & k_L \alpha_5^2 m_{3/2}^2 & k_L \alpha_5^2 m_{3/2}^2 \\ k_L \alpha_5^2 m_{3/2}^2 & m_L^2 + \tilde{\alpha}_{L2}^2 m_{3/2}^2 & k_L \alpha_5^2 m_{3/2}^2 \\ k_L \alpha_5^2 m_{3/2}^2 & k_L \alpha_5^2 m_{3/2}^2 & m_L^2 + \tilde{\alpha}_{L3}^2 m_{3/2}^2 \end{pmatrix}$$

$\tilde{\alpha}$  is a linear combination of  $\alpha_i$ 's.

# Right-handed Slepton mass matrix is

$$\begin{aligned}
 \Delta K_R = & \sum_{i=1,3} Z_{\Delta_{a_i}}^{(R)}(\Phi)(R_e, R_\mu) \otimes (R_e^c, R_\mu^c) \otimes (\chi_i, \chi_{i+1}) \otimes (\chi_i^c, \chi_{i+1}^c)/\Lambda^2 \\
 & + \sum_{i=5,8,11} Z_{\Delta_{b_i}}^{(R)}(\Phi)(R_e, R_\mu) \otimes (R_e^c, R_\mu^c) \otimes (\chi_i, \chi_{i+1}, \chi_{i+2}) \otimes (\chi_i^c, \chi_{i+1}^c, \chi_{i+2}^c)/\Lambda^2 \\
 & + Z_{\Delta_c}^{(R)}(\Phi)(R_e, R_\mu) \otimes (R_e^c, R_\mu^c) \otimes \chi_{14} \otimes \chi_{14}^c/\Lambda^2 \\
 & + Z_{\Delta_d}^{(R)}(\Phi)(R_e, R_\mu) \otimes R_\tau \otimes (\chi_1, \chi_2)/\Lambda^2 + Z_{\Delta_e}^{(R)}(\Phi)(R_e^c, R_\mu^c) \otimes R_\tau \otimes (\chi_1^c, \chi_2^c)/\Lambda^2 \\
 & + \sum_{i=1,3} Z_{\Delta_{f_i}}^{(R)}(\Phi)R_\tau \otimes R_\tau^c \otimes (\chi_i, \chi_{i+1}) \otimes (\chi_i^c, \chi_{i+1}^c)/\Lambda^2 \\
 & + \sum_{i=5,8,11} Z_{\Delta_{g_i}}^{(R)}(\Phi)R_\tau \otimes R_\tau^c \otimes (\chi_i, \chi_{i+1}, \chi_{i+2}) \otimes (\chi_i^c, \chi_{i+1}^c, \chi_{i+2}^c)/\Lambda^2 \\
 & + Z_{\Delta_h}^{(R)}(\Phi)R_\tau \otimes R_\tau^c \otimes \chi_{14} \otimes \chi_{14}^c/\Lambda^2 \\
 & + Z_{\Delta_i}^{(R)}(\Phi)(R_e, R_\mu) \otimes (R_e^c, R_\mu^c) \otimes \Theta \otimes \Theta^c/\bar{\Lambda}^2 \\
 & + Z_{\Delta_j}^{(R)}(\Phi)R_\tau \otimes R_\tau^c \otimes \Theta \otimes \Theta^c/\bar{\Lambda}^2.
 \end{aligned}$$

$$(m_{\tilde{R}}^2)_{ij} = \begin{pmatrix} m_{R(1)}^2 + \tilde{\alpha}_{R11}^2 m_{3/2}^2 & \tilde{\alpha}_{R12}^2 m_{3/2}^2 & k_R \alpha_1 m_{3/2}^2 \\ \tilde{\alpha}_{R12}^2 m_{3/2}^2 & m_{R(1)}^2 + \tilde{\alpha}_{R22}^2 m_{3/2}^2 & k_R \alpha_1 m_{3/2}^2 \\ k_R \alpha_1 m_{3/2}^2 & k_R \alpha_1 m_{3/2}^2 & m_{R(2)}^2 + \tilde{\alpha}_{R33}^2 m_{3/2}^2 \end{pmatrix}$$

**Move to Super-CKM basis** (Diagonal Basis of Charged Lepton)  
**in order to estimate magnitudes of FCNC.**

$$(m_{L(R)}^2)^{SCKM} \sim \theta_{L(R)12}^l (m_{L(R)11}^2 - m_{L(R)22}^2) + \underbrace{m_{L(R)12}^2}_{\text{Dominant term}}$$

**where**  $\theta_{L12}^l \simeq \mathcal{O}\left(\frac{m_e}{m_\mu}\right)$ ,  $\theta_{R12}^l \simeq \sin 60^\circ$

## Mass Insertion Parameters

$$(\delta_{LL(RR)}^l)_{ij} \equiv \frac{(m_{L(R)}^2)^{SCKM}_{ij}}{m_{SUSY}^2}$$

Our prediction is  $(\delta_{LL(RR)}^l)_{12} = \mathcal{O}(\tilde{\alpha}^2) = \mathcal{O}(10^{-4})$ .

## Experimental Constraint from $\mu \rightarrow e\gamma$

$$(\delta_{LL(RR)}^l)_{12} \leq \mathcal{O}(10^{-3}) \text{ when } m_{SUSY} = \mathcal{O}(100\text{GeV})$$

**F. Gabbiani, E. Gabrielli, A. Masiero and L. Silvestrini, Nucl. Phys. B477(1996) 321**

**Numerical analyses are required.**

# A terms are obtained as

$$(m_{LR}^2)_{ij}^{SCKM} = U_E^\dagger (m_{LR}^2)_{ij} V_E \simeq m_{3/2} \begin{pmatrix} \mathcal{O}(\tilde{\alpha}^2 v_d) & \mathcal{O}(\tilde{\alpha}^2 v_d) & \mathcal{O}(\tilde{\alpha}^2 v_d) \\ \mathcal{O}(\tilde{\alpha}^2 v_d) & \mathcal{O}(m_\mu) & \mathcal{O}(\tilde{\alpha}^2 v_d) \\ \mathcal{O}(\tilde{\alpha}^2 v_d) & \mathcal{O}(\tilde{\alpha}^2 v_d) & \mathcal{O}(m_\tau) \end{pmatrix}$$
$$\simeq m_{3/2} \begin{pmatrix} \mathcal{O}(m_e) & \mathcal{O}(m_e) & \mathcal{O}(m_e) \\ \mathcal{O}(m_e) & \mathcal{O}(m_\mu) & \mathcal{O}(m_e) \\ \mathcal{O}(m_e) & \mathcal{O}(m_e) & \mathcal{O}(m_\tau) \end{pmatrix}$$

$$(\delta_{LR}^l)_{12} = \mathcal{O}\left(\frac{m_e}{m_{SUSY}}\right) \simeq \mathcal{O}(5 \times 10^{-6}) \quad \text{if } m_{SUSY} = 100\text{GeV}$$

**Dangerous !**

**Experimental Constraint**

$$(\delta_{LR}^l)_{12} \leq \mathcal{O}(10^{-6}) \quad \text{if } m_{SUSY} = \mathcal{O}(100\text{GeV})$$

**We need numerical analyses of  $\mu \rightarrow e \gamma$  .**

# $\mu \rightarrow e\gamma$ Decay

$$\frac{\text{BR}(\ell_i \rightarrow \ell_j \gamma)}{\text{BR}(\ell_i \rightarrow \ell_j \nu_i \bar{\nu}_j)} = \frac{48\pi^3 \alpha}{G_F^2} (|A_L^{ij}|^2 + |A_R^{ij}|^2)$$

$$A_L^{ij} \simeq \frac{\alpha_2}{4\pi} \frac{(\delta_\ell^{LL})_{ij}}{m_{\tilde{\ell}}^2} t_\beta \left[ \frac{\mu M_2}{(M_2^2 - \mu^2)} (f_{2n}(x_2, x_\mu) + f_{2c}(x_2, x_\mu)) \right. \\ \left. + \tan^2 \theta_W \mu M_1 \left( \frac{f_{3n}(x_1)}{m_{\tilde{\ell}}^2} + \frac{f_{2n}(x_1, x_\mu)}{(\mu^2 - M_1^2)} \right) \right] \\ + \frac{\alpha_1}{4\pi} \frac{(\delta_\ell^{RL})_{ij}}{m_{\tilde{\ell}}^2} \left( \frac{M_1}{m_{\ell_i}} \right) 2 f_{2n}(x_1),$$

$$A_R^{ij} \simeq \frac{\alpha_1}{4\pi} \left[ \frac{(\delta_e^{RR})_{ij}}{m_{\tilde{\ell}}^2} \mu M_1 t_\beta \left( \frac{f_{3n}(x_1)}{m_{\tilde{\ell}}^2} - \frac{2 f_{2n}(x_1, x_\mu)}{(\mu^2 - M_1^2)} \right) + 2 \frac{(\delta_e^{LR})_{ij}}{m_{\tilde{\ell}}^2} \left( \frac{M_1}{m_{\ell_i}} \right) f_{2n}(x_1) \right]$$

# EDM of Electron

J.Hisano, M. Nagai, P. Paradisi, Phys.Rev.D80:095014,2009.

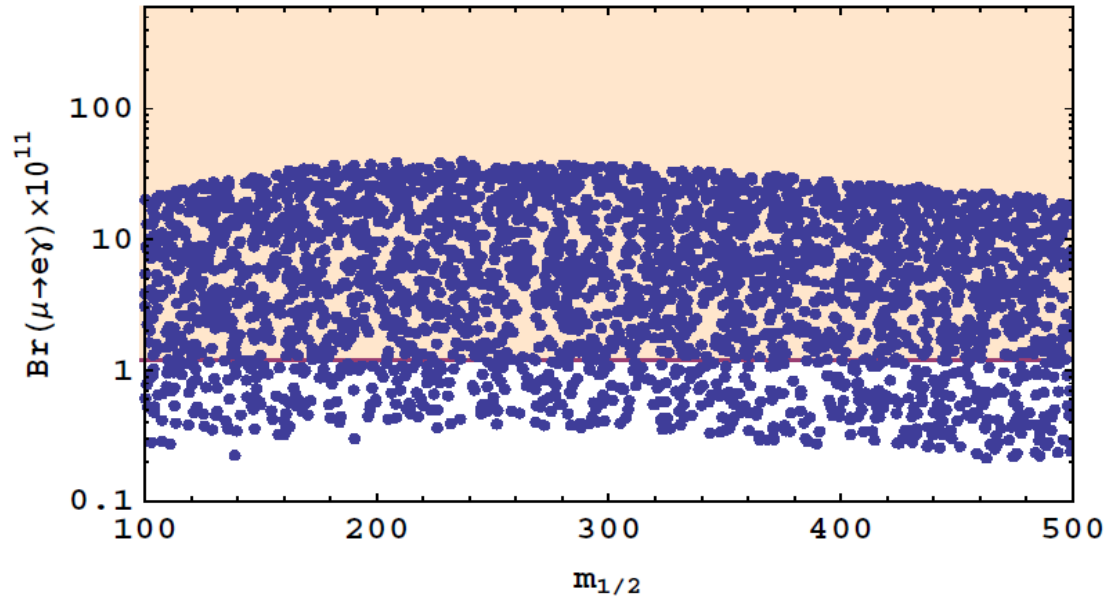
$$\frac{d_e}{e} = -\frac{\alpha_1 M_1}{4\pi m_{\tilde{\ell}}^2} \left\{ \text{Im}[(\delta_{\ell}^{LR})_{1k}(\delta_e^{RR})_{k1} + (\delta_{\ell}^{LL})_{1k}(\delta_{\ell}^{LR})_{k1}] f_{3n}(x_1) + \text{Im}[(\delta_{\ell}^{LL})_{1k}(\delta_{\ell}^{LR})_{kl}(\delta_e^{RR})_{l1} \right. \\ \left. + (\delta_{\ell}^{LR})_{1k}(\delta_e^{RR})_{kl}(\delta_e^{RR})_{l1} + (\delta_{\ell}^{LL})_{1k}(\delta_{\ell}^{LL})_{kl}(\delta_{\ell}^{LR})_{l1}] f_{4n}(x_1) \right\}$$

**Taking Dominant Terms, we get**

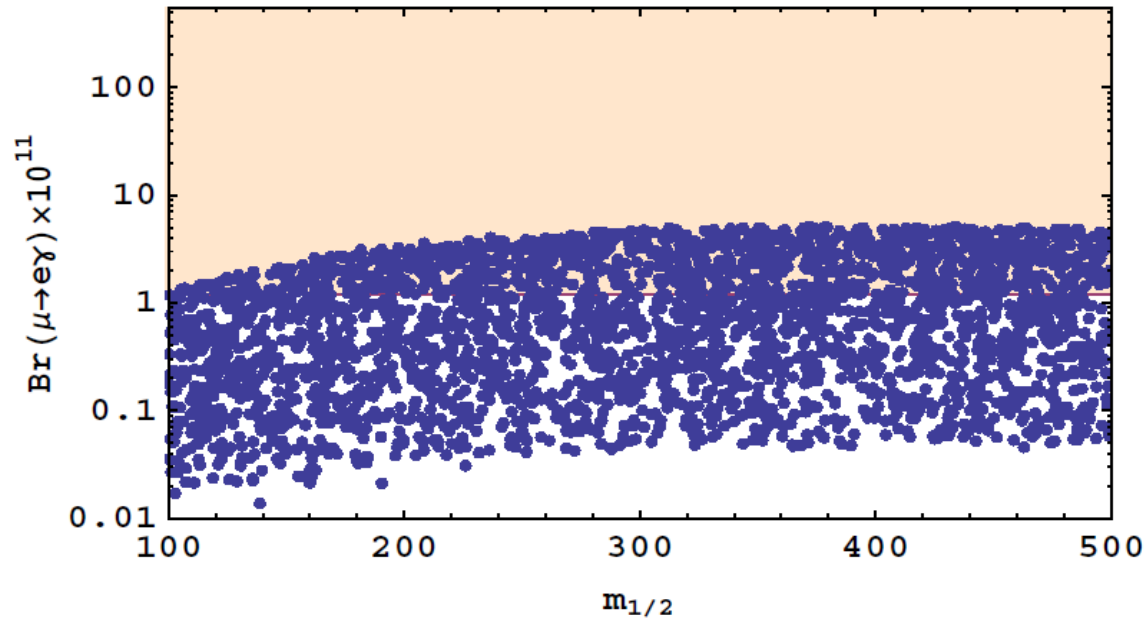
$$\frac{d_e}{e} \approx -\frac{\alpha_1 M_1}{4\pi m_{\tilde{\ell}}^2} \left\{ \mathcal{O}\left(\frac{m_e}{m_{\tilde{\ell}}}\alpha_1\right) f_{3n}(x_1) + \mathcal{O}\left(\frac{m_{\tau}}{m_{\tilde{\ell}}}\left(1 + \frac{\mu \tan \beta}{m_{\tilde{\ell}}}\right)\alpha_1 \tilde{\alpha}^2\right) f_{4n}(x_1) \right\}$$



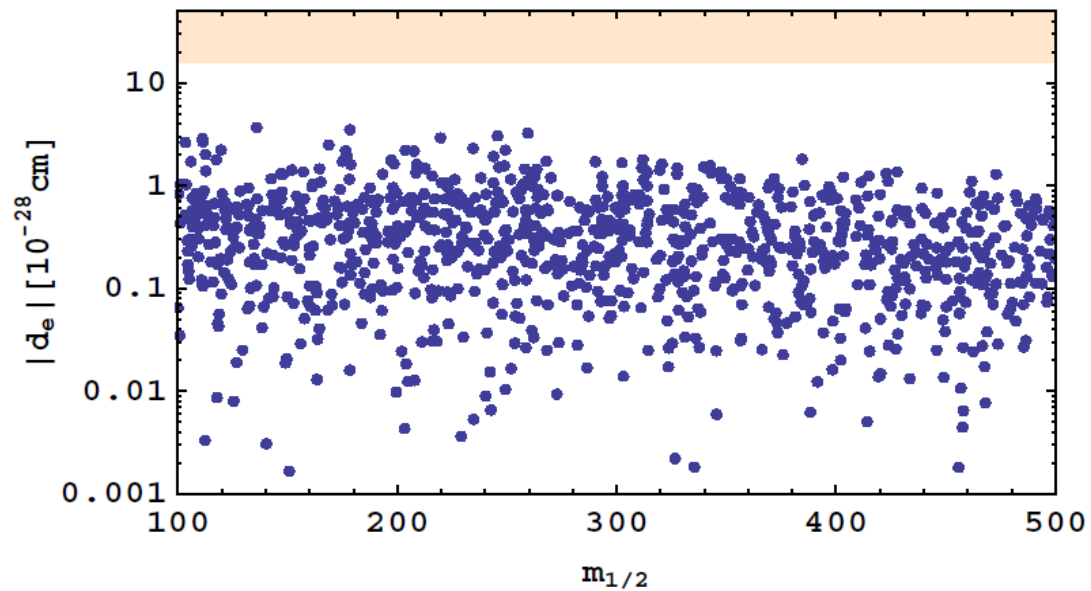
$\text{Tan}\beta=5, m_{\text{SUSY}}=300\text{GeV}$



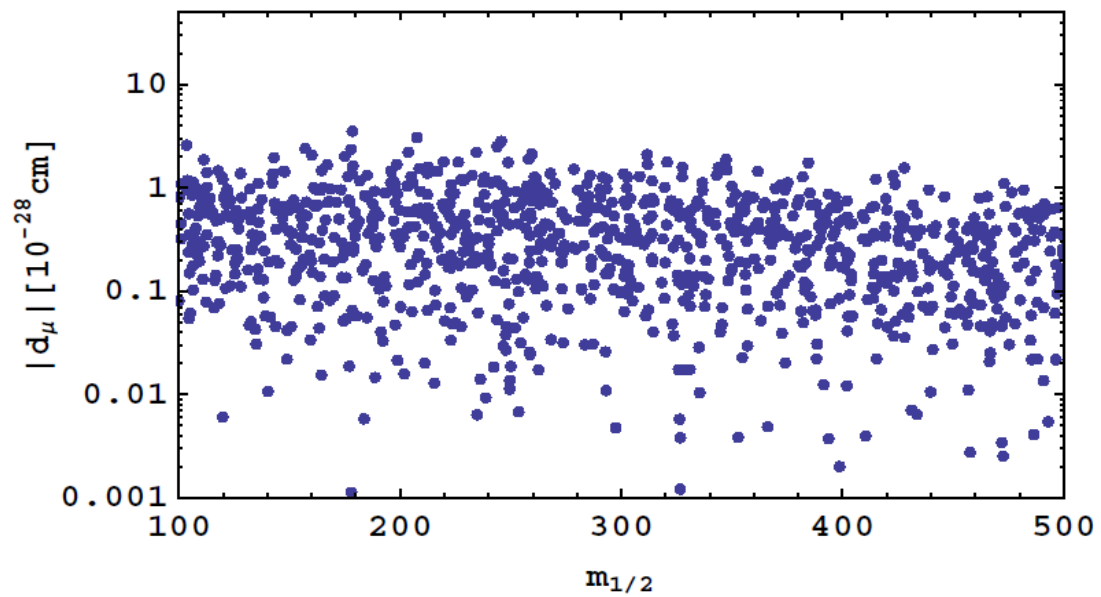
$\text{Tan}\beta=5, m_{\text{SUSY}}=500\text{GeV}$



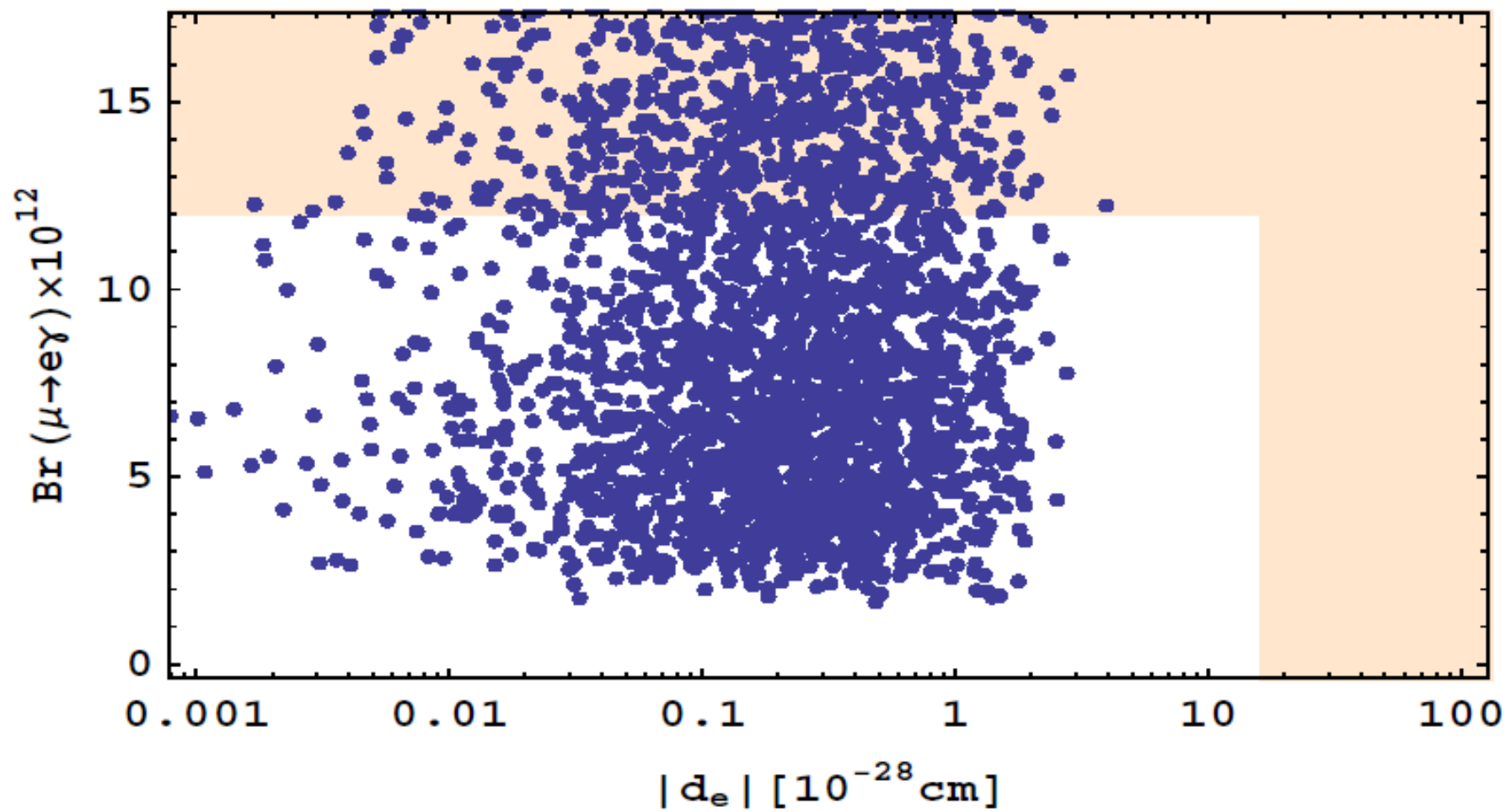
$\tan\beta=5, m_{\text{SUSY}}=300\text{GeV}$



$\tan\beta=5, m_{\text{SUSY}}=300\text{GeV}$



$\tan\beta=5, m_{\text{SUSY}}=300\text{GeV}, m_{1/2}=100-300\text{GeV}$



# 5 Summary

- ☆ **Non-Abelian Flavor Symmetry can give realistic lepton mixing matrices, but we need Symmetry Breaking**  
**Tri-bimaximal mixing**       **$A_4, S_4$  .....**
- ☆ **Symmetry Breaking requires new physics:**  
**Vacuum alignments of flavons, Extra Dim. ....**
- ☆ **Non-Abelian Flavor Symmetry may also predict quark mixing angles.**
- ☆ **Non-Abelian Flavor Symmetry can be tested by related phenomena:**      **FCNC, EDM ...**

# Problem in Flavor Symmetry

**Can we predict Neutrino Masses?**

$$\frac{\Delta m_{32}^2}{\Delta m_{21}^2} = 0.026 - 0.040 \sim \mathcal{O}(\lambda^2)$$

**Normal mass hierarchy**  $m_3 \gg m_2 \geq m_1$

**Inverted mass hierarchy**  $m_2 \geq m_1 \gg m_3$

**T2K and NOvA !**

**Symmetry cannot predict mass spectrum.**

**Symmetry breaking gives mass spectrum.**

**More study of Symmetry Breaking !**

# $S_4$ invariant superpotential

$$\begin{aligned} w = & y_1^u(T_1, T_2) \otimes T_3 \otimes (\chi_1, \chi_2) \otimes H_5/\Lambda + y_2^u T_3 \otimes T_3 \otimes H_5 \\ & + y_1^N(N_e^c, N_\mu^c) \otimes (N_e^c, N_\mu^c) \otimes \Theta^{2m}/\bar{\Lambda}^{2m-1} \\ & + y_2^N(N_e^c, N_\mu^c) \otimes (N_e^c, N_\mu^c) \otimes (\chi_3, \chi_4) \otimes \Theta^{2m-n}/\bar{\Lambda}^{2m-n} + MN_\tau^c \otimes N_\tau^c \\ & + y_1^D(N_e^c, N_\mu^c) \otimes (F_1, F_2, F_3) \otimes (\chi_5, \chi_6, \chi_7) \otimes H_5 \otimes \Theta^m/(\Lambda\bar{\Lambda}^m) \\ & + y_2^D N_\tau^c \otimes (F_1, F_2, F_3) \otimes (\chi_5, \chi_6, \chi_7) \otimes H_5/\Lambda \\ & + y_1(F_1, F_2, F_3) \otimes (T_1, T_2) \otimes (\chi_8, \chi_9, \chi_{10}) \otimes H_{45} \otimes \Theta^\ell/(\Lambda\bar{\Lambda}^\ell) \\ & + y_2(F_1, F_2, F_3) \otimes T_3 \otimes (\chi_{11}, \chi_{12}, \chi_{13}) \otimes H_{\bar{5}}/\Lambda, \end{aligned}$$

# Our Multiplication Rule of $S_4$

$$(a_1, a_2)_2 \times (b_1, b_2)_2 = (a_1b_1 + a_2b_2)_{1_1} + (-a_1b_2 + a_2b_1)_{1_2} \\ + (a_1b_2 + a_2b_1, a_1b_1 - a_2b_2)_2$$

$$(a_1, a_2, a_3)_{3_1} \times (b_1, b_2, b_3)_{3_1} = (a_1b_1 + a_2b_2 + a_3b_3)_{1_1} \\ + \left( \frac{1}{\sqrt{2}}(a_2b_2 - a_3b_3), \frac{1}{\sqrt{6}}(-2a_1b_1 + a_2b_2 + a_3b_3) \right)_2 \\ + (a_2b_3 + a_3b_2, a_1b_3 + a_3b_1, a_1b_2 + a_2b_1)_{3_1} \\ + (a_3b_2 - a_2b_3, a_1b_3 - a_3b_1, a_2b_1 - a_1b_2)_{3_2}$$

$$(a_1, a_2)_2 \times (b_1, b_2, b_3)_{3_1} = (a_2b_1, -\frac{1}{2}(\sqrt{3}a_1b_2 + a_2b_2), \frac{1}{2}(\sqrt{3}a_1b_3 - a_2b_3))_{3_1} \\ + (a_1b_1, \frac{1}{2}(\sqrt{3}a_2b_2 - a_1b_2), -\frac{1}{2}(\sqrt{3}a_2b_3 + a_1b_3))_{3_2}$$

**There are many models with Non-Abelian Discrete Symmetries.**

**If you are interested in Non-Abelian Discrete Symmetries,  
See the review article**

**“ Non-Abelian Discrete Symmetries in Particle Physics ”**

**Hajime Ishimori, Tatsuo Kobayashi, Hiroshi Ohki,  
Hiroshi Okada, Yusuke Shimizu, Morimitsu Tanimoto,**

**e-Print: [arXiv:1003.3552](https://arxiv.org/abs/1003.3552) [hep-th]**

**Prog. Theor. Phys. Suppl. 183:1-163, 2010**

**We review pedagogically non-Abelian discrete groups and  
show some applications for physical aspects.**



# **Origin of the non-Abelian Flavor symmetry ?**

**Tri-bimaximal neutrino mixing from orbifolding,**

G.Altarelli, F.Feruglio, Y.Lin, NPB775, 31 (2007) hep-ph/0610165

**Stringy origin of non-Abelian discrete flavor symmetries**

T. Kobayashi, H. Niles, F. Ploeger, S. Raby, M. Ratz, NPB768,135(2007) hep-ph/0611020

**Non-Abelian Discrete Flavor Symmetries from Magnetized/Intersecting Brane Models**

H. Abe, K-S. Choi, T. Kobayashi, H. Ohki, NPB820, 317 (2009) 0904.2631

**Non-Abelian Discrete Flavor Symmetry from  $T^2/Z_N$  Orbifolds**

A.Adulpravitchai, A. Blum, M. Lindner, JHEP0907, 053 (2009), 0906.0468

**Non-Abelian Discrete Groups from the Breaking of Continuous Flavor Symmetries**

A.Adulpravitchai, A. Blum, M. Lindner, JHEP0909, 018 (2009), 0907.2332

**Non-Abelian Discrete Flavor Symmetries on Orbifolds**

H.Abe, K.S.Choi, T.Kobayashi, H.Ohki ,M.~Sakai, arXiv:1009.5284 [hep-th].